

PENDER ALTERNATIVE SPECIAL SITUATIONS FUND (formerly PENDER SPECIAL SITUATIONS FUND)
 PROXY VOTING RECORD - JULY 1, 2022 TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR BRIAN HILL	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR JENNIFER WONG	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR ALDO BENSADOUN	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR JOHN E. CURRIE	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR DANIEL HABASHI	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR DAVID LABISTOUR	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR JOHN MONTALBANO	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR MARNI PAYNE	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR GLEN SENK	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	DIRECTOR MARCIA SMITH	MANAGEMENT	FOR	FOR
ARITZIA INC.	6-Jul-22	ATZAF	04045U102	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITOR OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR BRENDON JONES	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR RUDOLF PRETORIUS	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR CHARLES NEEDHAM	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR EOIN O'DRISCOLL	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR PAUL BALOYI	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR MARITZ SMITH	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR ZAIN MADARUN	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR SEAN NAYLOR	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	DIRECTOR BRENDAN LYNCH	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	APPOINTMENT OF PRICEWATERHOUSECOOPERS INC. AS AUDITORS OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	AUTHORIZING AN AMENDMENT TO THE CONSTITUTION OF THE COMPANY TO CREATE A NEW CLASS OF SHARES DESIGNATED AS "SAR EQUIVALENT SHARES", AS MORE FULLY DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	APPROVING THE ADOPTION OF A NEW OMNIBUS INCENTIVE PLAN FOR THE COMPANY, AS MORE FULLY DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
ALPHAMIN RESOURCES CORP.	8-Jul-22	AFMJF	V0195Q103	SUBJECT TO APPROVAL OF THE CONSTITUTION AMENDMENT RESOLUTION, TO APPROVE AN ORDINARY RESOLUTION OF DISINTERESTED SHAREHOLDERS APPROVING THE EXCHANGE OF OUTSTANDING STOCK OPTIONS FOR SAR EQUIVALENT SHARES BY THOSE OPTIONHOLDERS ELIGIBLE AND ELECTING TO DO SO, AS MORE FULLY DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
COLUMBIA CARE INC.	8-Jul-22	CCHWF	197309107	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX "B" TO THE ACCOMPANYING PROXY STATEMENT AND MANAGEMENT INFORMATION CIRCULAR (THE "CIRCULAR"), APPROVING THE ARRANGEMENT (AS DEFINED IN THE CIRCULAR) UNDER DIVISION 5 OF PART 9 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA) WHEREBY, AMONG OTHER THINGS, CRESCO LABS INC. WILL ACQUIRE ALL OF THE ISSUED AND OUTSTANDING SHARES OF COLUMBIA CARE INC., AS MORE PARTICULARLY DESCRIBED IN THE CIRCULAR.	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: MORGAN E. O'BRIEN	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: ROBERT H. SCHWARTZ	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: LESLIE B. DANIELS	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: GREGORY A. HALLER	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: SINGLETON B. MCALLISTER	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: GREGORY A. PRATT	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: PAUL SALEH	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	ELECTION OF DIRECTOR TO HOLD OFFICE UNTIL THE 2023 ANNUAL MEETING: MAHVASH YAZDI	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
ANTERIX INC.	10-Aug-22	ATEX	03676C100	TO RATIFY THE APPOINTMENT OF GRANT THORNTON LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING MARCH 31, 2023.	MANAGEMENT	FOR	FOR
HARBOR DIVERSIFIED, INC.	24-Aug-22	HRBR	41150R102	ELECTION OF DIRECTOR TO SERVE UNTIL THE 2023 ANNUAL MEETING: RICHARD A. BARTLETT	MANAGEMENT	FOR	FOR
HARBOR DIVERSIFIED, INC.	24-Aug-22	HRBR	41150R102	ELECTION OF DIRECTOR TO SERVE UNTIL THE 2023 ANNUAL MEETING: NOLAN BEDERMAN	MANAGEMENT	FOR	FOR
HARBOR DIVERSIFIED, INC.	24-Aug-22	HRBR	41150R102	ELECTION OF DIRECTOR TO SERVE UNTIL THE 2023 ANNUAL MEETING: KEVIN J. DEGEN	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE SPECIAL SITUATIONS FUND (formerly PENDER SPECIAL SITUATIONS FUND)
 PROXY VOTING RECORD - JULY 1, 2022 TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	DIRECTOR NEIL MCDONNELL	MANAGEMENT	FOR	FOR
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	DIRECTOR TRACY TIDY	MANAGEMENT	FOR	FOR
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	DIRECTOR DAVID LASALLE	MANAGEMENT	FOR	FOR
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	DIRECTOR ERIC EHGOETZ	MANAGEMENT	FOR	FOR
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	DIRECTOR TANIA BORTOLOTTI	MANAGEMENT	FOR	FOR
INSCAPE CORPORATION	15-Sep-22	ICPBF	45769T102	TO REAPPOINT DELOITTE LLP AS AUDITORS OF THE COMPANY FOR THE ENSUING YEAR AND TO AUTHORIZE THE DIRECTORS TO FIX THE AUDITOR'S REMUNERATION.	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR IAN D. BRUCE	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR DEREK W. EVANS	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR GARY A. BOSGOED	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR ROBERT B. HODGINS	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR KIM LYNCH PROCTOR	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR SUSAN M. MACKENZIE	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR JEFFREY J. MCCAIG	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR JAMES D. MCFARLAND	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	DIRECTOR DIANA J. MCQUEEN	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITOR OF THE CORPORATION FOR THE ENSUING YEAR AT SUCH REMUNERATION AS THE DIRECTORS OF THE CORPORATION MAY DETERMINE.	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	TO PASS AN ORDINARY RESOLUTION RATIFYING THE CONTINUATION OF THE CORPORATION'S AMENDED AND RESTATED SHAREHOLDER RIGHTS PLAN.	MANAGEMENT	FOR	FOR
MEG ENERGY CORP.	1-May-23	MEGEF	552704108	ACCEPTANCE OF THE CORPORATION'S APPROACH TO EXECUTIVE COMPENSATION AS DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR RELATED TO THE MEETING.	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR HAROLD N. KVISLE	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR FARHAD AHRABI	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR CAROL T. BANDUCCI	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR DAVID R. COLLYER	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR WILLIAM J. MCADAM	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR MICHAEL G. MCALLISTER	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR MARTY L. PROCTOR	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR M. JACQUELINE SHEPPARD	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR L. VAN LEEUWEN-ATKINS	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	DIRECTOR TERRY M. ANDERSON	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	TO APPOINT PRICEWATERHOUSECOOPERS LLP (PWC), CHARTERED ACCOUNTANTS, AS AUDITORS TO HOLD OFFICE UNTIL THE CLOSE OF THE NEXT ANNUAL MEETING OF THE CORPORATION, AT SUCH REMUNERATION AS MAY BE DETERMINED BY THE BOARD OF DIRECTORS OF THE CORPORATION.	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	5-May-23	AETUF	00208D408	A RESOLUTION TO APPROVE THE CORPORATION'S ADVISORY VOTE ON EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	FIX THE NUMBER OF DIRECTORS TO BE ELECTED AT THE MEETING AT SEVEN (7).	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR RONALD ECKHARDT	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR ANGELA AVERY	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR BRYAN BEGLEY	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR ROBERT BROEN	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR JOHN FESTIVAL	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR MARTY PROCTOR	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	DIRECTOR MARNIE SMITH	MANAGEMENT	FOR	FOR
ATHABASCA OIL CORPORATION	11-May-23	ATHOF	04682R107	APPOINT ERNST & YOUNG LLP AS THE AUDITORS OF THE CORPORATION AND AUTHORIZE THE DIRECTORS TO FIX THEIR REMUNERATION AS SUCH.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO FIX THE NUMBER OF DIRECTORS TO BE ELECTED AT THE MEETING AT SIX (6).	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR FOTIS KALANTZIS	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR RICHARD F. MCHARDY	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR DONALD ARCHIBALD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR REGINALD J. GREENSLADE	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR KEVIN OVERSTROM	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	DIRECTOR TAMARA MACDONALD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS FOR THE COMPANY, TO HOLD OFFICE UNTIL THE NEXT ANNUAL MEETING OF SHAREHOLDERS, AT SUCH REMUNERATION TO BE DETERMINED BY THE BOARD.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO AUTHORIZE THE BOARD OF DIRECTORS OF THE COMPANY TO REDUCE THE STATED CAPITAL ACCOUNT MAINTAINED IN RESPECT OF THE COMMON SHARES OF THE COMPANY.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO AUTHORIZE, APPROVE, RATIFY AND CONFIRM THE STOCK OPTION PLAN OF LOGAN ENERGY CORP. ("LOGAN"), A NEWLY FORMED SUBSIDIARY OF THE COMPANY, AS FURTHER DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR (THE "INFORMATION CIRCULAR") OF THE COMPANY DATED APRIL 14, 2023.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE SPECIAL SITUATIONS FUND (formerly PENDER SPECIAL SITUATIONS FUND)
 PROXY VOTING RECORD - JULY 1, 2022 TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO AUTHORIZE, APPROVE, RATIFY AND CONFIRM THE SHARE AWARD INCENTIVE PLAN OF LOGAN, AS FURTHER DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	16-May-23	DALXF	84678A102	TO AUTHORIZE AND APPROVE THE NON-BROKERED PRIVATE PLACEMENT OF SECURITIES OF LOGAN, AS FURTHER DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	DIRECTOR ANNA EL-ERIAN	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	DIRECTOR ANDRÉ GAUMOND	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	DIRECTOR EARL LUDLOW	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	DIRECTOR DAVID BRONICHESKI	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	DIRECTOR KAREN CLARKE-WHISTLER	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	APPOINTMENT OF DELOITTE LLP AS AUDITOR OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	24-May-23	ATRWF	02156G102	TO AUTHORIZE AND APPROVE IN A NON-BINDING, ADVISORY MANNER THE SAY ON PAY RESOLUTION AS PRESENTED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	DIRECTOR M. CANTEY BOYD	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	DIRECTOR ROHAN PALEKAR	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	DIRECTOR TODD S. SIMPSON	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	TO APPROVE, AT THE DISCRETION OF THE COMPANY'S BOARD OF DIRECTORS, AN AMENDMENT TO THE AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AT A RATIO OF NOT LESS THAN 1-FOR-2 AND NOT MORE THAN 1-FOR-5, WITH THE EXACT RATIO TO BE SET WITHIN THE RANGE AT THE DISCRETION OF THE BOARD PRIOR TO THE ONE-YEAR ANNIVERSARY OF THE ANNUAL MEETING, AND A CORRESPONDING REDUCTION IN THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK.	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	TO APPROVE AN AMENDMENT TO THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO PERMIT THE EXCULPATION OF OFFICERS FROM PERSONAL LIABILITY FOR CERTAIN BREACHES OF THE DUTY OF CARE.	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
NEOLEUKIN THERAPEUTICS, INC.	8-Jun-23	NLTX	64049K104	TO APPROVE, BY A NON-BINDING ADVISORY VOTE, THE COMPENSATION PAID BY THE COMPANY TO ITS NAMED EXECUTIVE OFFICERS FOR 2022.	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR WILLIAM W. LOVATT	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR STEVEN K. HUDSON	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR PAUL STOYAN	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR DAVID MORRIS	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR CAROL GOLDMAN	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	DIRECTOR KAREN MARTIN	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	RE-APPOINTMENT OF ERNST & YOUNG LLP AS AUDITORS OF THE CORPORATION TO HOLD OFFICE UNTIL THE NEXT ANNUAL MEETING OF SHAREHOLDERS OR UNTIL A SUCCESSOR IS APPOINTED AND THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO FIX THE REMUNERATION OF THE AUDITORS.	MANAGEMENT	FOR	FOR
ECN CAPITAL CORP.	15-Jun-23	ECNCF	26829L107	ADVISORY VOTE APPROVING THE APPROACH TO EXECUTIVE COMPENSATION DISCLOSED IN THE MANAGEMENT INFORMATION CIRCULAR DELIVERED IN ADVANCE OF THE 2023 ANNUAL GENERAL MEETING OF SHAREHOLDERS OF THE CORPORATION.	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	TO SET THE NUMBER OF DIRECTORS AT TEN (10).	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR LEIGH CURYER	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR CHRISTOPHER MCFADDEN	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR RICHARD PATRICIO	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR TREVOR THIELE	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR WARREN GILMAN	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR SYBIL VEENMAN	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR KARRI HOWLETT	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR BRADLEY WALL	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR DONALD ROBERTS	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	DIRECTOR IVAN MULLANY	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	APPOINTMENT OF KPMG LLP AS AUDITORS OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
NEXGEN ENERGY LTD.	15-Jun-23	NXE	65340P106	APPROVE THE CONTINUATION OF THE COMPANY'S EXISTING SHAREHOLDER RIGHTS PLAN.	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR KYLE KAZAN	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR GRAHAM FARRAR	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR R. ("JAMIE") MENDOLA	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR HUMBLE LUKANGA	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR JOCELYN ROSENWALD	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR GEORGE RAVELING	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE SPECIAL SITUATIONS FUND (formerly PENDER SPECIAL SITUATIONS FUND)
 PROXY VOTING RECORD - JULY 1, 2022 TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR HECTOR DE LA TORRE	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	DIRECTOR ROBERT ("BOB") HOBAN	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	APPOINTMENT OF AUDITORS. RE-APPOINTMENT OF MACIAS GINI & O'CONNELL LLP AS AUDITOR OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZATION OF THE DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	AMENDMENT TO THE ARTICLES OF THE COMPANY. ADOPTION OF A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS REPRODUCED IN SCHEDULE "B" OF THE MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY (THE "CIRCULAR"), TO EXTEND THE "SUNSET" DATE FOR THE COMPANY'S MULTIPLE VOTING SHARES UNTIL JUNE 29, 2027, AS MORE PARTICULARLY DESCRIBED IN THE CIRCULAR.	MANAGEMENT	AGAINST	AGAINST
GLASS HOUSE BRANDS INC.	23-Jun-23	GLASF	377130406	OWNERSHIP DECLARATION: I AM A U.S. PERSON AS SUCH TERM IS DEFINED IN RULE 902(K) OF REGULATION S UNDER THE UNITED STATES SECURITIES ACT OF 1933 (AS MAY BE AMENDED OR REPLACED FROM TIME TO TIME). NOTE: FOR = YES, AGAINST = NO, AND IF NOT MARKED WILL BE TREATED AS ABSTAIN	MANAGEMENT	AGAINST	N/A