

PENDER ALTERNATIVE ARBITRAGE PLUS FUND
 PROXY VOTING RECORD - SEPTEMBER 1, 2022 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
ALTITUDE ACQUISITION CORP.	7-Apr-23	ALTU	02156Y103	TO AMEND (THE "EXTENSION AMENDMENT") THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED (OUR "CHARTER") TO EXTEND THE DATE BY WHICH THE COMPANY MUST CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION") FROM APRIL 11, 2023 MONTHLY UP TO EIGHT (8) TIMES FOR AN ADDITIONAL ONE MONTH EACH TIME, UP TO DECEMBER 11, 2023 (THE "EXTENDED DATE") (THE "EXTENSION AMENDMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
ALTITUDE ACQUISITION CORP.	7-Apr-23	ALTU	02156Y103	TO AMEND (THE "FOUNDER SHARE AMENDMENT") THE CHARTER TO PROVIDE FOR THE RIGHT OF A HOLDER OF CLASS B COMMON STOCK OF THE COMPANY, PAR VALUE \$0.0001 PER SHARE ("CLASS B COMMON STOCK") TO CONVERT THEIR SHARES OF CLASS B COMMON STOCK INTO SHARES OF CLASS A COMMON STOCK OF THE COMPANY, PAR VALUE \$0.0001 PER SHARE ("CLASS A COMMON STOCK") ON A ONE-TO-ONE BASIS AT ANY TIME AND FROM TIME TO TIME AT THE ELECTION OF THE HOLDER (THE "FOUNDER SHARE AMENDMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
ALTITUDE ACQUISITION CORP.	7-Apr-23	ALTU	02156Y103	TO AMEND (THE "REDEMPTION LIMITATION AMENDMENT") THE CHARTER TO DELETE: (I) THE LIMITATION THAT THE COMPANY SHALL NOT CONSUMMATE A BUSINESS COMBINATION IF IT WOULD CAUSE THE COMPANY'S NET TANGIBLE ASSETS TO BE LESS THAN \$5,000,001; AND (II) THE LIMITATION THAT THE COMPANY SHALL NOT REDEEM PUBLIC SHARES THAT WOULD CAUSE THE COMPANY'S NET TANGIBLE ASSETS TO BE LESS THAN \$5,000,001 FOLLOWING SUCH REDEMPTIONS (THE "REDEMPTION LIMITATION AMENDMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
ALTITUDE ACQUISITION CORP.	7-Apr-23	ALTU	02156Y103	TO RE-ELECT HILTON STURISKY AS A CLASS I DIRECTOR TO SERVE FOR A TERM OF THREE YEARS OR UNTIL HIS SUCCESSOR IS DULY ELECTED OR APPOINTED AND QUALIFIED (THE "DIRECTOR ELECTION PROPOSAL").	MANAGEMENT	WITHHOLD	AGAINST
ALTITUDE ACQUISITION CORP.	7-Apr-23	ALTU	02156Y103	TO APPROVE THE ADJOURNMENT OF THE ANNUAL MEETING TO A LATER DATE OR DATES OR INDEFINITELY, IF NECESSARY OR CONVENIENT, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES TO APPROVE THE EXTENSION AMENDMENT PROPOSAL, FOUNDER SHARE AMENDMENT PROPOSAL, REDEMPTION LIMITATION AMENDMENT PROPOSAL, OR DIRECTOR ELECTION PROPOSAL OR IF WE DETERMINE THAT ADDITIONAL TIME IS NECESSARY TO EFFECTUATE THE EXTENSION (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
ARGO GROUP INTERNATIONAL HOLDINGS, LTD.	19-Apr-23	ARGO	G0464B107	PROPOSAL TO APPROVE THE MERGER AGREEMENT, THE STATUTORY MERGER AGREEMENT REQUIRED IN ACCORDANCE WITH SECTION 105 OF THE BERMUDA COMPANIES ACT 1981, AS AMENDED, AND THE MERGER.	MANAGEMENT	FOR	FOR
ARGO GROUP INTERNATIONAL HOLDINGS, LTD.	19-Apr-23	ARGO	G0464B107	PROPOSAL ON AN ADVISORY (NON-BINDING) BASIS, TO APPROVE THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO ARGO GROUP'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER, AS DESCRIBED IN THE PROXY STATEMENT.	MANAGEMENT	FOR	FOR
ARGO GROUP INTERNATIONAL HOLDINGS, LTD.	19-Apr-23	ARGO	G0464B107	PROPOSAL TO APPROVE AN ADJOURNMENT OF THE SPECIAL GENERAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES, IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES TO APPROVE PROPOSAL 1 AT THE SPECIAL GENERAL MEETING.	MANAGEMENT	FOR	FOR
MAXAR TECHNOLOGIES INC.	19-Apr-23	MAXR	57778K105	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 15, 2022, BY AND AMONG MAXAR TECHNOLOGIES INC., GALILEO PARENT, INC., GALILEO BIDCO, INC. AND, SOLELY FOR THE PURPOSES SET FORTH THEREIN, GALILEO TOPCO, INC., AS IT MAY BE AMENDED FROM TIME TO TIME (THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR
MAXAR TECHNOLOGIES INC.	19-Apr-23	MAXR	57778K105	TO APPROVE, ON AN ADVISORY (NONBINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO NAMED EXECUTIVE OFFICERS OF MAXAR TECHNOLOGIES INC. THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
MAXAR TECHNOLOGIES INC.	19-Apr-23	MAXR	57778K105	TO APPROVE ANY ADJOURNMENT OF THE SPECIAL MEETING OF STOCKHOLDERS OF MAXAR TECHNOLOGIES INC. (THE "SPECIAL MEETING"), IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR

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CARDIOVASCULAR SYSTEMS, INC.	27-Apr-23	CSII	141619106	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED FEBRUARY 8, 2023 (SUCH AGREEMENT, AS IT MAY BE AMENDED, MODIFIED OR SUPPLEMENTED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG CARDIOVASCULAR SYSTEMS, INC. ("CSI"), ABBOTT LABORATORIES ("ABBOTT"), AND COBRA ACQUISITION CO. ("MERGER SUB"), UPON THE TERMS AND SUBJECT TO THE CONDITIONS OF THE MERGER AGREEMENT, ABBOTT WILL ACQUIRE CSI VIA A MERGER OF MERGER SUB WITH AND INTO CSI, WITH CSI CONTINUING AS THE SURVIVING CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF ABBOTT (THE "MERGER").	MANAGEMENT	FOR	FOR
CARDIOVASCULAR SYSTEMS, INC.	27-Apr-23	CSII	141619106	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO CSI'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT, THE MERGER, AND THE OTHER TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
CARDIOVASCULAR SYSTEMS, INC.	27-Apr-23	CSII	141619106	TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, INCLUDING TO SOLICIT ADDITIONAL PROXIES TO APPROVE THE PROPOSAL TO ADOPT THE MERGER AGREEMENT IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	27-Apr-23	NUVA	670704105	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED FEBRUARY 8, 2023 (WHICH AGREEMENT IS REFERRED TO AS THE "MERGER AGREEMENT"), BY AND AMONG GLOBUS MEDICAL, INC., ZEBRA MERGER SUB, INC., AND NUVASIVE, INC. ("NUVASIVE"), AS IT MAY BE AMENDED FROM TIME TO TIME (WHICH PROPOSAL IS REFERRED TO AS THE "NUVASIVE MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	27-Apr-23	NUVA	670704105	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO NUVASIVE NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	27-Apr-23	NUVA	670704105	TO APPROVE THE ADJOURNMENT OF THE NUVASIVE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE NUVASIVE SPECIAL MEETING TO APPROVE THE NUVASIVE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
BLACK KNIGHT, INC.	28-Apr-23	BKI	09215C105	PROPOSAL TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MAY 4, 2022, AS AMENDED BY AMENDMENT NO. 1 THERETO, DATED AS OF MARCH 7, 2023, AMONG INTERCONTINENTAL EXCHANGE, INC., SAND MERGER SUB CORPORATION AND BLACK KNIGHT (AS MAY BE FURTHER AMENDED FROM TIME TO TIME) (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
BLACK KNIGHT, INC.	28-Apr-23	BKI	09215C105	PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO BLACK KNIGHT'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER (THE "COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR
BLACK KNIGHT, INC.	28-Apr-23	BKI	09215C105	PROPOSAL TO ADJOURN OR POSTPONE THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF, IMMEDIATELY PRIOR TO SUCH ADJOURNMENT OR POSTPONEMENT, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE MERGER PROPOSAL OR TO ENSURE THAT ANY SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING PROXY STATEMENT/PROSPECTUS IS TIMELY PROVIDED TO HOLDERS OF BLACK KNIGHT COMMON STOCK (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
APOLLO STRATEGIC GROWTH CAPITAL II	5-May-23	APGB	G0412A102	TO APPROVE, AMENDMENT OF FOURTH AMENDED AND RESTATED MEMORANDUM AND ARTICLES OF ASSOCIATION TO EXTEND DATE BY WHICH THE COMPANY MUST (1) CONSUMMATE A MERGER, SHARE EXCHANGE, ASSET ACQUISITION, SHARE PURCHASE, REORGANIZATION OR SIMILAR BUSINESS COMBINATION WITH ONE OR MORE BUSINESSES OR ENTITIES (BUSINESS COMBINATION), OR (2) IF IT FAILS TO COMPLETE SUCH BUSINESS COMBINATION BY SUCH DATE, CEASE ALL OPERATIONS EXCEPT FOR THE PURPOSE OF WINDING UP, REDEEM ALL OF CLASS A ORDINARY SHARES THAT WAS CONSUMMATED ON FEB 12, 2021, FROM MAY 12, 2023 TO FEB 12, 2024.	MANAGEMENT	AGAINST	AGAINST

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APOLLO STRATEGIC GROWTH CAPITAL II	5-May-23	APGB	G0412A102	THE REDEMPTION LIMITATION AMENDMENT PROPOSAL - TO APPROVE, AS A SPECIAL RESOLUTION, THE AMENDMENT OF THE ARTICLES AS PROVIDED BY THE SECOND RESOLUTION IN THE FORM SET FORTH IN ANNEX A TO THE ACCOMPANYING PROXY STATEMENT (THE "REDEMPTION LIMITATION AMENDMENT") TO ELIMINATE FROM THE ARTICLES THE LIMITATION THAT THE COMPANY SHALL NOT REDEEM PUBLIC SHARES TO THE EXTENT THAT SUCH REDEMPTION WOULD CAUSE THE COMPANY'S NET TANGIBLE ASSETS TO BE LESS THAN \$5,000,001 (THE "REDEMPTION LIMITATION").	MANAGEMENT	AGAINST	AGAINST
APOLLO STRATEGIC GROWTH CAPITAL II	5-May-23	APGB	G0412A102	THE ADJOURNMENT PROPOSAL - TO APPROVE, AS AN ORDINARY RESOLUTION, THE ADJOURNMENT OF THE EXTRAORDINARY GENERAL MEETING TO A LATER DATE OR DATES OR INDEFINITELY, IF NECESSARY OR CONVENIENT, EITHER (X) TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF ANY OF THE FOREGOING PROPOSALS OR (Y) IF OUR BOARD DETERMINES BEFORE THE EXTRAORDINARY GENERAL MEETING THAT IT IS NOT NECESSARY OR NO LONGER DESIRABLE TO PROCEED WITH THE OTHER PROPOSALS.	MANAGEMENT	AGAINST	AGAINST
SPIRIT AIRLINES, INC.	10-May-23	SAVE	848577102	DIRECTOR EDWARD M. CHRISTIE III	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-23	SAVE	848577102	DIRECTOR MARK B. DUNKERLEY	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-23	SAVE	848577102	DIRECTOR CHRISTINE P. RICHARDS	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-23	SAVE	848577102	TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS, OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-23	SAVE	848577102	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE ATTACHED PROXY STATEMENT PURSUANT TO EXECUTIVE COMPENSATION DISCLOSURE RULES UNDER THE SECURITIES EXCHANGE ACT OF 1934, AS AMENDED.	MANAGEMENT	FOR	FOR
SUMO LOGIC, INC.	10-May-23	SUMO	86646P103	TO ADOPT THE AGREEMENT AND PLAN OF MERGER (AS IT MAY BE AMENDED FROM TIME TO TIME), DATED AS OF FEBRUARY 9, 2023, BETWEEN SERRANO PARENT, LLC, SERRANO MERGER SUB, INC. AND SUMO LOGIC (THE "MERGER AGREEMENT") AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
SUMO LOGIC, INC.	10-May-23	SUMO	86646P103	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT WILL OR MAY BECOME PAYABLE BY SUMO LOGIC TO ITS NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
SUMO LOGIC, INC.	10-May-23	SUMO	86646P103	TO APPROVE ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
TRAVELCENTERS OF AMERICA INC	10-May-23	TA	89421B109	TO APPROVE THE MERGER (THE "MERGER") CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 15, 2023, AMONG THE COMPANY, BP PRODUCTS NORTH AMERICA INC., A MARYLAND CORPORATION ("BP") AND BLUESTAR RTM INC., A MARYLAND CORPORATION AND AN INDIRECT WHOLLY-OWNED SUBSIDIARY OF BP ("MERGER SUBSIDIARY"), PURSUANT TO WHICH MERGER SUBSIDIARY WILL BE MERGED WITH AND INTO THE COMPANY, WITH THE COMPANY SURVIVING THE MERGER.	MANAGEMENT	FOR	FOR
TRAVELCENTERS OF AMERICA INC	10-May-23	TA	89421B109	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO TRAVELCENTERS'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER, AS DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT.	MANAGEMENT	FOR	FOR
TRAVELCENTERS OF AMERICA INC	10-May-23	TA	89421B109	TO APPROVE AN ADJOURNMENT OF THE SPECIAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES, IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES TO APPROVE PROPOSAL 1 AT THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR

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ARISZ ACQUISITION CORP.	11-May-23	ARIZ	040450108	CHARTER AMENDMENT-APPROVAL OF AN AMENDMENT TO CERTIFICATE OF INCORPORATION TO: (A) EXTEND THE DATE BY WHICH ARISZ MUST CONSUMMATE A BUSINESS COMBINATION FROM 05/22/23 TO 02/22/24, OR SUCH EARLIER DATE AS DETERMINED BY THE BOARD OF DIRECTORS, PROVIDED THAT ARISZ DEPOSITS INTO THE TRUST ACCOUNT \$120,000 FOR EACH MONTH EXTENDED, AND (B) CHANGE SECTION 6(D) OF THE CHARTER TO STATE THAT ARISZ WILL NOT CONSUMMATE ANY BUSINESS COMBINATION UNLESS IT (I) HAS NET TANGIBLE ASSETS OF AT LEAST \$5,000,001, OR (II) IS OTHERWISE EXEMPT FROM RULE 419 UNDER THE 1933 ACT.	MANAGEMENT	AGAINST	AGAINST
ARISZ ACQUISITION CORP.	11-May-23	ARIZ	040450108	APPROVAL OF AN AMENDMENT TO THE COMPANY'S INVESTMENT MANAGEMENT TRUST AGREEMENT, DATED AS OF NOVEMBER 17, 2021 (THE "TRUST AGREEMENT"), BY AND BETWEEN THE COMPANY AND CONTINENTAL STOCK TRANSFER & TRUST COMPANY TO PROVIDE THAT THE TIME FOR THE COMPANY TO COMPLETE ITS INITIAL BUSINESS COMBINATION (THE "BUSINESS COMBINATION PERIOD") UNDER THE TRUST AGREEMENT SHALL BE EXTENDED FROM 05/22/2023 TO 02/22/2024 & TO THE EXTENT THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION IS AMENDED TO EXTEND THE BUSINESS COMBINATION.	MANAGEMENT	AGAINST	AGAINST
ARISZ ACQUISITION CORP.	11-May-23	ARIZ	040450108	ADJOURNMENT - APPROVAL TO DIRECT THE CHAIRMAN OF THE SPECIAL MEETING TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IF, BASED UPON THE TABULATED VOTE AT THE TIME OF THE MEETING, THERE ARE NOT SUFFICIENT VOTES TO APPROVE PROPOSAL 1 AND PROPOSAL 2.	MANAGEMENT	AGAINST	AGAINST
CHURCHILL CAPITAL CORP VI	11-May-23	CCVI	17143W101	THE EXTENSION AMENDMENT PROPOSAL - TO AMEND THE AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF CHURCHILL CAPITAL CORP VI ("CHURCHILL") TO EXTEND THE DATE BY WHICH CHURCHILL HAS TO CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION"), AS MORE FULLY SET FORTH IN CHURCHILL'S PROXY STATEMENT (THE "EXTENSION AMENDMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
CHURCHILL CAPITAL CORP VI	11-May-23	CCVI	17143W101	THE ADJOURNMENT PROPOSAL - TO ADJOURN THE SPECIAL MEETING OF CHURCHILL STOCKHOLDERS TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IF, AT THE TIME OF THE SPECIAL MEETING, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE EXTENSION AMENDMENT PROPOSAL OR IF CHURCHILL DETERMINES THAT ADDITIONAL TIME IS NECESSARY TO EFFECTUATE THE EXTENSION.	MANAGEMENT	AGAINST	AGAINST
CHURCHILL CAPITAL CORP VII	11-May-23	CVII	17144M102	THE EXTENSION AMENDMENT PROPOSAL - TO AMEND THE AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF CHURCHILL CAPITAL CORP VII ("CHURCHILL") TO EXTEND THE DATE BY WHICH CHURCHILL HAS TO CONSUMMATE A BUSINESS COMBINATION (THE "EXTENSION"), AS MORE FULLY SET FORTH IN CHURCHILL'S PROXY STATEMENT (THE "EXTENSION AMENDMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
CHURCHILL CAPITAL CORP VII	11-May-23	CVII	17144M102	THE ADJOURNMENT PROPOSAL - TO ADJOURN THE SPECIAL MEETING OF CHURCHILL STOCKHOLDERS TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IF, AT THE TIME OF THE SPECIAL MEETING, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE EXTENSION AMENDMENT PROPOSAL OR IF CHURCHILL DETERMINES THAT ADDITIONAL TIME IS NECESSARY TO EFFECTUATE THE EXTENSION.	MANAGEMENT	AGAINST	AGAINST
INDUS REALTY TRUST INC	17-May-23	INDT	45580R103	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE THE MERGER (THE "MERGER") OF IR MERGER SUB II, INC., A MARYLAND CORPORATION ("MERGER SUB") AND WHOLLY OWNED SUBSIDIARY OF IR PARENT, LLC, A DELAWARE LIMITED LIABILITY COMPANY ("PARENT"), WITH AND INTO THE COMPANY, PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 22, 2023, AS MAY BE AMENDED FROM TIME TO TIME, BY AND AMONG THE COMPANY, PARENT AND MERGER SUB (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
INDUS REALTY TRUST INC	17-May-23	INDT	45580R103	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO OUR NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER (THE "ADVISORY COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR

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INDUS REALTY TRUST INC	17-May-23	INDT	45580R103	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE ANY ADJOURNMENT OF THE SPECIAL MEETING FOR THE PURPOSE OF SOLICITING ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
GRAF ACQUISITION CORP. IV	22-May-23	GFOR	384272100	EXTENSION AMENDMENT PROPOSAL - A PROPOSAL TO AMEND THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION (THE "CHARTER"), IN THE FORM SET FORTH IN ANNEX A TO THE ACCOMPANYING PROXY STATEMENT, TO (I) EXTEND THE DATE BY WHICH THE COMPANY MUST CONSUMMATE THE BUSINESS COMBINATION (AS DEFINED BELOW) FROM 05/25/2023 TO 09/29/2023 (THE "EXTENDED DATE"), AND (II) PERMIT THE COMPANY'S BOARD OF DIRECTORS (THE "BOARD"), IN ITS SOLE DISCRETION, TO ELECT TO WIND UP THE COMPANY'S OPERATIONS ON AN EARLIER DATE THAN THE EXTENDED DATE AS DETERMINED BY THE BOARD.	MANAGEMENT	AGAINST	AGAINST
GRAF ACQUISITION CORP. IV	22-May-23	GFOR	384272100	ADJOURNMENT PROPOSAL - A PROPOSAL TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF THE EXTENSION AMENDMENT PROPOSAL, OR TO PROVIDE ADDITIONAL TIME TO EFFECTUATE THE EXTENSION (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	AGAINST	AGAINST
FORGEROCK, INC.	24-May-23	FORG	34631B101	DIRECTOR BRUCE GOLDEN	MANAGEMENT	FOR	FOR
FORGEROCK, INC.	24-May-23	FORG	34631B101	DIRECTOR ARUN MATHEW	MANAGEMENT	FOR	FOR
FORGEROCK, INC.	24-May-23	FORG	34631B101	DIRECTOR ALEXANDER OTT	MANAGEMENT	FOR	FOR
FORGEROCK, INC.	24-May-23	FORG	34631B101	DIRECTOR MARIA WALKER	MANAGEMENT	FOR	FOR
FORGEROCK, INC.	24-May-23	FORG	34631B101	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
FORGEROCK, INC.	24-May-23	FORG	34631B101	ON AN ADVISORY BASIS, THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	MANAGEMENT	1 YEAR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: RITU BHARGAVA	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: EGON DURBAN	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: SINDHU GANGADHARAN	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: OMAR JOHNSON	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: CHRISTIAN KLEIN	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: ROBIN MANHERZ	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: LUKA MUCIC	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: SCOTT RUSSELL	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: ZIG SERAFIN	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: RYAN SMITH	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	ELECTION OF DIRECTOR: KELLY STECKELBERG	MANAGEMENT	FOR	FOR
QUALTRICS INTERNATIONAL INC.	24-May-23	XM	747601201	RATIFICATION OF THE APPOINTMENT OF BDO USA, LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
SEAGEN INC.	30-May-23	SGEN	81181C104	TO CONSIDER AND VOTE ON THE PROPOSAL TO ADOPT THE AGREEMENT AND PLAN OF MERGER (AS IT MAY BE AMENDED OR SUPPLEMENTED FROM TIME TO TIME, THE "MERGER AGREEMENT"), DATED MARCH 12, 2023, BY AND AMONG SEAGEN INC. ("SEAGEN"), PFIZER INC. ("PFIZER") AND ARIS MERGER SUB, INC., A WHOLLY-OWNED SUBSIDIARY OF PFIZER ("MERGER SUB"), AND PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO SEAGEN, WITH SEAGEN SURVIVING THE MERGER AS A WHOLLY-OWNED SUBSIDIARY OF PFIZER (THE "MERGER" AND SUCH PROPOSAL THE "MERGER AGREEMENT PROPOSAL").	MANAGEMENT	FOR	FOR
SEAGEN INC.	30-May-23	SGEN	81181C104	TO CONSIDER AND VOTE ON THE PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION ARRANGEMENTS FOR SEAGEN'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER (THE "COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR
KIMBALL INTERNATIONAL, INC.	31-May-23	KBAL	494274103	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 7, 2023 (AS IT MAY BE AMENDED FROM TIME TO TIME), BY AND AMONG KIMBALL INTERNATIONAL, INC. ("KIMBALL"), HNI CORPORATION ("HNI"), AND OZARK MERGER SUB, INC. ("MERGER SUB"), PURSUANT TO WHICH KIMBALL WILL MERGE WITH AND INTO MERGER SUB, WITH KIMBALL SURVIVING THE MERGER AS A WHOLLY-OWNED SUBSIDIARY OF HNI (THE "KIMBALL MERGER PROPOSAL").	MANAGEMENT	FOR	FOR

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KIMBALL INTERNATIONAL, INC.	31-May-23	KBAL	494274103	TO APPROVE, BY AN ADVISORY (NON-BINDING) VOTE, CERTAIN COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO KIMBALL'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER CONTEMPLATED BY THE MERGER AGREEMENT (THE "KIMBALL COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR
KIMBALL INTERNATIONAL, INC.	31-May-23	KBAL	494274103	TO ADJOURN THE SPECIAL MEETING OF KIMBALL SHAREHOLDERS TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF, IMMEDIATELY PRIOR TO SUCH ADJOURNMENT, THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE KIMBALL SPECIAL MEETING TO APPROVE THE KIMBALL MERGER PROPOSAL OR TO ENSURE THAT ANY SUPPLEMENT OR AMENDMENT TO THIS JOINT PROXY STATEMENT/PROSPECTUS IS TIMELY PROVIDED TO HOLDERS OF KIMBALL COMMON STOCK (THE "KIMBALL ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
MOMENTIVE GLOBAL, INC.	31-May-23	MNTV	60878Y108	TO ADOPT THE AGREEMENT AND PLAN OF MERGER (AS IT MAY BE AMENDED, SUPPLEMENTED OR OTHERWISE MODIFIED FROM TIME TO TIME), DATED MARCH 13, 2023, BETWEEN MERCURY BIDCO LLC, MERCURY MERGER SUB, INC., AND MOMENTIVE GLOBAL INC. (THE "MERGER AGREEMENT") AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
MOMENTIVE GLOBAL, INC.	31-May-23	MNTV	60878Y108	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT WILL OR MAY BECOME PAYABLE BY MOMENTIVE GLOBAL INC. TO ITS NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
MOMENTIVE GLOBAL, INC.	31-May-23	MNTV	60878Y108	TO APPROVE ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
SEAGEN INC.	31-May-23	SGEN	81181C104	ELECTION OF CLASS I DIRECTOR: DAVID W. GRYSKA	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	ELECTION OF CLASS I DIRECTOR: JOHN A. ORWIN	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	ELECTION OF CLASS I DIRECTOR: ALPNA H. SETH, PH.D.	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF SEAGEN'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	INDICATE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY OF SHAREHOLDER ADVISORY VOTES ON THE COMPENSATION OF SEAGEN'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	APPROVE THE AMENDMENT AND RESTATEMENT OF THE SEAGEN INC. AMENDED AND RESTATED 2007 EQUITY INCENTIVE PLAN TO, AMONG OTHER THINGS, INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE THEREUNDER BY 5,190,000 SHARES.	MANAGEMENT	N/A	N/A
SEAGEN INC.	31-May-23	SGEN	81181C104	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS SEAGEN'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	N/A	N/A
CVENT HOLDING CORP	1-Jun-23	CVT	126677103	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 14, 2023 (AS IT MAY BE AMENDED, SUPPLEMENTED OR OTHERWISE MODIFIED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG CVENT, CAPSTONE BORROWER, INC., A DELAWARE CORPORATION ("PARENT"), AND CAPSTONE MERGER SUB, INC., A DELAWARE CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF PARENT ("MERGER SUB"). PURSUANT TO THE TERMS OF THE MERGER AGREEMENT, MERGER SUB WILL MERGE WITH AND INTO CVENT, WITH CVENT CONTINUING AS THE SURVIVING CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF PARENT (THE "MERGER").	MANAGEMENT	FOR	FOR
CVENT HOLDING CORP	1-Jun-23	CVT	126677103	TO APPROVE, ON AN ADVISORY, NON-BINDING BASIS, THE COMPENSATION THAT MAY BE PAID OR MAY BECOME PAYABLE TO CVENT'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
CVENT HOLDING CORP	1-Jun-23	CVT	126677103	TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
UNIVAR SOLUTIONS INC.	6-Jun-23	UNVR	91336L107	PROPOSAL TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 13, 2023, BY AND AMONG UNIVAR SOLUTIONS INC., WINDSOR PARENT, L.P. AND WINDSOR MERGER SUB, INC. (THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR

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UNIVAR SOLUTIONS INC.	6-Jun-23	UNVR	91336L107	PROPOSAL TO APPROVE, ON AN ADVISORY (NONBINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO UNIVAR SOLUTIONS INC.'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATED TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
UNIVAR SOLUTIONS INC.	6-Jun-23	UNVR	91336L107	PROPOSAL TO ADJOURN THE SPECIAL MEETING OF STOCKHOLDERS OF UNIVAR SOLUTIONS INC. (THE "SPECIAL MEETING") TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
HESKA CORPORATION	7-Jun-23	HSKA	42805E306	TO ADOPT AND APPROVE THE AGREEMENT AND PLAN OF MERGER WITH ANTECH DIAGNOSTICS, INC., A CALIFORNIA CORPORATION, HELSINKI MERGER SUB LLC, A DELAWARE LIMITED LIABILITY COMPANY, AND, SOLELY FOR PURPOSES OF SECTION 9.15 OF THE MERGER AGREEMENT, MARS, INCORPORATED, A DELAWARE CORPORATION (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
HESKA CORPORATION	7-Jun-23	HSKA	42805E306	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO OUR NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER.	MANAGEMENT	FOR	FOR
HESKA CORPORATION	7-Jun-23	HSKA	42805E306	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES IF A QUORUM IS NOT PRESENT OR THERE ARE NOT SUFFICIENT VOTES CAST AT THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
DIVERSEY HOLDINGS LTD	8-Jun-23	DSEY	G28923103	(A) THE AGREEMENT AND PLAN OF MERGER OLYMPUS WATER HOLDINGS IV, L.P. ("PARENT"), ACTING BY ITS GENERAL PARTNER, OLYMPUS WATER HOLDINGS LIMITED, AND DIAMOND MERGER LIMITED, AN EXEMPTED COMPANY INCORPORATED UNDER THE LAWS OF THE CAYMAN ISLANDS (B) THE PLAN OF MERGER, ATTACHED AS AN EXHIBIT TO THE MERGER AGREEMENT AND ANNEXED HERETO AND APPROVED BY RESOLUTION OF THE DIRECTORS OF THE COMPANY (C) COMPANY BE AUTHORIZED TO MERGE WITH MERGER SUB, SO THAT THE COMPANY SHALL BE THE SURVIVING COMPANY AND ALL THE UNDERTAKING, PROPERTY AND LIABILITIES OF THE MERGER.	MANAGEMENT	FOR	FOR
DIVERSEY HOLDINGS LTD	8-Jun-23	DSEY	G28923103	RESOLVED, AS AN ORDINARY RESOLUTION, THAT THE SHAREHOLDERS OF DIVERSEY HOLDINGS, LTD. HEREBY APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION TO BE PAID OR BECOME PAYABLE TO ITS NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AS DISCLOSED IN THE COMPANY'S PROXY STATEMENT PURSUANT TO ITEM 402(T) OF REGULATION S-K UNDER THE SECTION ENTITLED "GOLDEN PARACHUTE COMPENSATION" AND THE CORRESPONDING TABLE AND THE FOOTNOTES THERETO."	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	ELECTION OF CLASS I DIRECTOR: J. CHRISTOPHER BARRY	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	ELECTION OF CLASS I DIRECTOR: LESLIE V. NORWALK, ESQ.	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	ELECTION OF CLASS I DIRECTOR: AMY BELT RAIMUNDO	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	APPROVAL OF A NON-BINDING ADVISORY RESOLUTION REGARDING THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS FOR THE FISCAL YEAR ENDED DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
NUVASIVE, INC.	9-Jun-23	NUVA	670704105	APPROVAL OF A NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF THE STOCKHOLDERS ADVISORY VOTE ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	1 YEAR	FOR
PROMETHEUS BIOSCIENCES, INC.	15-Jun-23	RXDX	74349U108	TO ADOPT THE AGREEMENT AND PLAN OF MERGER (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), DATED AS OF APRIL 15, 2023, BY AND AMONG MERCK & CO., INC., A NEW JERSEY CORPORATION ("MERCK"), SPLASH MERGER SUB, INC., A DELAWARE CORPORATION AND A DIRECT WHOLLY OWNED SUBSIDIARY OF MERCK ("MERGER SUB"), AND PROMETHEUS BIOSCIENCES, INC., A DELAWARE CORPORATION ("PROMETHEUS"), PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO PROMETHEUS, WITH PROMETHEUS SURVIVING AS A WHOLLY OWNED SUBSIDIARY OF MERCK (THE "MERGER").	MANAGEMENT	FOR	FOR

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PROMETHEUS BIOSCIENCES, INC.	15-Jun-23	RXDX	74349U108	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BE PAID OR BECOME PAYABLE TO PROMETHEUS' NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER.	MANAGEMENT	FOR	FOR
PROMETHEUS BIOSCIENCES, INC.	15-Jun-23	RXDX	74349U108	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES IN PERSON OR BY PROXY TO APPROVE THE PROPOSAL TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	15-Jun-23	RADI	750481103	TO CONSIDER AND VOTE ON THE PROPOSAL TO ADOPT THE MERGER AGREEMENT, DATED AS OF MARCH 1, 2023, BY AND AMONG RADIUS GLOBAL INFRASTRUCTURE, INC. (THE "COMPANY"), APW OPCO LLC, CHORD PARENT, INC., CHORD MERGER SUB I, INC. AND CHORD MERGER SUB II, LLC, AS IT MAY BE AMENDED FROM TIME TO TIME (THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	15-Jun-23	RADI	750481103	TO CONSIDER AND VOTE ON THE PROPOSAL TO APPROVE, ON AN ADVISORY (NONBINDING) BASIS, THE COMPENSATION THAT WILL OR MAY BE PAID OR BECOME PAYABLE TO THE COMPANY'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED THEREBY.	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	15-Jun-23	RADI	750481103	TO CONSIDER AND VOTE ON ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
RANGER OIL CORPORATION	16-Jun-23	ROCC	70788V102	TO APPROVE THE TERMS OF THE AGREEMENT & PLAN OF MERGER, DATED AS OF 02/27/2023, BETWEEN RANGER & BAYTEX ENERGY CORP., A COMPANY INCORPORATED UNDER THE BUSINESS CORPORATIONS ACT (ALBERTA) ("BAYTEX"), AS MODIFIED BY THAT CERTAIN JOINDER AGREEMENT, DATED AS OF 05/3/2023, PURSUANT TO WHICH NEBULA MERGER SUB, LLC, AN INDIRECT WHOLLY OWNED SUBSIDIARY OF BAYTEX ("MERGER SUB"), AGREED TO BE BOUND BY TERMS & CONDITIONS OF SUCH AGREEMENT AS A PARTY THERETO, (INCLUDING THE RELATED PLAN OF MERGER, AS AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR
RANGER OIL CORPORATION	16-Jun-23	ROCC	70788V102	TO APPROVE, BY A NON-BINDING ADVISORY VOTE, CERTAIN COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO RANGER'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE COMPANY MERGER.	MANAGEMENT	FOR	FOR
RANGER OIL CORPORATION	16-Jun-23	ROCC	70788V102	TO ADJOURN THE RANGER SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO APPROVE THE MERGER AGREEMENT AT THE TIME OF THE RANGER SPECIAL MEETING.	MANAGEMENT	FOR	FOR
CORNER GROWTH ACQUISITION CORP.	20-Jun-23	COOL	G2425N105	EXTENSION PROPOSAL: AMEND THE COMPANY'S AMENDED AND RESTATED MEMORANDUM AND ARTICLES OF ASSOCIATION (THE "ARTICLES") TO EXTEND THE DATE THAT THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION FROM JUNE 21, 2023 TO MARCH 20, 2024 (THE "EXTENDED DATE") OR SUCH EARLIER DATE AS SHALL BE DETERMINED BY THE COMPANY'S BOARD OF DIRECTORS.	MANAGEMENT	AGAINST	AGAINST
CORNER GROWTH ACQUISITION CORP.	20-Jun-23	COOL	G2425N105	REDEMPTION LIMITATION AMENDMENT PROPOSAL: AMEND THE COMPANY'S ARTICLES TO ELIMINATE FROM THE ARTICLES THE LIMITATION THAT THE COMPANY SHALL NOT REDEEM CLASS A ORDINARY SHARES INCLUDED AS PART OF THE UNITS SOLD IN THE IPO TO THE EXTENT THAT SUCH REDEMPTION WOULD CAUSE THE COMPANY'S NET TANGIBLE ASSETS TO BE LESS THAN \$5,000,001 (THE "REDEMPTION LIMITATION").	MANAGEMENT	AGAINST	AGAINST
CORNER GROWTH ACQUISITION CORP.	20-Jun-23	COOL	G2425N105	FOUNDER CONVERSION AMENDMENT PROPOSAL: AMEND THE COMPANY'S ARTICLES TO PROVIDE THAT THE CLASS B ORDINARY SHARES MAY BE CONVERTED EITHER AT THE TIME OF THE CONSUMMATION OF THE COMPANY'S INITIAL BUSINESS COMBINATION OR AT ANY EARLIER DATE AT THE OPTION OF THE HOLDERS OF THE CLASS B ORDINARY SHARES.	MANAGEMENT	AGAINST	AGAINST

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CORNER GROWTH ACQUISITION CORP.	20-Jun-23	COOL	G2425N105	ADJOURNMENT PROPOSAL: ADJOURN THE EXTRAORDINARY GENERAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF PROPOSAL 1, PROPOSAL 2 AND PROPOSAL 3.	MANAGEMENT	AGAINST	AGAINST
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	ELECTION OF DIRECTOR: PAUL A. GOULD	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	ELECTION OF DIRECTOR: ANTOINETTE COOK BUSH	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	ELECTION OF DIRECTOR: THOMAS C. KING	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	ELECTION OF DIRECTOR: NICK S. ADVANI	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	ELECTION OF DIRECTOR: ASHLEY LEEDS	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	TO RATIFY THE APPOINTMENT OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
RADIUS GLOBAL INFRASTRUCTURE, INC.	22-Jun-23	RADI	750481103	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR MICHELLE CORMIER	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR MARTIN GARAND	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR KAREN LAFLAMME	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR CHANTEL E. LENARD	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR BRIAN MCMANUS	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR FREDERICK J. MIFFLIN	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	DIRECTOR DAVID G. SAMUEL	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	APPOINTMENT OF ERNST & YOUNG LLP AS AUDITOR AND AUTHORIZATION OF THE BOARD OF DIRECTORS TO FIX ITS REMUNERATION.	MANAGEMENT	FOR	FOR
UNI-SELECT INC.	22-Jun-23	UNIEF	90457D100	CONSIDERATION OF AN ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
ABSOLUTE SOFTWARE CORPORATION	29-Jun-23	ABST	00386B109	TO CONSIDER AND, IF DEEMED ADVISABLE, PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION OF SECURITYHOLDERS SUBSTANTIALLY IN THE FORM ATTACHED AS APPENDIX "C" TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR, TO APPROVE AN ARRANGEMENT UNDER SECTION 288 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), INVOLVING THE COMPANY AND 1414364 B.C. LTD., AS MORE PARTICULARLY DESCRIBED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
FG ACQUISITION CORP.	29-Jun-23	FGAA.U	30327L106	TO CONSIDER, AND IF DEEMED APPROPRIATE, TO APPROVE, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN THE COMPANY'S ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR ("CIRCULAR"), TO ALTER THE ARTICLES AND NOTICE OF ARTICLES OF THE COMPANY, IN ONE OR MORE AMENDMENTS, TO: (I) PROVIDE THAT THE COMPANY'S CLASS B SHARES CONVERT INTO COMMON SHARES UPON CLOSING OF THE COMPANY'S QUALIFYING ACQUISITION, (II) CREATE A CLASS OF PREFERRED SHARES, ISSUABLE IN SERIES, AND (III) REMOVE THE CLASS A RESTRICTED VOTING SHARES, CLASS B SHARES AND PROPORTIONATE VOTING SHARES FROM THE AUTHORIZED CAPITAL OF THE COMPANY FOLLOWING CLOSING OF THE COMPANY'S QUALIFYING ACQUISITION.	MANAGEMENT	FOR	FOR
FG ACQUISITION CORP.	29-Jun-23	FGAA.U	30327L106	TO CONSIDER, AND IF DEEMED APPROPRIATE, TO APPROVE, WITH OR WITHOUT VARIATION, CONDITIONAL UPON THE CLOSING OF THE COMPANY'S QUALIFYING ACQUISITION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN THE CIRCULAR, AUTHORIZING THE BOARD OF DIRECTORS TO ADOPT THE OMNIBUS INCENTIVE PLAN SUBSTANTIALLY IN THE FORM DESCRIBED IN THE CIRCULAR AND ATTACHED AS APPENDIX B TO THE CIRCULAR.	MANAGEMENT	FOR	FOR
FG ACQUISITION CORP.	29-Jun-23	FGAA.U	30327L106	IN RESPECT OF THE HOLDERS OF THE CLASS A RESTRICTED VOTING SHARES ONLY, TO CONSIDER, AND IF DEEMED APPROPRIATE, TO APPROVE, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN THE CIRCULAR, TO EXTEND THE DATE BY WHICH THE COMPANY HAS TO CONSUMMATE A QUALIFYING TRANSACTION FROM JULY 5, 2023 TO JULY 5, 2024, IF NECESSARY.	MANAGEMENT	FOR	FOR
NATIONAL INSTRUMENTS CORPORATION	29-Jun-23	NATI	636518102	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF APRIL 12, 2023, BY AND AMONG NATIONAL INSTRUMENTS CORPORATION, EMERSON ELECTRIC CO., AND EMERSUB CXIV (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT").	MANAGEMENT	N/A	N/A

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NATIONAL INSTRUMENTS CORPORATION	29-Jun-23	NATI	636518102	TO APPROVE, ON AN ADVISORY (NONBINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO NATIONAL INSTRUMENTS CORPORATION'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	N/A	N/A
NATIONAL INSTRUMENTS CORPORATION	29-Jun-23	NATI	636518102	TO APPROVE ANY ADJOURNMENT OF THE SPECIAL MEETING OF STOCKHOLDERS OF NATIONAL INSTRUMENTS CORPORATION (THE "SPECIAL MEETING"), IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	N/A	N/A
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	APPROVE THE STAND-ALONE ANNUAL STATUTORY ACCOUNTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2022, THE APPROVED CONSOLIDATED STATUTORY FINANCIAL ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2022, THE REPORT PREPARED BY THE BOARD OF DIRECTORS AND THE REPORT OF THE APPROVED STATUTORY AUDITOR (REVISEUR D'ENTREPRISES AGRÉÉ).	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	APPROVE ALLOCATION OF THE COMPANY'S ANNUAL RESULTS FOR THE FINANCIAL YEAR ENDED DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	GRANT DISCHARGE OF THE LIABILITY OF THE MEMBERS OF THE BOARD OF DIRECTORS FOR, AND IN CONNECTION WITH, THE FINANCIAL YEAR ENDED DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. STEVE CAPP AS THE MEMBER OF BOARD OF DIRECTOR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. AHARON ARAN AS THE MEMBER OF BOARD OF DIRECTOR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. MORDECHAY (MOTI) MALOOL (MALUL) AS THE MEMBER OF BOARD OF DIRECTOR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. BARAK MATALON AS THE MEMBER OF BOARD OF DIRECTOR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. LAURENT TEITGEN AS THE MEMBER OF BOARD OF DIRECTOR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	ELECT MR. JOHN E. TAYLOR, JR. AS THE MEMBER OF BOARD OF DIRECTOR AND CHAIR	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	APPROVE THE APPOINTMENT OF ATWELL AS THE LUXEMBOURG STATUTORY AUDITOR (REVISEUR D'ENTREPRISES AGRÉÉ) AND OF ZIV HAFT, CERTIFIED PUBLIC ACCOUNTANTS, ISR., BDO MEMBER FIRM, AS INDEPENDENT REGISTERED CERTIFIED PUBLIC ACCOUNTING FIRM FOR THE PERIOD ENDING AT THE GENERAL MEETING APPROVING THE ANNUAL ACCOUNTS FOR THE FINANCIAL YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	APPROVE THE DIRECTORS' REMUNERATION FOR THE YEAR ENDING DECEMBER 31, 2023.	MANAGEMENT	FOR	FOR
NEOGAMES S.A.	29-Jun-23	NGMS	L6673X107	AUTHORIZE AND EMPOWER ALLEN & OVERY, SOCIÉTÉ EN COMMANDITE SIMPLE, REGISTERED ON LIST V OF THE LUXEMBOURG BAR, TO EXECUTE AND DELIVER, ON BEHALF OF THE COMPANY AND WITH FULL POWER OF SUBSTITUTION, ANY DOCUMENTS NECESSARY OR USEFUL IN CONNECTION WITH THE ANNUAL FILING AND REGISTRATION REQUIRED BY THE LUXEMBOURG LAWS.	MANAGEMENT	FOR	FOR
U.S. XPRESS ENTERPRISES, INC.	29-Jun-23	USX	90338N202	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 20, 2023, BY AND AMONG U.S. XPRESS ENTERPRISES, INC. ("U.S. XPRESS"), KNIGHT-SWIFT TRANSPORTATION HOLDINGS INC. ("KNIGHT-SWIFT") AND LIBERTY MERGER SUB INC. ("MERGER SUBSIDIARY"), AS IT MAY BE AMENDED FROM TIME TO TIME, AND THE MERGER OF MERGER SUBSIDIARY WITH AND INTO U.S. XPRESS, WITH U.S. XPRESS SURVIVING THE MERGER (THE "MERGER") AS AN INDIRECT SUBSIDIARY OF KNIGHT-SWIFT.	MANAGEMENT	N/A	N/A
U.S. XPRESS ENTERPRISES, INC.	29-Jun-23	USX	90338N202	TO APPROVE AN AMENDMENT TO U.S. XPRESS' THIRD AMENDED AND RESTATED ARTICLES OF INCORPORATION.	MANAGEMENT	N/A	N/A
U.S. XPRESS ENTERPRISES, INC.	29-Jun-23	USX	90338N202	TO SEPARATELY APPROVE THE MERGER, BY THE AFFIRMATIVE VOTE OF THE HOLDERS OF A MAJORITY OF THE VOTING POWER OF THE OUTSTANDING SHARES OF U.S. XPRESS STOCK (VOTING TOGETHER AS A SINGLE CLASS) HELD BY THE HOLDERS OF U.S. XPRESS STOCK OTHER THAN THE ROLLOVER STOCKHOLDERS AND THE OTHER EXCLUDED STOCKHOLDERS, WITH EACH SHARE OF U.S. XPRESS STOCK COUNTED EQUALLY WITH ONE VOTE PER SHARE FOR THIS PURPOSE.	MANAGEMENT	N/A	N/A
U.S. XPRESS ENTERPRISES, INC.	29-Jun-23	USX	90338N202	TO APPROVE, BY A NON-BINDING, ADVISORY VOTE, THE COMPENSATION ARRANGEMENTS THAT WILL OR MAY BECOME PAYABLE TO U.S. XPRESS' NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	N/A	N/A

PENDER ALTERNATIVE ARBITRAGE PLUS FUND
 PROXY VOTING RECORD - SEPTEMBER 1, 2022 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2023



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
U.S. XPRESS ENTERPRISES, INC.	29-Jun-23	USX	90338N202	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING, FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE (AS DETERMINED BY THE BOARD OF DIRECTORS OR THE CHAIRPERSON OF THE MEETING, IN EACH CASE, ACTING AT THE DIRECTION OF THE SPECIAL COMMITTEE), INCLUDING TO SOLICIT ADDITIONAL PROXIES TO VOTE IN FAVOR OF PROPOSALS 1-3, IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO ESTABLISH A QUORUM OR APPROVE PROPOSALS 1-3.	MANAGEMENT	N/A	N/A