

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
TRILLIUM THERAPEUTICS INC.	26-Oct-21	TRIL	89620X506	TO CONSIDER AND, IF DEEMED ADVISABLE, PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX B TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR AND PROXY STATEMENT OF TRILLIUM DATED SEPTEMBER 27, 2021 (THE "INFORMATION CIRCULAR"), APPROVING A STATUTORY ARRANGEMENT (THE "ARRANGEMENT") UNDER DIVISION 5 OF PART 9 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA) INVOLVING TRILLIUM, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
TRILLIUM THERAPEUTICS INC.	26-Oct-21	TRIL	89620X506	TO CONSIDER AND, IF DEEMED ADVISABLE, PASS AN ADVISORY (NON-BINDING) RESOLUTION ON SPECIFIED COMPENSATION THAT MAY BECOME PAYABLE TO THE NAMED EXECUTIVE OFFICERS OF TRILLIUM IN CONNECTION WITH THE ARRANGEMENT, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
KADMON HOLDINGS, INC.	5-Nov-21	KDMN	48283N106	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED SEPTEMBER 7, 2021, BY AND AMONG KADMON HOLDINGS, INC., SANOFI AND LATOUR MERGER SUB, INC. AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
KADMON HOLDINGS, INC.	5-Nov-21	KDMN	48283N106	TO ADJOURN THE SPECIAL MEETING, IF NECESSARY AND FOR THE MINIMUM AMOUNT OF TIME REASONABLE UNDER THE CIRCUMSTANCES, TO ENSURE ANY SUPPLEMENT OR AMENDMENT TO THE PROXY STATEMENT IS PROVIDED TO THE STOCKHOLDERS OF KADMON HOLDINGS, INC. A REASONABLE AMOUNT OF TIME IN ADVANCE OF THE SPECIAL MEETING, OR TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE TO THE PROPOSAL TO ADOPT THE MERGER AGREEMENT AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
ATLANTIC CAPITAL BANCSHARES, INC.	16-Nov-21	ACBI	048269203	A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JULY 22, 2021, BY AND BETWEEN ATLANTIC CAPITAL BANCSHARES INC. AND SOUTHSTATE CORPORATION, WHICH PROVIDES FOR THE MERGER OF ATLANTIC CAPITAL BANCSHARES, INC. WITH AND INTO SOUTHSTATE CORPORATION WITH SOUTHSTATE CORPORATION AS THE SURVIVING COMPANY, AND THE TRANSACTIONS CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER.	MANAGEMENT	FOR	FOR
ATLANTIC CAPITAL BANCSHARES, INC.	16-Nov-21	ACBI	048269203	A PROPOSAL TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE MERGER-RELATED NAMED EXECUTIVE OFFICER COMPENSATION THAT WILL OR MAY BE PAID TO ATLANTIC CAPITAL'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
ATLANTIC CAPITAL BANCSHARES, INC.	16-Nov-21	ACBI	048269203	A PROPOSAL TO ADJOURN OR POSTPONE THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF, IMMEDIATELY PRIOR TO SUCH ADJOURNMENT OR POSTPONEMENT, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE MERGER PROPOSAL OR TO ENSURE THAT ANY SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING PROXY STATEMENT/PROSPECTUS IS TIMELY PROVIDED TO HOLDERS OF ATLANTIC CAPITAL COMMON STOCK.	MANAGEMENT	FOR	FOR
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF AUGUST 19, 2021 (THE "MERGER AGREEMENT") BY AND AMONG INOVALON HOLDINGS, INC. (THE "COMPANY"), OCALA BIDCO, INC., AND OCALA MERGER SUB, INC. ("MERGER SUB") PURSUANT TO WHICH MERGER SUB WILL MERGE WITH AND INTO THE COMPANY (THE "MERGER").	MANAGEMENT	FOR	FOR
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE, BY A NON-BINDING, ADVISORY VOTE, CERTAIN COMPENSATION ARRANGEMENTS FOR THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE AND ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF AUGUST 19, 2021 (THE "MERGER AGREEMENT") BY AND AMONG INOVALON HOLDINGS, INC. (THE "COMPANY"), OCALA BIDCO, INC., AND OCALA MERGER SUB, INC. ("MERGER SUB") PURSUANT TO WHICH MERGER SUB WILL MERGE WITH AND INTO THE COMPANY (THE "MERGER").	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE, BY A NON-BINDING, ADVISORY VOTE, CERTAIN COMPENSATION ARRANGEMENTS FOR THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
INOVALON HOLDINGS INC.	16-Nov-21	INOV	45781D101	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE AND ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
5:01 ACQUISITION CORP	9-Dec-21	FVAM	33836P105	DIRECTOR MATTHEW PATTERSON	MANAGEMENT	FOR	FOR
5:01 ACQUISITION CORP	9-Dec-21	FVAM	33836P105	DIRECTOR SAMANTHA SINGER	MANAGEMENT	FOR	FOR
5:01 ACQUISITION CORP	9-Dec-21	FVAM	33836P105	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF WITHUMSMITH+BROWN, PC AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2021.	MANAGEMENT	FOR	FOR
KRATON CORPORATION	9-Dec-21	KRA	50077C106	MERGER PROPOSAL: TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF SEPTEMBER 27, 2021 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND BETWEEN DL CHEMICAL CO., LTD. ("PARENT"), DLC US HOLDINGS, INC., DLC US, INC. ("MERGER SUBSIDIARY") AND KRATON, UNDER WHICH MERGER SUBSIDIARY WILL MERGE WITH AND INTO KRATON WITH KRATON SURVIVING THE MERGER AS AN INDIRECT, WHOLLY-OWNED, SUBSIDIARY OF PARENT (THE "MERGER").	MANAGEMENT	FOR	FOR
KRATON CORPORATION	9-Dec-21	KRA	50077C106	ADVISORY COMPENSATION PROPOSAL: TO APPROVE, BY A NON-BINDING, ADVISORY VOTE, THE COMPENSATION ARRANGEMENTS THAT WILL OR MAY BECOME PAYABLE TO KRATON'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
KRATON CORPORATION	9-Dec-21	KRA	50077C106	ADJOURNMENT PROPOSAL: TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE, INCLUDING TO SOLICIT ADDITIONAL PROXIES TO VOTE IN FAVOR OF THE PROPOSAL TO ADOPT THE MERGER AGREEMENT, IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO ESTABLISH A QUORUM OR ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
LANDMARK INFRASTRUCTURE PARTNERS LP	9-Dec-21	LMRK	51508J108	TO APPROVE THE TRANSACTION AGREEMENT (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "TRANSACTION AGREEMENT"), DATED AS OF AUGUST 21, 2021, BY AND AMONG LANDMARK INFRASTRUCTURE PARTNERS LP, A DELAWARE LIMITED PARTNERSHIP (THE "PARTNERSHIP"), LANDMARK INFRASTRUCTURE PARTNERS GP LLC, A DELAWARE LIMITED LIABILITY COMPANY (THE "PARTNERSHIP GP"), LANDMARK INFRASTRUCTURE REIT LLC, A DELAWARE LIMITED LIABILITY COMPANY ("REIT LLC"), LANDMARK INFRASTRUCTURE INC., A DELAWARE CORPORATION ("REIT SUBSIDIARY", ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	MANAGEMENT	FOR	FOR
GREENSKY, INC.	10-Dec-21	GSKY	39572G100	ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF SEPTEMBER 14, 2021 BY AND AMONG THE GOLDMAN SACHS GROUP, INC., A DELAWARE CORPORATION, GOLDMAN SACHS BANK USA, A BANK ORGANIZED UNDER THE LAWS OF THE STATE OF NEW YORK, GLACIER MERGER SUB 1, LLC, A DELAWARE LIMITED LIABILITY COMPANY AND WHOLLY OWNED SUBSIDIARY OF GS BANK ("MERGER SUB 1"), GLACIER MERGER SUB 2, LLC, A GEORGIA LIMITED LIABILITY COMPANY AND WHOLLY OWNED SUBSIDIARY OF GS BANK, GREENSKY, INC., A DELAWARE CORPORATION, AND GREENSKY HOLDINGS, LLC.	MANAGEMENT	FOR	FOR
GREENSKY, INC.	10-Dec-21	GSKY	39572G100	APPROVE, BY ADVISORY (NONBINDING) VOTE, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO THE NAMED EXECUTIVE OFFICERS OF GREENSKY IN CONNECTION WITH THE CONSUMMATION OF THE MERGERS.	MANAGEMENT	FOR	FOR
GREENSKY, INC.	10-Dec-21	GSKY	39572G100	APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING OF STOCKHOLDERS FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE PROPOSAL TO ADOPT THE MERGER AGREEMENT IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF SUCH ADJOURNMENT TO APPROVE SUCH PROPOSAL.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
NEO LITHIUM CORP.	10-Dec-21	NTTHF	64047A108	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT AMENDMENT, A SPECIAL RESOLUTION APPROVING THE PLAN OF ARRANGEMENT UNDER SECTION 182 OF THE BUSINESS CORPORATIONS ACT (ONTARIO) INVOLVING THE COMPANY PURSUANT TO WHICH ZIJIN MINING GROUP CO. LTD., THROUGH ITS WHOLLY-OWNED SUBSIDIARY 2872122 ONTARIO LIMITED, WILL ACQUIRE ALL OF THE OUTSTANDING SHARES OF THE COMPANY, ALL AS MORE PARTICULARLY DESCRIBED IN THE MANAGEMENT INFORMATION CIRCULAR ACCOMPANYING THIS VOTING INSTRUCTION FORM.	MANAGEMENT	FOR	FOR
ELMIRA SAVINGS BANK	14-Dec-21	ESBK	289660102	TO APPROVE THE ADOPTION OF THE AGREEMENT AND PLAN OF MERGER, DATED AS OF OCTOBER 3, 2021 BY AND AMONG ELMIRA SAVINGS BANK ("BANK"), COMMUNITY BANK, N.A. ("COMMUNITY"), AND EAGLE MERGER SUB INC. ("MERGER SUB") (THE "MERGER AGREEMENT"), PURSUANT TO WHICH MERGER SUB WILL MERGE WITH AND INTO THE BANK, WITH THE BANK AS THE SURVIVING CORPORATION (THE "MERGER"), AS MORE FULLY DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
ELMIRA SAVINGS BANK	14-Dec-21	ESBK	289660102	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, CERTAIN COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO ELMIRA SAVINGS BANK'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER IF THE MERGER IS CONSUMMATED (THE "MERGER-RELATED COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR
ELMIRA SAVINGS BANK	14-Dec-21	ESBK	289660102	TO APPROVE ONE (1) OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE MERGER PROPOSAL (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: JOHN P. BARNES	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: COLLIN P. BARON	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: GEORGE P. CARTER	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: JANE CHWICK	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: WILLIAM F. CRUGER, JR.	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: JOHN K. DWIGHT	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: JERRY FRANKLIN	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: JANET M. HANSEN	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: NANCY MCALLISTER	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: MARK W. RICHARDS	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	ELECTION OF DIRECTOR: KIRK W. WALTERS	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	APPROVE THE ADVISORY (NON-BINDING) RESOLUTION RELATING TO THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	MANAGEMENT	FOR	FOR
PEOPLE'S UNITED FINANCIAL, INC.	16-Dec-21	PBCT	712704105	RATIFY KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2021.	MANAGEMENT	FOR	FOR
PFB CORPORATION	16-Dec-21	PFBF	69331T101	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN EXHIBIT B TO THE ACCOMPANYING INFORMATION CIRCULAR AND PROXY STATEMENT OF THE CORPORATION DATED NOVEMBER 16, 2021 (THE "INFORMATION CIRCULAR"), APPROVING AN ARRANGEMENT UNDER SECTION 193 OF THE BUSINESS CORPORATIONS ACT (ALBERTA) INVOLVING THE CORPORATION, PFB CANADA BIDCO, INC. AND THE SHAREHOLDERS AND CERTAIN OTHER SECURITYHOLDERS OF THE CORPORATION, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
COMINAR REAL ESTATE INVESTMENT TRUST	21-Dec-21	CMLEF	199910100	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION TO APPROVE A PROPOSED PLAN OF ARRANGEMENT INVOLVING, AMONG OTHERS, COMINAR REAL ESTATE INVESTMENT TRUST AND ITS SUBSIDIARY 13217396 CANADA INC., ON THE FIRST PART, IRIS ACQUISITION II LP, AN ENTITY CREATED BY A CONSORTIUM LED BY AN AFFILIATE OF CANDEREL MANAGEMENT INC., A LEADING CANADIAN DEVELOPER AND MANAGER, AND INCLUDING FRONTFOUR CAPITAL GROUP LLC, ARTIS REAL ESTATE INVESTMENT TRUST AND PARTNERSHIPS MANAGED BY THE SANDPIPER GROUP, ON THE SECOND PART, AND AFFILIATES OF MACH CAPITAL INC. AND BLACKSTONE REAL ESTATE SERVICES L.L.C., ON THE THIRD PART, PURSUANT TO SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT. THE FULL TEXT OF SUCH SPECIAL RESOLUTION IS SET FORTH IN APPENDIX B TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR OF COMINAR REAL ESTATE INVESTMENT TRUST DATED NOVEMBER 19, 2021.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
APOLLO HEALTHCARE CORP.	24-Dec-21	AHCCF	037631108	TO CONSIDER AND, IF DEEMED ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS ATTACHED AS APPENDIX "A" TO THE MANAGEMENT INFORMATION CIRCULAR (THE "INFORMATION CIRCULAR") OF THE COMPANY DATED NOVEMBER 25, 2021, APPROVING A PROPOSED ARRANGEMENT UNDER SECTION 182 OF THE BUSINESS CORPORATIONS ACT (ONTARIO) WHEREBY, AMONG OTHER THINGS, 1000009701 ONTARIO INC. (THE "PURCHASER") WILL ACQUIRE A MAJORITY EQUITY INTEREST (AND IN NO EVENT LESS THAN 70%) OF THE ISSUED AND OUTSTANDING CLASS B SHARES ("APOLLO SHARES") OF THE COMPANY FOR CASH CONSIDERATION OF \$4.50 PER APOLLO SHARE PURSUANT TO THE ARRANGEMENT AGREEMENT DATED AS OF NOVEMBER 4, 2021 AMONG THE COMPANY, ANJAC SAS AND THE PURCHASER, ALL AS MORE PARTICULARLY SET FORTH IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
WOW UNLIMITED MEDIA INC.	30-Dec-21	WOWMF	98212M703	TO CONSIDER, AND IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT AMENDMENT, THE SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH AS APPENDIX B TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR OF THE CORPORATION DATED DECEMBER 1, 2021 (THE "CIRCULAR") APPROVING A PLAN OF ARRANGEMENT PURSUANT TO DIVISION 5 OF PART 9 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), PURSUANT TO WHICH, AMONG OTHER THINGS, GENIUS BRANDS INTERNATIONAL, INC. THROUGH ITS WHOLLY-OWNED SUBSIDIARY, 1326919 B.C. LTD. WILL ACQUIRE ALL OF THE ISSUED AND OUTSTANDING SHARES OF THE CORPORATION, ALL AS MORE PARTICULARLY DESCRIBED IN THE CIRCULAR.	MANAGEMENT	FOR	FOR
WOW UNLIMITED MEDIA INC.	30-Dec-21	WOWMF	98212M703	THE UNDERSIGNED CERTIFIES THAT IT HAS MADE REASONABLE INQUIRIES AS TO THE CANADIAN(1) STATUS OF THE REGISTERED HOLDER AND/OR THE BENEFICIAL OWNER OF THE SHARES REPRESENTED BY THIS FORM OF PROXY/VIF AND HAS READ THE MANAGEMENT INFORMATION CIRCULAR OF THE CORPORATION DATED DECEMBER 1, 2021 ENCLOSED WITH THIS FORM OF PROXY/VIF AND THE DEFINITIONS SET FORTH BELOW SO AS TO MAKE AN ACCURATE DECLARATION OF STATUS. NOTE: "FOR" = CANADIAN, "ABSTAIN" = NON-CANADIAN, "AGAINST" WILL BE TREATED AS NOT MARKED.	MANAGEMENT	FOR	N/A
MILLENNIAL LITHIUM CORP.	5-Jan-22	MLNLF	60040W105	TO CONSIDER, AND, IF DEEMED ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX A TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY DATED DECEMBER 6, 2021 (THE "CIRCULAR"), TO APPROVE A PLAN OF ARRANGEMENT INVOLVING LITHIUM AMERICAS CORP., THE COMPANY AND SECURITYHOLDERS OF THE COMPANY PURSUANT TO SECTION 288 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), ALL AS MORE PARTICULARLY DESCRIBED IN THE CIRCULAR.	MANAGEMENT	FOR	FOR
CORVUS GOLD INC.	6-Jan-22	KOR	221013105	TO CONSIDER, PURSUANT TO THE INTERIM ORDER, AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION (THE "ARRANGEMENT RESOLUTION"), THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX F TO THE ACCOMPANYING PROXY STATEMENT/MANAGEMENT INFORMATION CIRCULAR APPROVING A PLAN OF ARRANGEMENT UNDER DIVISION 5 OF PART 9 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA) INVOLVING CORVUS GOLD INC., ITS SHAREHOLDERS AND OPTIONHOLDERS, 1323606 B.C. UNLIMITED LIABILITY COMPANY, AND ANGGOLD ASHANTI HOLDINGS PLC, ALL AS MORE PARTICULARLY DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT/MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
CORVUS GOLD INC.	6-Jan-22	KOR	221013105	TO CONSIDER, AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, ON A NON-BINDING, ADVISORY BASIS, A PROPOSAL TO APPROVE CERTAIN COMPENSATION ARRANGEMENTS FOR NAMED EXECUTIVE OFFICERS OF CORVUS GOLD INC. IN CONNECTION WITH THE PLAN OF ARRANGEMENT, ALL AS MORE PARTICULARLY DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT/MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
CORVUS GOLD INC.	6-Jan-22	KOR	221013105	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A PROPOSAL TO APPROVE THE ADJOURNMENT OR POSTPONEMENT OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE ARRANGEMENT RESOLUTION, ALL AS MORE PARTICULARLY DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT/MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
SOL CUISINE LTD.	7-Jan-22	N/A	83411E108	TO CONSIDER, AND IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET OUT IN APPENDIX B TO THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY (THE "CIRCULAR"), TO APPROVE THE PLAN OF ARRANGEMENT PURSUANT TO SECTION 182 OF THE BUSINESS CORPORATIONS ACT (ONTARIO) INVOLVING, AMONG OTHER THINGS, THE ACQUISITION BY PLANTPLUS FOODS CANADA INC. OF ALL OF THE OUTSTANDING SECURITIES OF THE COMPANY, ALL AS MORE PARTICULARLY DESCRIBED IN THE CIRCULAR.	MANAGEMENT	FOR	FOR
SHAW COMMUNICATIONS INC.	12-Jan-22	SJR	82028K200	YOU ARE CORDIALLY INVITED TO ATTEND THE ANNUAL MEETING OF SHAREHOLDERS OF SHAW COMMUNICATIONS INC. (THE "COMPANY") TO BE HELD VIA VIRTUAL SHAREHOLDER MEETING ON JANUARY 12, 2022 AT 2:00 PM MST. PLEASE USE THE FOLLOWING URL TO ACCESS THE MEETING WWW.VIRTUALSHAREHOLDERMEETING.COM/SHAW2022. ** THIS CONTROL NUMBER DOES NOT HAVE VOTING ENTITLEMENTS**	MANAGEMENT	FOR	N/A
CASPER SLEEP INC.	19-Jan-22	CSPR	147626105	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF NOVEMBER 14, 2021 (THE "MERGER AGREEMENT"), BY AND AMONG MARLIN PARENT, INC., MARLIN MERGER SUB, INC., AND CASPER SLEEP INC.	MANAGEMENT	FOR	FOR
CASPER SLEEP INC.	19-Jan-22	CSPR	147626105	TO ADJOURN THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE PROPOSAL TO APPROVE AND ADOPT THE MERGER AGREEMENT IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF SUCH ADJOURNMENT TO APPROVE AND ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
GREAT WESTERN BANCORP, INC.	19-Jan-22	GWB	391416104	PROPOSAL TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF SEPTEMBER 15, 2021, BY AND BETWEEN GREAT WESTERN BANCORP, INC. ("GREAT WESTERN") AND FIRST INTERSTATE BANCYSYSTEM, INC. (AS AMENDED FROM TIME TO TIME) (THE "GREAT WESTERN MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
GREAT WESTERN BANCORP, INC.	19-Jan-22	GWB	391416104	PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO GREAT WESTERN'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER (THE "GREAT WESTERN COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR
GREAT WESTERN BANCORP, INC.	19-Jan-22	GWB	391416104	PROPOSAL TO ADJOURN OR POSTPONE THE GREAT WESTERN SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF, IMMEDIATELY PRIOR TO SUCH ADJOURNMENT OR POSTPONEMENT, THERE ARE NOT SUFFICIENT VOTES TO APPROVE THE GREAT WESTERN MERGER PROPOSAL OR TO ENSURE THAT ANY SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING JOINT PROXY STATEMENT/PROSPECTUS IS TIMELY PROVIDED TO HOLDERS OF GREAT WESTERN COMMON STOCK (THE "GREAT WESTERN ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
ROGERS CORPORATION	25-Jan-22	ROG	775133101	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF NOVEMBER 1, 2021 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR
ROGERS CORPORATION	25-Jan-22	ROG	775133101	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE "GOLDEN PARACHUTE" COMPENSATION THAT MAY BE PAYABLE TO OUR NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
ROGERS CORPORATION	25-Jan-22	ROG	775133101	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
NEOPHOTONICS CORPORATION	1-Feb-22	NPTN	64051T100	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED NOVEMBER 3, 2021 (SUCH AGREEMENT, AS IT MAY BE AMENDED, MODIFIED OR SUPPLEMENTED FROM TIME TO TIME, THE "MERGER AGREEMENT") BY AND AMONG NEOPHOTONICS CORPORATION ("NEOPHOTONICS"), LUMENTUM HOLDINGS INC. AND NEPTUNE MERGER SUB, INC.	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	1-Feb-22	NPTN	64051T100	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO NEOPHOTONICS' NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	1-Feb-22	NPTN	64051T100	TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, INCLUDING TO SOLICIT ADDITIONAL PROXIES TO APPROVE THE PROPOSAL TO ADOPT THE MERGER AGREEMENT IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
MCAFFEE CORP.	9-Feb-22	MCFE	579063108	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED NOVEMBER 5, 2021, AS AMENDED (THE "MERGER AGREEMENT"), AMONG CONDOR BIDCO, INC., A DELAWARE CORPORATION ("PARENT"), CONDOR MERGER SUB, INC., A DELAWARE CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF PARENT, AND MCAFFEE CORP., A DELAWARE CORPORATION ("MCAFFEE").	MANAGEMENT	FOR	FOR
MCAFFEE CORP.	9-Feb-22	MCFE	579063108	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO MCAFFEE'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
MCAFFEE CORP.	9-Feb-22	MCFE	579063108	TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO APPROVE PROPOSAL 1 AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
PAE INCORPORATED	10-Feb-22	PAE	69290Y109	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, (AS MAY BE AMENDED OR MODIFIED FROM TIME TO TIME, "MERGER AGREEMENT"), AMONG AMENTUM GOVERNMENT SERVICES HOLDINGS LLC, A DELAWARE LLC (WHICH WE REFER TO AS "PARENT"), PINNACLE VIRGINIA MERGER SUB INC., A DELAWARE CORP. (WHICH WE REFER TO AS "MERGER SUB"), WHICH IS A WHOLLY OWNED INDIRECT SUBSIDIARY OF PARENT, AND PAE, PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO PAE, WITH PAE CONTINUING AS SURVIVING CORP. IN MERGER & A WHOLLY OWNED INDIRECT SUBSIDIARY OF PARENT, WHICH WE REFER TO AS MERGER	MANAGEMENT	FOR	FOR
PAE INCORPORATED	10-Feb-22	PAE	69290Y109	TO APPROVE SPECIFIED COMPENSATION THAT WILL OR MAY BECOME PAYABLE TO PAE'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
PAE INCORPORATED	10-Feb-22	PAE	69290Y109	TO ADJOURN THE SPECIAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE AND ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
SPIRIT OF TEXAS BANCSHARES INC	24-Feb-22	STXB	84861D103	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF NOVEMBER 18, 2021, WHICH WE REFER TO AS THE MERGER AGREEMENT, BY AND BETWEEN SIMMONS FIRST NATIONAL CORPORATION, WHICH WE REFER TO AS SIMMONS, AND SPIRIT OF TEXAS BANCSHARES, INC., WHICH WE REFER TO AS SPIRIT, PURSUANT TO WHICH, AMONG OTHER THINGS, SPIRIT WILL MERGE WITH AND INTO SIMMONS, WITH SIMMONS CONTINUING AS THE SURVIVING CORPORATION, WHICH WE REFER TO AS THE MERGER, AS MORE FULLY DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT/PROSPECTUS, WHICH WE REFER TO AS THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
SPIRIT OF TEXAS BANCSHARES INC	24-Feb-22	STXB	84861D103	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, SPECIFIED COMPENSATION THAT MAY BECOME PAYABLE TO THE NAMED EXECUTIVE OFFICERS OF SPIRIT IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
SPIRIT OF TEXAS BANCSHARES INC	24-Feb-22	STXB	84861D103	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPIRIT SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF APPROVAL OF THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
TRISTATE CAPITAL HOLDINGS, INC.	28-Feb-22	TSC	89678F100	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, BY AND AMONG RAYMOND JAMES FINANCIAL, INC. ("RAYMOND JAMES"), MACARON ONE LLC ("MERGER SUB 1"), MACARON TWO LLC ("MERGER SUB 2" AND, TOGETHER WITH MERGER SUB 1, "MERGER SUBS") AND TRISTATE CAPITAL, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME, MERGER SUB 1 WILL MERGE WITH AND INTO TRISTATE CAPITAL, TRISTATE CAPITAL WILL MERGE WITH AND INTO MERGER SUB 2, WITH MERGER SUB 2 CONTINUING AS SURVIVING ENTITY IN SUCH MERGER, A COPY OF WHICH IS ATTACHED AS ANNEX A & AS MORE IN PROXY STATEMENT/PROS ("MERGER PROPOSAL")	MANAGEMENT	FOR	FOR
TRISTATE CAPITAL HOLDINGS, INC.	28-Feb-22	TSC	89678F100	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, INCLUDING ADJOURNMENTS TO PERMIT FURTHER SOLICITATION OF PROXIES IN FAVOR OF THE MERGER PROPOSAL (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
LEVEL ONE BANCORP INC	1-Mar-22	LEVL	52730D208	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF NOVEMBER 4, 2021, BETWEEN FIRST MERCHANTS CORPORATION AND LEVEL ONE BANCORP, INC. (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
LEVEL ONE BANCORP INC	1-Mar-22	LEVL	52730D208	TO APPROVE ONE (1) OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR MARK BENJAMIN	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR DANIEL BRENNAN	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR LLOYD CARNEY	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR THOMAS EBLING	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR ROBERT FINOCCHIO	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR LAURA KAISER	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR MICHAL KATZ	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR MARK LARET	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	DIRECTOR SANJAY VASWANI	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	TO APPROVE A NON-BINDING ADVISORY RESOLUTION REGARDING EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
NUANCE COMMUNICATIONS, INC.	1-Mar-22	NUAN	67020Y100	TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2022.	MANAGEMENT	FOR	FOR
FTS INTERNATIONAL INC.	3-Mar-22	FTSI	30283W302	A PROPOSAL TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF OCTOBER 21, 2021, BY AND AMONG FTS INTERNATIONAL, INC. ("FTSI"), PROFRAAC HOLDINGS, LLC ("PARENT") AND PROFRAAC ACQUISITIONS, INC., A DELAWARE CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF PARENT ("MERGER SUB"), AS MAY BE AMENDED FROM TIME TO TIME (THE "MERGER AGREEMENT"), PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO FTSI, WITH FTSI SURVIVING THE MERGER AS A WHOLLY OWNED SUBSIDIARY OF PARENT (THE "MERGER").	MANAGEMENT	FOR	FOR
FTS INTERNATIONAL INC.	3-Mar-22	FTSI	30283W302	A PROPOSAL TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BE PAID BY FTSI TO ITS NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER.	MANAGEMENT	FOR	FOR
FTS INTERNATIONAL INC.	3-Mar-22	FTSI	30283W302	A PROPOSAL TO APPROVE AN ADJOURNMENT OF THE SPECIAL MEETING, INCLUDING IF NECESSARY TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE PROPOSAL TO APPROVE AND ADOPT THE MERGER AGREEMENT, IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF SUCH ADJOURNMENT TO APPROVE AND ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
SPX FLOW, INC.	3-Mar-22	FLOW	78469X107	MERGER PROPOSAL - TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 12, 2021 (THE "MERGER AGREEMENT"), BY AND AMONG LSF11 REDWOOD ACQUISITIONS, LLC ("BUYER"), REDWOOD STAR MERGER SUB, INC., A WHOLLY OWNED SUBSIDIARY OF BUYER ("MERGER SUB"), AND SPX FLOW, INC. (THE "COMPANY"), PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO THE COMPANY (THE "MERGER"), WITH THE COMPANY SURVIVING THE MERGER (THE "MERGER PROPOSAL").	MANAGEMENT	FOR	FOR
SPX FLOW, INC.	3-Mar-22	FLOW	78469X107	ADVISORY COMPENSATION PROPOSAL - TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, CERTAIN COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER (THE "ADVISORY COMPENSATION PROPOSAL").	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
SPX FLOW, INC.	3-Mar-22	FLOW	78469X107	ADJOURNMENT PROPOSAL - TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, INCLUDING TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING OR ANY ADJOURNMENT OR POSTPONEMENT OF THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	FOR	FOR
BOTTOMLINE TECHNOLOGIES, INC.	8-Mar-22	EPAY	101388106	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 16, 2021, BY AND AMONG BOTTOMLINE INTERMEDIATE HOLDINGS III, LLC (FORMERLY KNOWN AS PROJECT RB PARENT, LLC), PROJECT RB MERGER SUB, INC. AND BOTTOMLINE TECHNOLOGIES, INC., AS IT MAY BE AMENDED FROM TIME TO TIME.	MANAGEMENT	FOR	FOR
BOTTOMLINE TECHNOLOGIES, INC.	8-Mar-22	EPAY	101388106	TO APPROVE THE ADOPTION OF ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
BOTTOMLINE TECHNOLOGIES, INC.	8-Mar-22	EPAY	101388106	TO APPROVE, BY NON-BINDING, ADVISORY VOTE, COMPENSATION THAT WILL OR MAY BECOME PAYABLE BY BOTTOMLINE TECHNOLOGIES, INC. TO ITS NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
GCP APPLIED TECHNOLOGIES INC	8-Mar-22	GCP	36164Y101	ADOPTION OF THE AGREEMENT AND PLAN OF MERGER, DATED DECEMBER 5, 2021 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG CYCLADES PARENT, INC., A DELAWARE CORPORATION, CYCLADES MERGER SUB, INC., A DELAWARE CORPORATION AND A WHOLLY-OWNED SUBSIDIARY OF PARENT, GCP APPLIED TECHNOLOGIES INC., A DELAWARE CORPORATION ("GCP"), AND SOLELY FOR THE PURPOSE OF SECTION 8.13 THEREOF, COMPAGNIE DE SAINT-GOBAIN S.A., A SOCIÉTÉ ANONYME ORGANIZED UNDER THE LAWS OF FRANCE.	MANAGEMENT	FOR	FOR
GCP APPLIED TECHNOLOGIES INC	8-Mar-22	GCP	36164Y101	ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
GCP APPLIED TECHNOLOGIES INC	8-Mar-22	GCP	36164Y101	APPROVAL OF, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BECOME PAYABLE TO GCP'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
PARTNERS BANCORP	9-Mar-22	PTRS	70213Q108	PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF NOVEMBER 4, 2021, BY AND AMONG OCEANFIRST FINANCIAL CORP. ("OCEANFIRST"), COASTAL MERGER SUB CORP. ("MERGER SUB"), AND PARTNERS BANCORP ("PARTNERS"), AND THE TRANSACTIONS CONTEMPLATED BY THAT AGREEMENT, PURSUANT TO WHICH MERGER SUB WILL MERGE WITH AND INTO PARTNERS, AS MORE FULLY DESCRIBED IN THE PROXY STATEMENT/PROSPECTUS.	MANAGEMENT	FOR	FOR
PARTNERS BANCORP	9-Mar-22	PTRS	70213Q108	PROPOSAL TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT CERTAIN EXECUTIVE OFFICERS OF PARTNERS MAY RECEIVE IN CONNECTION WITH THE MERGERS PURSUANT TO EXISTING AGREEMENTS OR ARRANGEMENTS WITH PARTNERS.	MANAGEMENT	FOR	FOR
PARTNERS BANCORP	9-Mar-22	PTRS	70213Q108	PROPOSAL TO ADJOURN THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN FAVOR OF THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
MIMECAST LIMITED	11-Mar-22	MIME	G14838109	AUTHORIZE THE DIRECTORS OF MIMECAST LTD TO TAKE ALL SUCH ACTIONS AS THEY CONSIDER NECESSARY OR APPROPRIATE FOR CARRYING THE SCHEME OF ARRANGEMENT INTO FULL EFFECT & TO AMEND ARTICLES OF ASSOCIATION OF MIMECAST LTD SO THAT ANY ORDINARY SHARES OF MIMECAST LTD THAT ARE ISSUED ON OR AFTER THE VOTING RECORD TIME TO PERSONS OTHER THAN MAGNESIUM BIDCO LTD OR ITS NOMINEES WILL EITHER BE SUBJECT TO THE TERMS OF THE SCHEME OF ARRANGEMENT OR IMMEDIATELY & AUTOMATICALLY ACQUIRED BY MAGNESIUM BIDCO LTD AND/OR ITS NOMINEE(S) FOR THE PER SHARE CONSIDERATION.	MANAGEMENT	FOR	FOR
MIMECAST LIMITED	11-Mar-22	MIME	G14838109	APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE GOLDEN PARACHUTE COMPENSATION BETWEEN MIMECAST LIMITED AND ITS NAMED EXECUTIVE OFFICERS RELATING TO THE TRANSACTION.	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
MIMECAST LIMITED	11-Mar-22	N/A	G14838A99	TO APPROVE THE SCHEME OF ARRANGEMENT IN ITS ORIGINAL FORM OR WITH OR SUBJECT TO ANY MODIFICATION(S), ADDITION(S) OR CONDITION(S) APPROVED OR IMPOSED BY THE ROYAL COURT OF JERSEY.	MANAGEMENT	FOR	FOR
VERSO CORPORATION	11-Mar-22	VRS	92531L207	PROPOSAL TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 19, 2021, BY AND AMONG VERSO CORPORATION, BILLERUDKORSNÅS INC., WEST ACQUISITION MERGER SUB INC. AND, SOLELY FOR PURPOSES OF CERTAIN SECTIONS THEREOF (AS SPECIFIED IN THE MERGER AGREEMENT), BILLERUDKORSNÅS AB (THE "MERGER AGREEMENT").	MANAGEMENT	FOR	FOR
VERSO CORPORATION	11-Mar-22	VRS	92531L207	PROPOSAL TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO VERSO CORPORATION'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
VERSO CORPORATION	11-Mar-22	VRS	92531L207	PROPOSAL TO APPROVE ANY PROPOSAL TO ADJOURN THE VIRTUAL SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE VIRTUAL SPECIAL MEETING TO APPROVE THE PROPOSAL TO ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE BUSINESS COMBINATION PROPOSAL - TO CONSIDER AND VOTE UPON A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF OCTOBER 6, 2021 (AS AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG FIRSTMARK, SIRIUS MERGER SUB INC., A DELAWARE CORPORATION AND A DIRECT WHOLLY OWNED SUBSIDIARY OF FIRSTMARK ("MERGER SUB"), STARRY, INC., A DELAWARE CORPORATION ("STARRY") AND STARRY GROUP HOLDINGS, INC., A DELAWARE CORPORATION AND WHOLLY OWNED DIRECT SUBSIDIARY OF STARRY ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ORGANIZATIONAL DOCUMENTS PROPOSAL - TO APPROVE AND ADOPT THE PROPOSED NEW CERTIFICATE OF INCORPORATION (THE "PROPOSED CHARTER") AND BYLAWS (THE "PROPOSED BYLAWS") AND, TOGETHER WITH THE PROPOSED CHARTER, THE "PROPOSED ORGANIZATIONAL DOCUMENTS") OF NEW STARRY AS THE POST-SPAC MERGER COMPANY, WHICH WOULD TAKE EFFECT SUBSTANTIALLY CONCURRENTLY WITH THE EFFECTIVENESS OF THE SPAC MERGER (THE "ORGANIZATIONAL DOCUMENTS PROPOSAL").	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - APPROVE AND ADOPT A PROVISION OF THE PROPOSED CHARTER PROVIDING THAT THE NAME OF NEW STARRY WILL BE "STARRY GROUP HOLDINGS, INC."	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - CHANGE THE CORPORATE PURPOSE TO ONE MORE APPROPRIATE FOR A PUBLIC OPERATING COMPANY.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - SET THE NUMBER OF AUTHORIZED SHARES OF CLASS A COMMON STOCK OF NEW STARRY, PAR VALUE \$0.0001 PER SHARE ("NEW STARRY CLASS A COMMON STOCK"), TO 800,000,000, THE NUMBER OF AUTHORIZED SHARES OF CLASS X COMMON STOCK, PAR VALUE \$0.0001 PER SHARE ("NEW STARRY CLASS X COMMON STOCK"), TO 50,000,000 AND THE NUMBER OF AUTHORIZED SHARES OF NEW STARRY PREFERRED STOCK TO 10,000,000.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - PROVIDE THAT HOLDERS OF NEW STARRY CLASS A COMMON STOCK WILL BE ENTITLED TO ONE VOTE PER SHARE OF NEW STARRY CLASS A COMMON STOCK AND HOLDERS OF NEW STARRY CLASS X COMMON STOCK WILL (I) PRIOR TO THE SUNSET DATE (AS DEFINED IN THE SECTION ENTITLED "SELECTED DEFINITIONS"), BE ENTITLED TO CAST TWENTY VOTES PER SHARE AND (II) ON THE SUNSET DATE, EACH SHARE OF NEW STARRY CLASS X COMMON STOCK WILL AUTOMATICALLY CONVERT INTO ONE SHARE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - PROVIDE THAT DIRECTORS MAY BE REMOVED WITH OR WITHOUT CAUSE UNDER VARYING CIRCUMSTANCES.	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - PROVIDE THAT CERTAIN TRANSACTIONS ARE NOT "CORPORATE OPPORTUNITIES" AND THAT THE IDENTIFIED PERSONS (AS DEFINED IN THE PROPOSED CHARTER) ARE NOT SUBJECT TO THE DOCTRINE OF CORPORATE OPPORTUNITY AND SUCH IDENTIFIED PERSONS DO NOT HAVE ANY FIDUCIARY DUTY TO REFRAIN FROM ENGAGING DIRECTLY OR INDIRECTLY IN THE SAME OR SIMILAR BUSINESS ACTIVITIES OR LINES OF BUSINESS AS NEW STARRY OR ANY OF ITS SUBSIDIARIES.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - PROVIDE THAT NEW STARRY WILL NOT BE GOVERNED BY SECTION 203 OF THE DGCL AND, INSTEAD, INCLUDE A PROVISION IN THE PROPOSED CHARTER THAT IS SUBSTANTIALLY SIMILAR TO SECTION 203 OF THE DGCL, AND ACKNOWLEDGE THAT CERTAIN STOCKHOLDERS CANNOT BE "INTERESTED STOCKHOLDERS" (AS DEFINED IN PROPOSED CHARTER); PROVIDED THAT THE RESTRICTIONS ON BUSINESS COMBINATIONS WILL APPLY FOR TWELVE MONTHS FOLLOWING THE DATE THE PROPOSED CHARTER IS FILED.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - PROVIDE THAT UNTIL THE SUNSET DATE, ANY ACTION REQUIRED OR PERMITTED TO BE TAKEN BY THE STOCKHOLDERS OF NEW STARRY MAY BE EFFECTED AT A DULY CALLED ANNUAL OR SPECIAL MEETING OF STOCKHOLDERS OR, EXCEPT AS OTHERWISE REQUIRED BY APPLICABLE LAW OR THE PROPOSED CHARTER, BE TAKEN WITHOUT A MEETING, BY WRITTEN CONSENT AND THAT FOLLOWING THE SUNSET DATE, ANY ACTION REQUIRED OR PERMITTED TO BE TAKEN BY THE STOCKHOLDERS OF NEW STARRY MUST BE EFFECTED AT ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - INCREASE THE REQUIRED VOTING THRESHOLDS FOR APPROVING ANY AMENDMENTS TO THE PROPOSED BYLAWS TO 662/3%.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - INCREASE THE REQUIRED VOTING THRESHOLDS FOR APPROVING CERTAIN AMENDMENTS TO THE PROPOSED CHARTER TO 662/3%.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADVISORY ORGANIZATIONAL DOCUMENT PROPOSAL - ELIMINATE VARIOUS PROVISIONS APPLICABLE ONLY TO BLANK CHECK COMPANIES.	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE EXCHANGE PROPOSAL - TO CONSIDER AND VOTE UPON A PROPOSAL TO APPROVE, FOR THE PURPOSES OF COMPLYING WITH THE APPLICABLE LISTING RULES OF THE NEW YORK STOCK EXCHANGE, THE ISSUANCE OF (I) SHARES OF NEW STARRY CLASS X COMMON STOCK AND NEW STARRY CLASS A COMMON STOCK PURSUANT TO THE TERMS OF THE MERGER AGREEMENT, (II) SHARES OF NEW STARRY CLASS A COMMON STOCK TO THE PIPE INVESTORS (AS DEFINED IN THE PROXY STATEMENT/PROSPECTUS) PURSUANT TO THE PIPE SUBSCRIPTION AGREEMENTS (AS DEFINED ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE EQUITY INCENTIVE PLAN PROPOSAL - TO APPROVE ON A NON-BINDING ADVISORY BASIS THE EQUITY INCENTIVE PLAN, A COPY OF WHICH IS ATTACHED TO THE PROXY STATEMENT/PROSPECTUS AS ANNEX J (THE "EQUITY INCENTIVE PLAN PROPOSAL").	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ESPP PROPOSAL - TO APPROVE ON A NON-BINDING ADVISORY BASIS THE ESPP, A COPY OF WHICH IS ATTACHED TO THE PROXY STATEMENT/PROSPECTUS AS ANNEX K (THE "ESPP PROPOSAL").	MANAGEMENT	ABSTAIN	AGAINST
FIRSTMARK HORIZON ACQUISITION CORP.	16-Mar-22	FMAC	33765Y101	THE ADJOURNMENT PROPOSAL - TO CONSIDER AND VOTE UPON A PROPOSAL TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IF, BASED UPON THE TABULATED VOTE AT THE TIME OF THE SPECIAL MEETING, ANY OF THE CONDITION PRECEDENT PROPOSALS WOULD NOT BE DULY APPROVED AND ADOPTED BY OUR STOCKHOLDERS OR WE DETERMINE THAT ONE OR MORE OF THE CLOSING CONDITIONS UNDER THE MERGER AGREEMENT IS NOT SATISFIED OR WAIVED (THE "ADJOURNMENT PROPOSAL").	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
VIGIL HEALTH SOLUTIONS INC.	16-Mar-22	VIGLF	92672N202	A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX B TO THE MANAGEMENT INFORMATION CIRCULAR OF THE CORPORATION DATED FEBRUARY 4, 2022 (THE "INFORMATION CIRCULAR"), APPROVING A PLAN OF ARRANGEMENT UNDER SECTION 288 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA) INVOLVING THE CORPORATION, HOLDERS OF COMMON SHARES, HOLDERS OF OPTIONS AND ABLOY CANADA INC., AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
APRIA, INC.	24-Mar-22	APR	03836A101	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JANUARY 7, 2022 (AS AMENDED OR MODIFIED FROM TIME TO TIME, THE "MERGER AGREEMENT"), AMONG APRIA, INC., OWENS & MINOR, INC. AND STONEOAK MERGER SUB, INC.	MANAGEMENT	FOR	FOR
APRIA, INC.	24-Mar-22	APR	03836A101	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BE PAID BY APRIA, INC. TO ITS NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER OF STONEOAK MERGER SUB, INC. WITH AND INTO APRIA, INC. PURSUANT TO THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
APRIA, INC.	24-Mar-22	APR	03836A101	TO ADJOURN THE SPECIAL MEETING, FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE, AS DETERMINED IN GOOD FAITH BY THE BOARD OF DIRECTORS OF APRIA, INC., INCLUDING FOR THE PURPOSE OF SOLICITING ADDITIONAL VOTES FOR THE APPROVAL OF THE PROPOSAL TO ADOPT THE MERGER AGREEMENT IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE PROPOSAL TO ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
HIGHLAND TRANSCEND PARTNERS I CORP.	29-Mar-22	HTPA	G44690108	THE BUSINESS COMBINATION PROPOSAL. TO APPROVE BY ORDINARY RESOLUTION THE TRANSACTIONS CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER, DATED AS OF SEPTEMBER 8, 2021 (AS AMENDED OR MODIFIED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG HIGHLAND TRANSCEND, PICASSO MERGER SUB I, INC., A DELAWARE CORPORATION AND WHOLLY OWNED DIRECT SUBSIDIARY OF HIGHLAND TRANSCEND ("BLOCKER MERGER SUB I"), PICASSO MERGER SUB II, LLC, A DELAWARE LIMITED LIABILITY COMPANY AND WHOLLY OWNED DIRECT ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS I CORP.	29-Mar-22	HTPA	G44690108	THE NYSE PROPOSAL. TO APPROVE BY ORDINARY RESOLUTION, FOR PURPOSES OF COMPLYING WITH APPLICABLE LISTING RULES OF THE NYSE, THE ISSUANCE BY HIGHLAND TRANSCEND OF (I) 7,000,000 SHARES OF CLASS A COMMON STOCK TO THE SUBSCRIPTION INVESTORS PURSUANT TO THE SUBSCRIPTION AGREEMENTS, (II) 24,629,708 SHARES OF CLASS A COMMON STOCK TO THE BLOCKER EQUITY HOLDERS, (III) 108,086,466 SHARES OF CLASS B COMMON STOCK OF THE PACKABLE EQUITY HOLDERS, (IV) 12,000,000 SHARES OF CLASS A COMMON STOCK AND CLASS B ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS I CORP.	29-Mar-22	HTPA	G44690108	THE DOMESTICATION PROPOSAL. TO APPROVE BY SPECIAL RESOLUTION THE CHANGE OF HIGHLAND TRANSCEND'S JURISDICTION OF INCORPORATION FROM THE CAYMAN ISLANDS TO THE STATE OF DELAWARE BY DEREGISTERING AS AN EXEMPTED COMPANY IN THE CAYMAN ISLANDS AND DOMESTICATING AND CONTINUING AS A CORPORATION INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE (THE "DOMESTICATION").	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS I CORP.	29-Mar-22	HTPA	G44690108	TO APPROVE (I) THE CHANGE OF OUR NAME FROM "HIGHLAND TRANSCEND PARTNERS I CORP." TO "PACKABLE COMMERCE, INC." ("NEW PACKABLE"), (II) ADOPTING DELAWARE AS THE EXCLUSIVE FORUM FOR CERTAIN STOCKHOLDER LITIGATION, (III) MAKING NEW PACKABLE'S CORPORATE EXISTENCE PERPETUAL, (IV) REMOVING CERTAIN PROVISIONS RELATED TO OUR STATUS AS A BLANK CHECK COMPANY THAT WILL NO LONGER BE APPLICABLE TO US UPON CONSUMMATION OF THE BUSINESS COMBINATION AND (V) GRANTING AN EXPLICIT WAIVER REGARDING CORPORATE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	TO APPROVE PROVISIONS DIVIDING THE BOARD OF DIRECTORS INTO THREE CLASSES FOLLOWING THE BUSINESS COMBINATION, WITH EACH CLASS GENERALLY SERVING FOR A TERM OF THREE YEARS AND WITH ONLY ONE CLASS OF DIRECTORS BEING ELECTED IN EACH YEAR.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	TO APPROVE PROVISIONS PROVIDING THAT THE DIRECTORS, EXCEPT FOR PREFERRED STOCK DIRECTORS (AS DEFINED IN THE PROPOSED CHARTER), MAY ONLY BE REMOVED FOR CAUSE (AS DEFINED IN THE PROPOSED CHARTER).	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	TO APPROVE PROVISIONS REMOVING THE ABILITY OF SHAREHOLDERS TO CALL A SPECIAL MEETING.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	TO APPROVE PROVISIONS REMOVING THE ABILITY OF SHAREHOLDERS TO ACT BY WRITTEN CONSENT IN LIEU OF A MEETING.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	TO AUTHORIZE THE CHANGE IN THE AUTHORIZED CAPITAL STOCK OF HIGHLAND TRANSCEND FROM (I) 200,000,000 CLASS A ORDINARY SHARES, 20,000,000 CLASS B ORDINARY SHARES, AND 1,000,000 PREFERRED SHARES, PAR VALUE \$0.0001 PER SHARE TO (II) 3,000,000,000 SHARES OF CLASS A COMMON STOCK, 1,000,000,000 SHARES OF CLASS B COMMON STOCK AND 500,000,000 SHARES OF PREFERRED STOCK, PAR VALUE \$0.0001 PER SHARE.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	THE EQUITY INCENTIVE PLAN PROPOSAL. TO APPROVE BY ORDINARY RESOLUTION THE PACKABLE COMMERCE, INC. 2022 EQUITY INCENTIVE PLAN.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	THE EMPLOYEE STOCK PURCHASE PLAN PROPOSAL. TO APPROVE BY ORDINARY RESOLUTION THE PACKABLE COMMERCE, INC. 2022 EMPLOYEE STOCK PURCHASE PLAN.	MANAGEMENT	ABSTAIN	AGAINST
HIGHLAND TRANSCEND PARTNERS 1 CORP.	29-Mar-22	HTPA	G44690108	THE ADJOURNMENT PROPOSAL. TO APPROVE BY ORDINARY RESOLUTION THE ADJOURNMENT OF THE GENERAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT IT IS DETERMINED BY HIGHLAND TRANSCEND THAT MORE TIME IS NECESSARY OR APPROPRIATE TO APPROVE ONE OR MORE PROPOSALS AT THE GENERAL MEETING BE APPROVED AND ADOPTED IN ALL RESPECTS.	MANAGEMENT	ABSTAIN	AGAINST
SOC TELEMED INC.	4-Apr-22	TLMD	78472F101	TO ADOPT AND APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 2, 2022 (THE "MERGER AGREEMENT"), BY AND AMONG SOC TELEMED, INC., SPARK PARENT, INC. AND SPARK MERGER SUB, INC.	MANAGEMENT	FOR	FOR
SOC TELEMED INC.	4-Apr-22	TLMD	78472F101	TO ADJOURN THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT AND APPROVE THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
BLUEROCK RESIDENTIAL GROWTH REIT, INC.	12-Apr-22	BRG	09627J102	TO APPROVE THE MERGER OF BLUEROCK RESIDENTIAL GROWTH REIT, INC. (THE "COMPANY") WITH AND INTO BADGER MERGER SUB LLC ("MERGER SUB"), A WHOLLY OWNED SUBSIDIARY OF BADGER PARENT LLC ("PARENT"), CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 20, 2021, AND AS IT MAY BE AMENDED FROM TIME TO TIME, AMONG THE COMPANY, PARENT AND MERGER SUB (THE "PROPOSAL TO APPROVE THE MERGER").	MANAGEMENT	FOR	FOR
BLUEROCK RESIDENTIAL GROWTH REIT, INC.	12-Apr-22	BRG	09627J102	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO OUR NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER (THE "PROPOSAL TO APPROVE THE MERGER-RELATED COMPENSATION").	MANAGEMENT	FOR	FOR
BLUEROCK RESIDENTIAL GROWTH REIT, INC.	12-Apr-22	BRG	09627J102	TO APPROVE ANY ADJOURNMENT OF THE SPECIAL MEETING FOR THE PURPOSE OF SOLICITING ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE SPECIAL MEETING TO APPROVE THE MERGER (THE "PROPOSAL TO APPROVE ADJOURNMENT OF THE MEETING").	MANAGEMENT	FOR	FOR
US ECOLOGY, INC.	26-Apr-22	ECOL	91734M103	TO ADOPT THAT CERTAIN AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 8, 2022, AS MAY BE AMENDED FROM TIME TO TIME (THE "MERGER AGREEMENT") BY AND AMONG US ECOLOGY, INC., REPUBLIC SERVICES, INC., AND BRONCO ACQUISITION CORP.	MANAGEMENT	FOR	FOR
US ECOLOGY, INC.	26-Apr-22	ECOL	91734M103	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BE PAID BY US ECOLOGY, INC., TO ITS NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER OF BRONCO ACQUISITION CORP. WITH AND INTO US ECOLOGY, INC. PURSUANT TO THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
US ECOLOGY, INC.	26-Apr-22	ECOL	91734M103	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING OF STOCKHOLDERS TO A LATER DATE OR TIME IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL NO. 1.	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: ANTONIO O. GARZA	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: ALKA GUPTA	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: W. ALEXANDER HOLMES	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: FRANCISCO LORCA	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: MICHAEL P. RAFFERTY	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: JULIE E. SILCOCK	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: W. BRUCE TURNER	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ELECTION OF DIRECTOR: PEGGY VAUGHAN	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2022.	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	5-May-22	MGI	60935Y208	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: SARAH M. BARPOULIS	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: VICTOR A. FORTKIEWICZ	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: SHEILA HARTNETT-DEVLIN, CFA	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: G. EDISON HOLLAND, JR.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: SUNITA HOLZER	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: KEVIN M. ODDOWD	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: CHRISTOPHER J. PALADINO	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: MICHAEL J. RENNA	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: JOSEPH M. RIGBY	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ELECTION OF DIRECTOR FOR A TERM EXPIRING IN 2023: FRANK L. SIMS	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	THE APPROVAL OF THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	THE ADVISORY, NON-BINDING COMPENSATION PROPOSAL RELATING TO THE MERGER.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2022.	MANAGEMENT	FOR	FOR
SOUTH JERSEY INDUSTRIES, INC.	10-May-22	SJI	838518108	ADJOURNMENT OF THE ANNUAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO APPROVE THE MERGER AGREEMENT AT THE TIME OF THE ANNUAL MEETING.	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-22	SAVE	848577102	DIRECTOR H. MCINTYRE GARDNER	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-22	SAVE	848577102	DIRECTOR MYRNA M. SOTO	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-22	SAVE	848577102	TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS, OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
SPIRIT AIRLINES, INC.	10-May-22	SAVE	848577102	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE ATTACHED PROXY STATEMENT PURSUANT TO EXECUTIVE COMPENSATION DISCLOSURE RULES UNDER THE SECURITIES EXCHANGE ACT OF 1934, AS AMENDED.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	A SPECIAL RESOLUTION TO APPROVE A PROPOSED PLAN OF ARRANGEMENT UNDER SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT PURSUANT TO AN ARRANGEMENT AGREEMENT DATED MARCH 7, 2022 ENTERED INTO BETWEEN 1351693 B.C. LTD. (THE "PURCHASER"), A BRITISH COLUMBIA CORPORATION AND AN AFFILIATE OF CLEARLAKE CAPITAL GROUP, L.P., AND THE COMPANY, TO EFFECT AMONG OTHER THINGS, THE ACQUISITION BY THE PURCHASER OF ALL OF THE OUTSTANDING COMMON SHARES OF THE COMPANY IN EXCHANGE FOR C\$40.50 CASH PER COMMON SHARE.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR CHRIS R. CAWSTON	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR JANE CRAIGHEAD	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR FRANK DI TOMASO	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR ROBERT J. FOSTER	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR DAHRA GRANOVSKY	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR JAMES PANTELIDIS	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR JORGE N. QUINTAS	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR MARY PAT SALOMONE	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR GREGORY A.C. YULL	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	DIRECTOR MELBOURNE F. YULL	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	APPOINTMENT OF RAYMOND CHABOT GRANT THORNTON LLP AS AUDITOR.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	"SAY ON PAY" VOTE.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	11-May-22	ITPOF	460919103	APPROVE THE CONTINUATION OF THE COMPANY'S SHAREHOLDER RIGHTS PLAN.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	16-May-22	OCDX	G6829J107	CONSIDERING, AND IF THOUGHT FIT, APPROVING THE SCHEME REFERRED TO IN THE NOTICE CONVENING THE COURT MEETING CONTAINED IN THE SCHEME DOCUMENT.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	16-May-22	OCDX	G6829J107	TO GIVE EFFECT TO THE SCHEME OF ARRANGEMENT BETWEEN THE COMPANY AND THE SCHEME SHAREHOLDERS: 1A. TO AUTHORISE THE DIRECTORS OF THE COMPANY (OR A DULY AUTHORIZED COMMITTEE OF THE DIRECTORS) TO TAKE ALL SUCH ACTION AS THEY MAY CONSIDER NECESSARY OR APPROPRIATE FOR CARRYING THE SCHEME INTO EFFECT. 1B. WITH EFFECT FROM THE PASSING OF THIS SPECIAL RESOLUTION, TO ADOPT AS THE ARTICLES OF ASSOCIATION OF THE COMPANY THE DRAFT FORM OF ARTICLES OF ASSOCIATION ATTACHED TO THE SCHEME DOCUMENT AT ANNEX ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	16-May-22	OCDX	G6829J107	TO APPROVE A NON-BINDING ADVISORY PROPOSAL TO APPROVE CERTAIN COMPENSATION ARRANGEMENTS THAT MAY BE PAID OR BECOME PAYABLE TO THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE COMBINATIONS.	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	PROPOSAL TO ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	PROPOSAL TO APPROVE ON AN ADVISORY (NON-BINDING) BASIS CERTAIN COMPENSATION ARRANGEMENTS FOR THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	ELECTION OF DIRECTOR: RANDOLPH L. HOWARD	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	ELECTION OF DIRECTOR: DEBORA M. FRODL	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	ELECTION OF DIRECTOR: DYLAN GLENN	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	PROPOSAL TO APPROVE THE ADVISORY (NON-BINDING) RESOLUTION RELATING TO EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2022.	MANAGEMENT	FOR	FOR
RENEWABLE ENERGY GROUP, INC.	17-May-22	REGI	75972A301	PROPOSAL TO APPROVE THE ADJOURNMENT OF THE ANNUAL MEETING, IF NECESSARY, TO CONTINUE TO SOLICIT ADDITIONAL PROXIES TO ADOPT THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
ZYNGA INC.	19-May-22	ZNGA	98986T108	TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED JANUARY 9, 2022, WHICH IS REFERRED TO AS THE "MERGER AGREEMENT," AMONG TAKE-TWO INTERACTIVE SOFTWARE, INC., ZEBRA MS I, INC., ZEBRA MS II, INC., AND ZYNGA INC., AS IT MAY BE AMENDED FROM TIME TO TIME, WHICH PROPOSAL IS REFERRED TO AS THE "ZYNGA MERGER PROPOSAL".	MANAGEMENT	FOR	FOR
ZYNGA INC.	19-May-22	ZNGA	98986T108	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO ZYNGA NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
ZYNGA INC.	19-May-22	ZNGA	98986T108	TO APPROVE THE ADJOURNMENT OF THE ZYNGA SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE ZYNGA SPECIAL MEETING TO APPROVE THE ZYNGA MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
LEUCROTTA EXPLORATION INC.	20-May-22	LCRTF	52728X208	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX A TO THE ACCOMPANYING INFORMATION CIRCULAR DATED APRIL 26, 2022 (THE "INFORMATION CIRCULAR") OF THE CORPORATION TO APPROVE A PLAN OF ARRANGEMENT UNDER SECTION 193 OF THE BUSINESS CORPORATIONS ACT (ALBERTA), ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
LEUCROTTA EXPLORATION INC.	20-May-22	LCRTF	52728X208	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH UNDER THE HEADING "OTHER MATTERS OF SPECIAL BUSINESS RELATING TO EXPLORECO - EXPLORECO PRIVATE PLACEMENT" IN THE INFORMATION CIRCULAR, APPROVING A PRIVATE PLACEMENT OF UP TO 27.8 MILLION UNITS IN THE CAPITAL OF COELACANTH ENERGY INC. (FORMERLY 2418573 ALBERTA LTD.) ("EXPLORECO"), RAISING UP TO APPROXIMATELY \$7.5 MILLION AT A PRICE OF \$0.27 PER UNIT.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
LEUCROTTA EXPLORATION INC.	20-May-22	LCRTF	52728X208	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH UNDER THE HEADING "OTHER MATTERS OF SPECIAL BUSINESS RELATING TO EXPLORECO - STOCK OPTION PLAN" IN THE INFORMATION CIRCULAR, TO APPROVE A STOCK OPTION PLAN FOR EXPLORECO, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
LEUCROTTA EXPLORATION INC.	20-May-22	LCRTF	52728X208	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH UNDER THE HEADING "OTHER MATTERS OF SPECIAL BUSINESS RELATING TO EXPLORECO - EXPLORECO PRSU PLAN" IN THE INFORMATION CIRCULAR, TO APPROVE A PERFORMANCE AND RESTRICTED SHARE UNIT PLAN OF THE CORPORATION, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
LEUCROTTA EXPLORATION INC.	20-May-22	LCRTF	52728X208	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH UNDER THE HEADING "INFORMATION CONCERNING LEUCROTTA" IN THE INFORMATION CIRCULAR, TO APPROVE THE PERFORMANCE AND RESTRICTED SHARE UNIT PLAN OF LEUCROTTA, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	23-May-22	MGI	60935Y208	PROPOSAL TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 14, 2022, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME (THE "MERGER AGREEMENT"), BY AND AMONG MOBIUS PARENT CORP., A DELAWARE CORPORATION ("PARENT") AND AN AFFILIATE OF MADISON DEARBORN PARTNERS, LLC, MOBIUS MERGER SUB, INC., A DELAWARE CORPORATION AND WHOLLY OWNED SUBSIDIARY OF PARENT, AND MONEYGRAM INTERNATIONAL, INC. ("MONEYGRAM").	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	23-May-22	MGI	60935Y208	ADVISORY, NON-BINDING PROPOSAL TO APPROVE COMPENSATION THAT WILL BE OR MAY BECOME PAYABLE TO MONEYGRAM'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
MONEYGRAM INTERNATIONAL, INC.	23-May-22	MGI	60935Y208	PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: ALESSANDRO P. DINELLO	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: JAY J. HANSEN	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: TOAN HUYNH	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: LORI JORDAN	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: JOHN D. LEWIS	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: BRUCE E. NYBERG	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: JAMES A. OVENDEN	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: PETER SCHOELS	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: DAVID L. TREADWELL	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	ELECTION OF DIRECTOR: JENNIFER R. WHIP	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
FLAGSTAR BANCORP, INC.	24-May-22	FBC	337930705	TO ADOPT AN ADVISORY (NON-BINDING) RESOLUTION TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	MANAGEMENT	FOR	FOR
INTRICON CORPORATION	24-May-22	IIN	46121H109	TO (A) APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED 2/27/22 (AS AMENDED, THE "MERGER AGREEMENT"), BY AND AMONG INTRICON ("INTRICON"), IIN HOLDING COMPANY LLC ("PARENT"), AND IC MERGER SUB INC., A WHOLLY OWNED SUBSIDIARY OF PARENT ("MERGER SUB"), AND (B) PURSUANT TO THE MERGER AGREEMENT, APPROVE THE MERGER OF MERGER SUB WITH AND INTO INTRICON, AS A RESULT OF WHICH THE SEPARATE CORPORATE EXISTENCE OF MERGER SUB WILL CEASE, WITH INTRICON CONTINUING AS THE SURVIVING CORPORATION (THE "MERGER") AND A WHOLLY OWNED SUBSIDIARY OF PARENT.	MANAGEMENT	FOR	FOR
INTRICON CORPORATION	24-May-22	IIN	46121H109	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO INTRICON CORPORATION'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE IS RELATED TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
INTRICON CORPORATION	24-May-22	IIN	46121H109	TO APPROVE ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING FROM TIME TO TIME, IF NECESSARY OR APPROPRIATE AS DETERMINED IN THE DISCRETION OF THE BOARD OF DIRECTORS OF INTRICON CORPORATION TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO APPROVE AND ADOPT THE MERGER AGREEMENT AND APPROVE THE MERGER AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	TO CONSIDER AND, IF DEEMED APPROPRIATE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, AUTHORIZING AND APPROVING AN ARRANGEMENT UNDER DIVISION 5 OF PART 9 OF THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX "A" TO THE CORPORATION'S MANAGEMENT INFORMATION CIRCULAR DATED APRIL 22, 2022.	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	TO SET THE NUMBER OF DIRECTORS AT FOUR.	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	DIRECTOR JOSEPH MULLIN	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	DIRECTOR CECIL R. BOND	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	DIRECTOR ANN FEHR	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	DIRECTOR BRYAN WILSON	MANAGEMENT	FOR	FOR
QUESTEX GOLD & COPPER LTD.	25-May-22	QEXGF	74790A106	APPOINTMENT OF DALE MATHESON CARR-HILTON LABONTE LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: MITCHELL E. DANIELS, JR.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: ELDER GRANGER, M.D.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: JOHN J. GREISCH	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: MELINDA J. MOUNT	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: GEORGE A. RIEDEL	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	ELECTION OF DIRECTOR: R. HALSEY WISE	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF CERNER CORPORATION FOR 2022.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL OF THE PROPOSED AMENDMENTS TO OUR THIRD RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED (THE "CERTIFICATE"), TO REMOVE THE SUPERMAJORITY VOTING STANDARDS FOR CERTAIN BUSINESS COMBINATION TRANSACTIONS WITH INTERESTED STOCKHOLDERS.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL OF THE PROPOSED AMENDMENTS TO OUR CERTIFICATE TO REMOVE THE SUPERMAJORITY VOTING STANDARDS TO AMEND OR REPEAL ANY PROVISION OF THE BYLAWS.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL OF THE PROPOSED AMENDMENTS TO OUR CERTIFICATE TO REMOVE THE SUPERMAJORITY VOTING STANDARDS TO AMEND OR REPEAL CERTAIN PROVISIONS OF THE CERTIFICATE.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL OF THE PROPOSED AMENDMENTS TO OUR CERTIFICATE TO REMOVE THE SUPERMAJORITY VOTING STANDARDS TO REMOVE A DIRECTOR WITH CAUSE.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	APPROVAL OF AN AMENDMENT AND RESTATEMENT OF THE CERNER CORPORATION 2011 OMNIBUS EQUITY INCENTIVE PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES AND THE PLAN'S TERM.	MANAGEMENT	FOR	FOR
CERNER CORPORATION	26-May-22	CERN	156782104	SHAREHOLDER PROPOSAL REQUESTING AMENDMENT TO THE COMPANY'S GOVERNING DOCUMENTS TO GIVE SHAREHOLDERS THE RIGHT TO CALL A SPECIAL SHAREHOLDER MEETING.	SHAREHOLDER	ABSTAIN	AGAINST
MERITOR, INC.	26-May-22	MTOR	59001K100	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF FEBRUARY 21, 2022 (AS AMENDED, MODIFIED OR SUPPLEMENTED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG MERITOR, INC., AN INDIANA CORPORATION ("MERITOR"), CUMMINS INC., AN INDIANA CORPORATION ("PARENT"), AND ROSE NEWCO INC., AN INDIANA CORPORATION AND A WHOLLY OWNED SUBSIDIARY OF PARENT ("MERGER SUB"), PURSUANT TO WHICH MERGER SUB WILL BE MERGED WITH AND INTO MERITOR, WITH MERITOR CONTINUING AS THE SURVIVING CORPORATION ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	FOR	FOR
MERITOR, INC.	26-May-22	MTOR	59001K100	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, CERTAIN COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO MERITOR'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
MERITOR, INC.	26-May-22	MTOR	59001K100	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, INCLUDING TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL OR IN THE ABSENCE OF A QUORUM.	MANAGEMENT	FOR	FOR
CEDAR REALTY TRUST INC.	27-May-22	CDR	150602605	TO APPROVE THE SALE OF THE COMPANY AND SUBSTANTIALLY ALL OF ITS ASSETS, INCLUDING THE GROCERY-ANCHORED PORTFOLIO SALE AND THE MERGERS (THE "TRANSACTIONS").	MANAGEMENT	FOR	FOR
CEDAR REALTY TRUST INC.	27-May-22	CDR	150602605	TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION TO BE PAID OR THAT MAY BECOME PAYABLE BY THE COMPANY TO ITS NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE TRANSACTIONS.	MANAGEMENT	FOR	FOR
CEDAR REALTY TRUST INC.	27-May-22	CDR	150602605	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES IF WE HAVE NOT OBTAINED SUFFICIENT AFFIRMATIVE STOCKHOLDER VOTES TO APPROVE THE TRANSACTIONS.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	SAY-ON-PAY FOR NAMED EXECUTIVE OFFICERS ("NEOS") - ORDINARY RESOLUTION TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION PAID TO THE COMPANY'S NEOS FOR THE YEAR ENDED JANUARY 2, 2022 AS DESCRIBED IN THE PROXY STATEMENT (THE "SAY- ON-PAY PROPOSAL FOR NEOS").	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	FREQUENCY OF SAY-ON-PAY PROPOSAL FOR NEOS - ORDINARY RESOLUTION TO DETERMINE, ON A NONBINDING ADVISORY BASIS, THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION PAID TO OUR NEOS.	MANAGEMENT	1 YEAR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	RATIFICATION OF U.S. AUDITOR - ORDINARY RESOLUTION TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP ("PWC") AS THE COMPANY'S U.S. INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING JANUARY 1, 2023.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	U.K. ANNUAL REPORT AND ACCOUNTS - ORDINARY RESOLUTION TO RECEIVE THE COMPANY'S AUDITED U.K. ACCOUNTS FOR THE YEAR ENDED JANUARY 2, 2022, INCLUDING THE REPORTS OF THE DIRECTORS AND THE AUDITOR THEREON (THE "U.K. ANNUAL REPORT AND ACCOUNTS").	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	2021 DIRECTORS' REMUNERATION REPORT - ORDINARY RESOLUTION TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPANY'S DIRECTORS' REMUNERATION REPORT, EXCLUDING THE PROSPECTIVE DIRECTORS' REMUNERATION POLICY (THE "2021 DIRECTORS' REMUNERATION REPORT"), AS SET FORTH IN THE COMPANY'S U.K. ANNUAL REPORT AND ACCOUNTS	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	PROSPECTIVE DIRECTORS' REMUNERATION POLICY - ORDINARY RESOLUTION TO APPROVE THE COMPANY'S PROSPECTIVE DIRECTORS' REMUNERATION POLICY FOR THE THREE YEARS ENDED DECEMBER 2024, AS SET FORTH IN THE U.K. ANNUAL REPORT AND ACCOUNTS, SUCH POLICY TO TAKE EFFECT IMMEDIATELY AFTER THE CONCLUSION OF THE 2022 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	RE-APPOINTMENT OF U.K. STATUTORY AUDITOR - ORDINARY RESOLUTION TO RE-APPOINT PWC AS THE COMPANY'S U.K. STATUTORY AUDITOR UNDER THE U.K. COMPANIES ACT 2006, TO HOLD OFFICE FROM THE CONCLUSION OF THE 2022 ANNUAL GENERAL MEETING OF SHAREHOLDERS UNTIL THE NEXT ANNUAL GENERAL MEETING OF SHAREHOLDERS AT WHICH ACCOUNTS ARE LAID.	MANAGEMENT	FOR	FOR
ORTHO CLINICAL DIAGNOSTICS HOLDINGS PLC	1-Jun-22	N/A	G6829J107	U.K. STATUTORY AUDITOR FEES - ORDINARY RESOLUTION TO AUTHORIZE THE BOARD AND/OR THE AUDIT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF PWC, IN ITS CAPACITY AS THE COMPANY'S U.K. STATUTORY AUDITOR FOR THE YEAR ENDING JANUARY 1, 2023, AND TO RATIFY THE REMUNERATION OF PWC FOR THE YEAR ENDED JANUARY 2, 2022.	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	2-Jun-22	NPTN	64051T100	DIRECTOR KIMBERLY Y. CHAINEY	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	2-Jun-22	NPTN	64051T100	DIRECTOR RAJIV RAMASWAMI PHD	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	2-Jun-22	NPTN	64051T100	DIRECTOR IHAB TARAZI	MANAGEMENT	FOR	FOR
NEOPHOTONICS CORPORATION	2-Jun-22	NPTN	64051T100	RATIFICATION OF THE SELECTION BY OUR AUDIT COMMITTEE OF BDO USA, LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
OAKTREE ACQUISITION CORP. II	7-Jun-22	OACB	G6715X103	THE BUSINESS COMBINATION PROPOSAL - RESOLVED, AS AN ORDINARY RESOLUTION, THAT OACB'S ENTRY INTO THE BUSINESS COMBINATION AGREEMENT, DATED AS OF DECEMBER 7, 2021 (AS MAY BE AMENDED, SUPPLEMENTED OR OTHERWISE MODIFIED FROM TIME TO TIME, THE "BUSINESS COMBINATION AGREEMENT"), BY AND AMONG OACB, ALVOTECH HOLDINGS S.A., A PUBLIC LIMITED LIABILITY COMPANY (SOCIÉTÉ ANONYME) INCORPORATED AND EXISTING UNDER THE LAWS OF THE GRAND DUCHY OF LUXEMBOURG, HAVING ITS REGISTERED OFFICE AT 9, RUE DE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	FOR	FOR
OAKTREE ACQUISITION CORP. II	7-Jun-22	OACB	G6715X103	THE FIRST MERGER PROPOSAL - RESOLVED, AS A SPECIAL RESOLUTION, THAT (A) OACB BE AUTHORIZED TO MERGE WITH TOPCO SO THAT TOPCO IS THE SURVIVING ENTITY AND ALL THE UNDERTAKING, PROPERTY AND LIABILITIES OF OACB VEST IN TOPCO; (B) THE PLAN OF MERGER IN THE FORM TABLED TO THE GENERAL MEETING (A DRAFT OF WHICH IS ATTACHED TO THE ACCOMPANYING PROXY STATEMENT/PROSPECTUS AS EXHIBIT G OF ANNEX A, THE "PLAN OF FIRST MERGER") BE AUTHORIZED, APPROVED AND CONFIRMED IN ALL RESPECTS; AND (C) OACB BE AUTHORIZED TO ENTER INTO THE PLAN OF FIRST MERGER.	MANAGEMENT	FOR	FOR
OAKTREE ACQUISITION CORP. II	7-Jun-22	OACB	G6715X103	THE ADJOURNMENT PROPOSAL - RESOLVED, AS AN ORDINARY RESOLUTION, THAT THE ADJOURNMENT OF THE OACB GENERAL MEETING TO A LATER DATE OR DATES (A) TO THE EXTENT NECESSARY TO ENSURE THAT ANY REQUIRED SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING PROXY STATEMENT/PROSPECTUS IS PROVIDED TO OACB SHAREHOLDERS, (B) IN ORDER TO SOLICIT ADDITIONAL PROXIES FROM OACB SHAREHOLDERS IN FAVOR OF ONE OR MORE OF THE PROPOSALS AT THE OACB GENERAL MEETING OR (C) IF OACB SHAREHOLDERS REDEEM AN AMOUNT OF THE OACB ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	TO ADOPT THE MERGER AGREEMENT AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	TO APPROVE CERTAIN COMPENSATION ARRANGEMENTS FOR THE COMPANY'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	TO APPROVE THE ADJOURNMENT OF THE ANNUAL MEETING, IF NECESSARY, TO CONTINUE TO SOLICIT VOTES TO ADOPT THE MERGER AGREEMENT AND APPROVE THE MERGER.	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: ROY V. ARMES	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: THOMAS C. FREYMAN	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: DENISE GRAY	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: BRIAN J. KESSELER	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: MICHELLE A. KUMBIER	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: DENNIS J. LETHAM	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: JAMES S. METCALF	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: ALEKSANDRA A. MIZIOLEK	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: CHARLES K. STEVENS, III	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	ELECTION OF DIRECTOR: JOHN S. STROUP	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	RATIFY APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT PUBLIC ACCOUNTANTS FOR 2022.	MANAGEMENT	FOR	FOR
TENNECO INC.	7-Jun-22	TEN	880349105	APPROVE EXECUTIVE COMPENSATION IN AN ADVISORY VOTE.	MANAGEMENT	FOR	FOR
TUFIN SOFTWARE TECHNOLOGIES LTD.	7-Jun-22	TUFN	M8893U102	THE MERGER PROPOSAL TO APPROVE PROPOSED ACQUISITION OF COMPANY BY TALON MIDCO 3 LIMITED, A PRIVATE COMPANY INCORPORATED IN ENGLAND AND WALES ("BUYER"), INCLUDING THE APPROVAL OF (A) AGREEMENT & PLAN OF MERGER, DATED AS OF APRIL 5, 2022 (THE "MERGER AGREEMENT"), PURSUANT TO WHICH TALON MERGER SUB LTD., A COMPANY ORGANIZED UNDER LAWS OF STATE OF ISRAEL AND A WHOLLY OWNED SUBSIDIARY OF BUYER ("MERGER SUB"), WILL MERGE WITH AND INTO COMPANY, SO THAT THE COMPANY WILL BE THE SURVIVING COMPANY AND WILL BECOME A DIRECT WHOLLY OWNED SUBSIDIARY OF BUYER.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
TUFIN SOFTWARE TECHNOLOGIES LTD.	7-Jun-22	TUFN	M8893U102	THE UNDERSIGNED CONFIRMS THAT HE, SHE OR IT IS NOT (A) BUYER, MERGER SUB OR ANY PERSON OR ENTITY HOLDING, DIRECTLY OR INDIRECTLY, 25% OR MORE OF THE TOTAL OUTSTANDING VOTING POWER OF BUYER OR MERGER SUB, OR THE RIGHT TO APPOINT 25% OR MORE OF THE DIRECTORS OF BUYER OR MERGER SUB; (B) A PERSON OR ENTITY ACTING ON BEHALF OF BUYER, MERGER SUB OR A PERSON OR ENTITY DESCRIBED IN CLAUSE. MARK "FOR" = YES OR "AGAINST" = NO.	MANAGEMENT	FOR	N/A
TUFIN SOFTWARE TECHNOLOGIES LTD.	7-Jun-22	TUFN	M8893U102	THE ADJOURNMENT PROPOSAL. TO APPROVE THE ADJOURNMENT OF THE MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO APPROVE THE MERGER PROPOSAL AT THE TIME OF THE MEETING.	MANAGEMENT	FOR	FOR
ALLEGHANY CORPORATION	9-Jun-22	Y	017175100	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), DATED AS OF MARCH 20, 2022, BY AND AMONG BERKSHIRE HATHAWAY INC., O&M ACQUISITION CORP. ("MERGER SUB"), AND ALLEGHANY CORPORATION, AND THE MERGER OF MERGER SUB WITH AND INTO ALLEGHANY CORPORATION (THE "MERGER").	MANAGEMENT	FOR	FOR
ALLEGHANY CORPORATION	9-Jun-22	Y	017175100	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BECOME PAYABLE TO ALLEGHANY CORPORATION'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
ALLEGHANY CORPORATION	9-Jun-22	Y	017175100	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE PROPOSAL TO APPROVE AND ADOPT THE MERGER AGREEMENT AND THE MERGER.	MANAGEMENT	FOR	FOR
ALTITUDE ACQUISITION CORP.	10-Jun-22	ALTU	02156Y103	TO AMEND (THE "EXTENSION AMENDMENT") THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION (OUR "CHARTER") TO EXTEND THE DATE BY WHICH THE COMPANY MUST CONSUMMATE A BUSINESS COMBINATION (AS DEFINED BELOW) (THE "EXTENSION") FROM JUNE 11, 2022 (THE DATE WHICH IS 18 MONTHS FROM THE CLOSING DATE OF THE COMPANY'S INITIAL PUBLIC OFFERING OF OUR UNITS (THE "IPO")) TO OCTOBER 11, 2022 (THE DATE WHICH IS 22 MONTHS FROM THE CLOSING DATE OF THE IPO) (THE "EXTENDED DATE").	MANAGEMENT	AGAINST	AGAINST
ALTITUDE ACQUISITION CORP.	10-Jun-22	ALTU	02156Y103	A PROPOSAL TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES TO APPROVE THE EXTENSION AMENDMENT PROPOSAL OR IF WE DETERMINE THAT ADDITIONAL TIME IS NECESSARY TO EFFECTUATE THE EXTENSION.	MANAGEMENT	AGAINST	AGAINST
DUNE ACQUISITION CORPORATION	14-Jun-22	DUNE	265334102	AMEND THE COMPANY'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EXTEND THE DATE BY WHICH THE COMPANY MUST CONSUMMATE A BUSINESS COMBINATION FROM JUNE 22, 2022 (THE DATE WHICH IS 18 MONTHS FROM THE CLOSING DATE OF THE COMPANY'S INITIAL PUBLIC OFFERING (THE "IPO")) TO DECEMBER 22, 2023 (THE DATE WHICH IS 36 MONTHS FROM THE CLOSING DATE OF THE IPO).	MANAGEMENT	AGAINST	AGAINST
DUNE ACQUISITION CORPORATION	14-Jun-22	DUNE	265334102	A PROPOSAL TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY OR APPROPRIATE, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF THE EXTENSION AMENDMENT PROPOSAL.	MANAGEMENT	AGAINST	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	THE BUSINESS COMBINATION PROPOSAL-RESOLVED, AS AN ORDINARY RESOLUTION, THAT VGAC II'S ENTRY INTO THAT CERTAIN AGREEMENT AND PLAN OF MERGER, DATED AS OF DECEMBER 7, 2021, AS AMENDED AND RESTATED ON MARCH 31, 2022 (AS MAY BE FURTHER AMENDED, SUPPLEMENTED, OR OTHERWISE MODIFIED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG VGAC II, TREEHOUSE MERGER SUB, INC., A DELAWARE CORPORATION AND WHOLLY OWNED DIRECT SUBSIDIARY OF VGAC II ("VGAC II MERGER SUB I"), TREEHOUSE MERGER SUB II, ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	THE DOMESTICATION PROPOSAL-RESOLVED, AS A SPECIAL RESOLUTION, THAT VGAC II BE TRANSFERRED BY WAY OF CONTINUATION TO DELAWARE PURSUANT TO PART XII OF THE COMPANIES ACT (AS REVISED) OF THE CAYMAN ISLANDS AND SECTION 388 OF THE GENERAL CORPORATION LAW OF THE STATE OF DELAWARE ("DGCL") AND, IMMEDIATELY UPON BEING DE-REGISTERED IN THE CAYMAN ISLANDS, VGAC II BE CONTINUED AND DOMESTICATED AS A PUBLIC BENEFIT CORPORATION UNDER THE LAWS OF THE STATE OF DELAWARE AND, CONDITIONED UPON, AND WITH EFFECT ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	CHARTER AMENDMENT PROPOSAL-RESOLVED, AS A SPECIAL RESOLUTION, THAT THE EXISTING AMENDED AND RESTATED MEMORANDUM AND ARTICLES OF ASSOCIATION OF VGAC II (TOGETHER, THE "EXISTING GOVERNING DOCUMENTS") BE AMENDED AND RESTATED BY THE DELETION IN THEIR ENTIRETY AND THE SUBSTITUTION IN THEIR PLACE OF THE PROPOSED NEW CERTIFICATE OF INCORPORATION, A COPY OF WHICH IS ATTACHED TO THE PROXY STATEMENT/CONSENT SOLICITATION STATEMENT/PROSPECTUS AS ANNEX C (THE "PROPOSED CERTIFICATE OF INCORPORATION") AND THE ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	GOVERNING DOCUMENTS PROPOSAL A-RESOLVED, AS A NON-BINDING, ADVISORY RESOLUTION, THAT THE CHANGE IN THE AUTHORIZED SHARE CAPITAL OF VGAC II FROM (I) US\$22,100 DIVIDED INTO 200,000,000 CLASS A ORDINARY SHARES, PAR VALUE \$0.0001 PER SHARE, (II) 20,000,000 CLASS B ORDINARY SHARES, PAR VALUE \$0.0001 PER SHARE, AND (III) 1,000,000 PREFERENCE SHARES, PAR VALUE \$0.0001 PER SHARE, TO (A) 600,000,000 SHARES OF NEW GROVE CLASS A COMMON STOCK, (B) 200,000,000 SHARES OF NEW GROVE CLASS B COMMON STOCK, ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	GOVERNING DOCUMENTS PROPOSAL B-RESOLVED, AS A NON-BINDING, ADVISORY RESOLUTION, THAT THE AMENDMENT AND RESTATEMENT OF THE EXISTING GOVERNING DOCUMENTS BE APPROVED AND THAT ALL OTHER IMMATERIAL CHANGES NECESSARY OR, AS MUTUALLY AGREED IN GOOD FAITH BY VGAC II AND GROVE, DESIRABLE IN CONNECTION WITH THE REPLACEMENT OF THE EXISTING GOVERNING DOCUMENTS WITH THE PROPOSED CERTIFICATE OF INCORPORATION AND PROPOSED BYLAWS AS PART OF THE DOMESTICATION (COPIES OF WHICH ARE ATTACHED TO THE ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	GOVERNING DOCUMENTS PROPOSAL C-RESOLVED, AS A NON-BINDING, ADVISORY RESOLUTION, THAT THE ISSUANCE OF SHARES OF NEW GROVE CLASS B COMMON STOCK, WHICH WILL ALLOW HOLDERS OF NEW GROVE CLASS B COMMON STOCK TO CAST TEN VOTES PER SHARE OF NEW GROVE CLASS B COMMON STOCK BE APPROVED.	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	THE NYSE PROPOSAL-RESOLVED, AS AN ORDINARY RESOLUTION, THAT FOR THE PURPOSES OF COMPLYING WITH THE APPLICABLE PROVISIONS OF NEW YORK STOCK EXCHANGE ("NYSE") LISTING RULE 312.03, THE ISSUANCE OF SHARES OF NEW GROVE CLASS A COMMON STOCK, SHARES OF NEW GROVE CLASS B COMMON STOCK AND WARRANTS TO PURCHASE NEW GROVE CLASS A COMMON STOCK BE APPROVED.	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	THE INCENTIVE EQUITY PLAN PROPOSAL-RESOLVED, AS AN ORDINARY RESOLUTION, THAT THE GROVE COLLABORATIVE HOLDINGS, INC. 2022 EQUITY AND INCENTIVE PLAN, A COPY OF WHICH IS ATTACHED TO THE PROXY STATEMENT/CONSENT SOLICITATION STATEMENT/PROSPECTUS AS ANNEX I, BE ADOPTED AND APPROVED.	MANAGEMENT	ABSTAIN	AGAINST
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	ESPP PROPOSAL-RESOLVED, AS AN ORDINARY RESOLUTION, THAT THE GROVE COLLABORATIVE HOLDINGS, INC. EMPLOYEE STOCK PURCHASE PLAN, A COPY OF WHICH IS ATTACHED TO THE PROXY STATEMENT/CONSENT SOLICITATION STATEMENT/PROSPECTUS AS ANNEX J, BE ADOPTED AND APPROVED.	MANAGEMENT	ABSTAIN	AGAINST

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
VIRGIN GROUP ACQUISITION CORP. II	14-Jun-22	VGII	G9460K102	THE ADJOURNMENT PROPOSAL-RESOLVED, AS AN ORDINARY RESOLUTION, THAT THE ADJOURNMENT OF THE EXTRAORDINARY GENERAL MEETING TO A LATER DATE OR DATES (A) TO THE EXTENT NECESSARY TO ENSURE THAT ANY REQUIRED SUPPLEMENT OR AMENDMENT TO THE ACCOMPANYING PROXY STATEMENT/CONSENT SOLICITATION STATEMENT/PROSPECTUS IS PROVIDED TO VGAC II SHAREHOLDERS, (B) IN ORDER TO SOLICIT ADDITIONAL PROXIES FROM VGAC II SHAREHOLDERS IN FAVOR OF ONE OR MORE OF THE PROPOSALS AT THE EXTRAORDINARY GENERAL MEETING, (C) IF, AS OF . . . (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	ABSTAIN	N/A
CORNER GROWTH ACQUISITION CORP. 2	15-Jun-22	TRON	G2426E104	EXTENSION PROPOSAL: AMEND THE COMPANY'S AMENDED AND RESTATED MEMORANDUM AND ARTICLES OF ASSOCIATION TO (I) EXTEND THE DATE THAT THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION FROM JUNE 21, 2022 TO JULY 21, 2022 (THE "EXTENDED DATE") AND (II) ALLOW THE COMPANY WITHOUT ANOTHER SHAREHOLDER VOTE, TO ELECT TO EXTEND THE DATE TO CONSUMMATE A BUSINESS COMBINATION AFTER THE EXTENDED DATE ON A MONTHLY BASIS FOR AN ADDITIONAL EIGHT MONTHS, THROUGH AND UNTIL MARCH 21, 2023 THE ("ADDITIONAL EXTENSION DATE").	MANAGEMENT	AGAINST	AGAINST
CORNER GROWTH ACQUISITION CORP. 2	15-Jun-22	TRON	G2426E104	ADJOURNMENT PROPOSAL: ADJOURN THE EXTRAORDINARY GENERAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF PROPOSAL 1.	MANAGEMENT	AGAINST	AGAINST
PRUDENTIAL BANCORP, INC.	15-Jun-22	PBIP	74431A101	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 1, 2022, BY AND BETWEEN FULTON FINANCIAL CORPORATION AND PRUDENTIAL BANCORP, INC., AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME.	MANAGEMENT	FOR	FOR
PRUDENTIAL BANCORP, INC.	15-Jun-22	PBIP	74431A101	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO PRUDENTIAL BANCORP, INC.'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON, OR OTHERWISE RELATES TO, THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
PRUDENTIAL BANCORP, INC.	15-Jun-22	PBIP	74431A101	TO APPROVE ONE OR MORE ADJOURNMENTS OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, INCLUDING ADJOURNMENTS TO PERMIT FURTHER SOLICITATION OF PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
SUMMER INFANT, INC.	16-Jun-22	SUMR	865646301	THE MERGER PROPOSAL - TO ADOPT THE AGREEMENT AND PLAN OF MERGER, DATED MARCH 16, 2022 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), BY AND AMONG SUMMER INFANT, INC ("SUMR"), KIDS2, INC., A GEORGIA CORPORATION ("PARENT"), AND PROJECT ABACUS ACQUISITION CORP., A DELAWARE CORP. AND WHOLLY OWNED SUBSIDIARY OF PARENT ("MERGER SUB"), PURSUANT TO WHICH, UPON SATISFACTION OR WAIVER OF CONDITIONS TO CLOSING SET FORTH IN MERGER AGREEMENT, MERGER SUB WILL MERGE WITH & INTO SUMR WITH SUMR SURVIVING MERGER AS A WHOLLY OWNED SUBSIDIARY OF PARENT.	MANAGEMENT	FOR	FOR
SUMMER INFANT, INC.	16-Jun-22	SUMR	865646301	THE COMPENSATION PROPOSAL - TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, CERTAIN COMPENSATION THAT WILL OR MAY BECOME PAYABLE TO OUR NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
SUMMER INFANT, INC.	16-Jun-22	SUMR	865646301	THE ADJOURNMENT PROPOSAL - TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IF, BASED UPON THE TABULATED VOTE AT THE TIME OF THE SPECIAL MEETING, THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF THE MERGER PROPOSAL.	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: CYNTHIA M. EGNOTOVICH	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: DINO J. BIANCO	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: JOAN K. CHOW	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: JANICE L. FIELDS	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: BRIAN R. GAMACHE	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: ANDREW LANGHAM	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	ELECTION OF DIRECTOR TO SERVE FOR A ONE-YEAR TERM EXPIRING AT THE 2023 ANNUAL MEETING: WILLIAM C. JOHNSON	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	THE APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
WELBILT, INC.	17-Jun-22	WBT	949090104	THE RATIFICATION OF THE APPOINTMENT OF GRANT THORNTON LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2022.	MANAGEMENT	FOR	FOR
THE NATIONAL SECURITY GROUP, INC.	20-Jun-22	NSEC	637546102	TO APPROVE AND ADOPT THE AGREEMENT AND PLAN OF MERGER DATED JANUARY 26, 2022, (PLAN OF MERGER), BY AND AMONG THE COMPANY, VR INSURANCE HOLDINGS, INC., A DELAWARE CORPORATION (PARENT), AND VR INSURANCE MERGER SUB, INC., A DELAWARE CORPORATION AND WHOLLY-OWNED SUBSIDIARY OF PARENT (MERGER SUB), AND THE TRANSACTIONS CONTEMPLATED THEREBY.	MANAGEMENT	FOR	FOR
THE NATIONAL SECURITY GROUP, INC.	20-Jun-22	NSEC	637546102	TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO THE COMPANY'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE PLAN OF MERGER AND THE TRANSACTIONS CONTEMPLATED BY THE PLAN OF MERGER (THE COMPENSATION PROPOSALS).	MANAGEMENT	FOR	FOR
THE NATIONAL SECURITY GROUP, INC.	20-Jun-22	NSEC	637546102	IF NECESSARY OR APPROPRIATE, TO ADJOURN THE SPECIAL MEETING TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE MEETING TO APPROVE AND ADOPT THE PLAN OF MERGER (THE ADJOURNMENT PROPOSAL).	MANAGEMENT	FOR	FOR
ANAPLAN, INC.	21-Jun-22	PLAN	03272L108	TO ADOPT THE MERGER AGREEMENT AND PLAN OF MERGER, DATED AS OF MARCH 20, 2022, BY AND AMONG ALPINE PARENT, LLC, ALPINE MERGER SUB, INC., AND ANAPLAN, INC., AS IT MAY BE AMENDED FROM TIME TO TIME.	MANAGEMENT	FOR	FOR
ANAPLAN, INC.	21-Jun-22	PLAN	03272L108	TO APPROVE THE ADOPTION OF ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR
ANAPLAN, INC.	21-Jun-22	PLAN	03272L108	TO APPROVE, BY NON-BINDING, ADVISORY VOTE, COMPENSATION THAT WILL OR MAY BECOME PAYABLE BY ANAPLAN, INC. TO ITS NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE MERGER.	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	THE SPECIAL RESOLUTION APPROVING AN ARRANGEMENT UNDER SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT INVOLVING THE COMPANY AVIAT U.S., INC. AND AVIAT NETWORKS, INC., THE FULL TEXT OF WHICH RESOLUTION IS SET FORTH IN APPENDIX "A" TO THE MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY DATED MAY 18, 2022 (THE "CIRCULAR").	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	THE SPECIAL RESOLUTION APPROVING A REDUCTION OF THE STATED CAPITAL OF THE COMMON SHARES IN THE CAPITAL OF THE COMPANY BY THE AMOUNT NECESSARY FOR THE COMPANY TO MEET THE SOLVENCY TEST IN SUBSECTION 192(2) OF THE CANADA BUSINESS CORPORATIONS ACT, THE FULL TEXT OF WHICH RESOLUTION IS SET FORTH IN APPENDIX "A" TO THE CIRCULAR.	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	DIRECTOR RONAN MCGRATH	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	DIRECTOR D. NEIL MCDONNELL	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	DIRECTOR AMIEE CHAN	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	DIRECTOR JOSEF VEJVODA	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	DIRECTOR RICHARD YOON	MANAGEMENT	FOR	FOR
REDLINE COMMUNICATIONS GROUP INC.	21-Jun-22	RDLCF	757637400	APPOINTMENT OF KPMG LLP AS AUDITORS OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR

PENDER ALTERNATIVE ARBITRAGE FUND
 PROXY VOTING RECORD - SEPTEMBER 8, 2021 (COMMENCEMENT OF OPERATIONS) TO JUNE 30, 2022



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
POINTS.COM INC.	23-Jun-22	PCOM	73085G109	TO CONSIDER, PURSUANT TO AN INTERIM ORDER OF THE ONTARIO SUPERIOR COURT OF JUSTICE (COMMERCIAL LIST) DATED MAY 20, 2022 AND, IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION TO APPROVE A PROPOSED PLAN OF ARRANGEMENT INVOLVING THE COMPANY, ON THE ONE HAND, AND 13994384 CANADA INC., A SUBSIDIARY OF PLUSGRADE L.P., ON THE OTHER HAND, PURSUANT TO SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT, AS MORE PARTICULARLY DESCRIBED IN THE ACCOMPANYING MANAGEMENT PROXY CIRCULAR.	MANAGEMENT	FOR	FOR
E.MERGE TECHNOLOGY ACQUISITION CORP	28-Jun-22	ETAC	26873Y104	EXTENSION AMENDMENT PROPOSAL: AMEND THE COMPANY'S SECOND AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO EXTEND THE DATE BY WHICH THE COMPANY HAS TO CONSUMMATE A BUSINESS COMBINATION FROM AUGUST 4, 2022 TO NOVEMBER 4, 2022 OR SUCH EARLIER DATE AS DETERMINED BY THE BOARD OF DIRECTORS.	MANAGEMENT	AGAINST	AGAINST
E.MERGE TECHNOLOGY ACQUISITION CORP	28-Jun-22	ETAC	26873Y104	ADJOURNMENT PROPOSAL: ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES, IF NECESSARY, TO PERMIT FURTHER SOLICITATION AND VOTE OF PROXIES IN THE EVENT THAT THERE ARE INSUFFICIENT VOTES FOR, OR OTHERWISE IN CONNECTION WITH, THE APPROVAL OF PROPOSAL 1.	MANAGEMENT	AGAINST	AGAINST
SAILPOINT TECHNOLOGIES HOLDINGS, INC.	30-Jun-22	SAIL	78781P105	TO CONSIDER & VOTE ON THE PROPOSAL TO ADOPT THE AGREEMENT & PLAN OF MERGER, DATED AS OF APRIL 10, 2022, (THE "MERGER AGREEMENT"), BY & AMONG PROJECT HOTEL CALIFORNIA HOLDINGS, LP, A DELAWARE LIMITED PARTNERSHIP & PROJECT HOTEL CALIFORNIA MERGER SUB, INC., A DELAWARE CORPORATION & A WHOLLY OWNED SUBSIDIARY OF PARENT, WHEREBY PURSUANT TO THE TERMS OF THE MERGER AGREEMENT, MERGER SUB WILL MERGE WITH & INTO SAILPOINT & THE SEPARATE CORPORATE EXISTENCE OF MERGER SUB WILL CEASE, WITH SAILPOINT CONTINUING AS THE SURVIVING CORPORATION & A WHOLLY OWNED SUBSIDIARY OF PARENT.	MANAGEMENT	FOR	FOR
SAILPOINT TECHNOLOGIES HOLDINGS, INC.	30-Jun-22	SAIL	78781P105	TO CONSIDER AND VOTE ON THE PROPOSAL TO APPROVE, ON AN ADVISORY (NON-BINDING) BASIS, THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO SAILPOINT'S NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	MANAGEMENT	FOR	FOR
SAILPOINT TECHNOLOGIES HOLDINGS, INC.	30-Jun-22	SAIL	78781P105	TO CONSIDER AND VOTE ON ANY PROPOSAL TO ADJOURN THE SPECIAL MEETING TO A LATER DATE OR DATES IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AT THE TIME OF THE SPECIAL MEETING.	MANAGEMENT	FOR	FOR