

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	FIXING THE NUMBER OF DIRECTORS TO BE ELECTED AT THE MEETING AT EIGHT (8), AS SET FORTH IN THE ACCOMPANYING INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	THE APPOINTMENT OF KPMG LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	CONSIDER AND, IF DEEMED ADVISABLE, APPROVE AN ORDINARY RESOLUTION APPROVING THE AMENDMENTS TO THE AMENDED AND RESTATED STOCK OPTION PLAN (2020) OF THE CORPORATION AND AUTHORIZING AND APPROVING ALL UNALLOCATED STOCK OPTIONS ISSUABLE PURSUANT TO THE PLAN UNTIL JULY 16, 2023; AND	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	CONSIDER AND, IF DEEMED ADVISABLE, APPROVE AN ORDINARY RESOLUTION APPROVING THE AMENDMENTS TO THE AMENDED AND RESTATED PERFORMANCE SHARE UNIT AND RESTRICTED SHARE UNIT PLAN (2020) OF THE CORPORATION AND AUTHORIZING AND APPROVING ALL UNALLOCATED AWARDS ISSUABLE PURSUANT TO THE PLAN UNTIL JULY 16, 2023.	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR JUDITH J. ATHAIDE	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR KENNETH M. DEDELUK	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR CHRISTOPHER L. FONG	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR PATRICK R. JAMIESON	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR PETER H. KINASH	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR MARK R. MILLER	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR RYAN N. SCHNEIDER	MANAGEMENT	FOR	FOR
COMPUTER MODELLING GROUP LTD.	16-Jul-20	CMDXF	205249105	DIRECTOR JOHN B. ZAOZIRNY	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	28-Jul-20	VNWTF	92241Y101	TO CONSIDER AND, IF DEEMED ADVISABLE, APPROVE, WITH OR WITHOUT VARIATION, THE CONTINUATION OF THE VECIMA NETWORKS INC.'S STOCK OPTION PLAN, AS AMENDED, AND TO APPROVE ALL UNALLOCATED OPTIONS THEREUNDER, UNTIL JULY 28, 2023, AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	28-Jul-20	VNWTF	92241Y101	TO CONSIDER AND, IF DEEMED ADVISABLE, APPROVE, WITH OR WITHOUT VARIATION, THE ADOPTION OF A PERFORMANCE SHARE UNIT PLAN OF THE VECIMA NETWORKS INC., TO APPROVE THE RATIFICATION OF PERFORMANCE SHARE UNITS GRANTED TO JUNE 23, 2020, AND TO APPROVE ALL UNALLOCATED PERFORMANCE SHARE UNITS THEREUNDER, UNTIL JULY 28, 2023, AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR JAMES D. WILSON	MANAGEMENT	WITHHOLD	AGAINST
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR LESTER FERNANDES	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR CRAIG O'NEILL	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR DAVID O.C. ELDER	MANAGEMENT	WITHHOLD	AGAINST
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR TAYLOR GRAY	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	DIRECTOR BARRY D.A. FOSTER	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	TO SET THE NUMBER OF DIRECTORS AT SIX (6).	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	TO APPOINT KPMG LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS AUDITORS OF SYLOGIST LTD. FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	TO APPROVE, WITH OR WITHOUT MODIFICATION, THE ORDINARY RESOLUTION APPROVING THE 10% ROLLING STOCK OPTION PLAN OF SYLOGIST LTD. FOR THE ENSUING YEAR.	MANAGEMENT	AGAINST	AGAINST
SYLOGIST LTD.	12-Aug-20	SYZLF	87132P102	TO APPROVE, WITH OR WITHOUT MODIFICATION, THE ORDINARY RESOLUTION APPROVING THE AMENDED AND RESTATED BY-LAW NO. 1 OF SYLOGIST LTD.	MANAGEMENT	AGAINST	AGAINST
ALARIS ROYALTY CORP.	31-Aug-20	ALARF	01163Q400	TO CONSIDER, PURSUANT TO AN INTERIM ORDER OF THE COURT OF QUEEN'S BENCH (ALBERTA) DATED JULY 21, 2020, AND IF THOUGHT ADVISABLE, TO PASS, WITH OR WITHOUT VARIATION, A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX A TO ALARIS ROYALTY CORP.'S (THE "CORPORATION") MANAGEMENT INFORMATION CIRCULAR DATED JULY 21, 2020 (THE "INFORMATION CIRCULAR"), APPROVING A PLAN OF ARRANGEMENT UNDER SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT PROVIDING FOR, AMONG OTHER THINGS, THE CONVERSION OF THE CORPORATION TO A PUBLICLY TRADED INCOME TRUST NAMED ALARIS EQUITY PARTNERS INCOME TRUST, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	TO APPOINT BDO CANADA LLP AS THE AUDITOR OF THE COMPANY AND TO AUTHORIZE THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR ROMOLO MAGARELLI	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR DOUGLAS A. DEBRUIN	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR C.M. COLCLOUGH	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR DR. THOMAS V. PISTOR	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR DR. IAN L. MCWALTER	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR RAKESH PATEL	MANAGEMENT	FOR	FOR
EVERTZ TECHNOLOGIES LIMITED	7-Oct-20	EVTZF	30041N107	DIRECTOR BRIAN PICCIONI	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	14-Oct-20	BEVFF	255331100	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS A SPECIAL RESOLUTION TO APPROVE THE CONTINUANCE OF THE CORPORATION FROM THE CANADA BUSINESS CORPORATIONS ACT TO THE PROVINCE OF BRITISH COLUMBIA UNDER THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), THE FULL TEXT OF WHICH RESOLUTION IS INCLUDED AS SCHEDULE A TO THE ACCOMPANYING INFORMATION CIRCULAR OF DIVERSIFIED ROYALTY CORP.	MANAGEMENT	FOR	FOR
HORIZON NORTH LOGISTICS INC.	13-Nov-20	HZNOF	44044D206	TO CONSIDER AND, IF DEEMED ADVISABLE, TO PASS A SPECIAL RESOLUTION AUTHORIZING AND APPROVING THE FILING OF ARTICLES OF AMENDMENT TO APPROVE THE AMENDMENT OF THE ARTICLES OF THE CORPORATION TO CHANGE THE NAME OF THE CORPORATION FROM "HORIZON NORTH LOGISTICS INC." TO "DEXTERRA GROUP INC.", AS MORE FULLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	TO SET THE NUMBER OF DIRECTORS AT SIX (6).	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	APPOINTMENT OF GRANT THORNTON LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR SURINDER KUMAR	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR SUMIT KUMAR	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR KENT ELLIOTT	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR DANIAL FAIZULLABHOY	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR DEREK ELDER	MANAGEMENT	FOR	FOR
VECIMA NETWORKS INC.	7-Dec-20	VNWTF	92241Y101	DIRECTOR JAMES A. BLACKKEY	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR H. PETER BRUES	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR JACYNTHÉ CÔTÉ	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR YVES LEDUC	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR ISABELLE MARCOUX	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR NATHALIE MARCOUX	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR PIERRE MARCOUX	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR RÉMI MARCOUX	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR ANNA MARTINI	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR FRANÇOIS OLIVIER	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR MARIO PLOURDE	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR JEAN RAYMOND	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR FRANÇOIS R. ROY	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	DIRECTOR ANNIE THABET	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	APPOINTMENT OF KPMG LLP, AS AUDITORS AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
TRANSCONTINENTAL INC.	25-Feb-21	TCLAF	893578104	RESOLVED, ON AN ADVISORY BASIS AND NOT TO DIMINISH THE ROLE AND RESPONSIBILITIES OF THE BOARD OF DIRECTORS, THAT THE SHAREHOLDERS ACCEPT THE APPROACH TO EXECUTIVE COMPENSATION DISCLOSED IN THE MANAGEMENT PROXY CIRCULAR PROVIDED IN CONNECTION WITH THE ANNUAL MEETING OF SHAREHOLDERS OF THE CORPORATION HELD ON FEBRUARY 25, 2021.	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, CHARTERED ACCOUNTANTS AND LICENSED PUBLIC ACCOUNTANTS AS AUDITOR OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	APPROVING AN AMENDMENT TO THE FIRSTSERVICE STOCK OPTION PLAN TO INCREASE THE MAXIMUM NUMBER OF COMMON SHARES RESERVED FOR ISSUANCE PURSUANT TO THE EXERCISE OF STOCK OPTIONS GRANTED THEREUNDER, AND TO RATIFY AND APPROVE THE ISSUANCE OF CERTAIN STOCK OPTIONS GRANTED TO EMPLOYEES OF THE CORPORATION, ALL AS MORE PARTICULARLY SET FORTH AND DESCRIBED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR BRENDAN CALDER	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR BERNARD L. GHERT	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR JAY S. HENNICK	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR D. SCOTT PATTERSON	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR FREDERICK F. REICHEL	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR JOAN ELOISE SPROUL	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR MICHAEL STEIN	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	DIRECTOR ERIN J. WALLACE	MANAGEMENT	FOR	FOR
FIRSTSERVICE CORPORATION	6-Apr-21	FSV	33767E202	AN ADVISORY RESOLUTION ON THE CORPORATION'S APPROACH TO EXECUTIVE COMPENSATION AS SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR PETER F. COHEN	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR J. (JACK) P. CURTIN JR.	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR CHRISTOPHER GALVIN	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR P. JANE GAVAN	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR STEPHEN J. HARPER	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR JAY S. HENNICK	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR KATHERINE M. LEE	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR BENJAMIN F. STEIN	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	DIRECTOR L. FREDERICK SUTHERLAND	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, CHARTERED ACCOUNTANTS AND LICENSED PUBLIC ACCOUNTANTS AS AUDITOR OF COLLIERS FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	APPROVING AN AMENDMENT TO THE COLLIERS STOCK OPTION PLAN TO INCREASE THE MAXIMUM NUMBER OF SUBORDINATE VOTING SHARES RESERVED FOR ISSUANCE PURSUANT TO THE EXERCISE OF STOCK OPTIONS GRANTED THEREUNDER, ALL AS MORE PARTICULARLY SET FORTH AND DESCRIBED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR (THE "CIRCULAR").	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	FOR HOLDERS OF SUBORDINATE VOTING SHARES OF THE COLLIERS, A RESOLUTION, THE FULL TEXT OF WHICH IS SET OUT IN APPENDIX A TO THE ACCOMPANYING CIRCULAR, APPROVING A TRANSACTION (THE "TRANSACTION") PURSUANT TO WHICH THE COLLIERS WILL TERMINATE THE RESTATED MANAGEMENT SERVICES AGREEMENT WITH THE COLLIERS CHAIRMAN AND CHIEF EXECUTIVE OFFICER, JAY S. HENNICK, AND ENTITIES CONTROLLED BY MR. HENNICK AND PROVIDE FOR THE ORDERLY ELIMINATION OF THE DUAL CLASS SHARE STRUCTURE OF THE COLLIERS BY NOT LATER THAN SEPTEMBER 1, 2028, ALL AS MORE PARTICULARLY SET FORTH AND DESCRIBED IN THE ACCOMPANYING CIRCULAR.	MANAGEMENT	FOR	FOR
COLLIERS INTERNATIONAL GROUP INC.	14-Apr-21	CIGI	194693107	AN ADVISORY RESOLUTION ON COLLIERS' APPROACH TO EXECUTIVE COMPENSATION AS SET OUT IN THE ACCOMPANYING CIRCULAR.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR FOTIS KALANTZIS	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR RICHARD F MCHARDY	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR DONALD ARCHIBALD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR REGINALD J. GREENSLADE	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR KEVIN OVERSTROM	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR TAMARA MACDONALD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR ELLIOT S. WEISSBLUTH	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR STEVE LOWDEN	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO FIX THE NUMBER OF DIRECTORS TO BE ELECTED AT THE MEETING TO EIGHT (8).	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO PASS AN ORDINARY RESOLUTION AT THE MEETING TO APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY, TO HOLD OFFICE UNTIL THE NEXT ANNUAL MEETING OF SHAREHOLDERS, AT SUCH REMUNERATION TO BE DETERMINED BY THE BOARD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO CONSIDER, AND IF THOUGHT FIT, APPROVE, WITH OR WITHOUT MODIFICATION, THE ORDINARY RESOLUTION, AS MORE PARTICULARLY SET FORTH IN THE MANAGEMENT INFORMATION CIRCULAR PREPARED FOR THE PURPOSE OF THE MEETING, RELATING TO THE STOCK OPTION PLAN OF THE CORPORATION FOR THE ENSUING YEAR.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO APPROVE THE SHARE AWARD PLAN (THE "SHARE AWARD INCENTIVE PLAN"), SUBSTANTIALLY IN THE FORM ATTACHED AS SCHEDULE "A" TO THE MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY.	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	TO FIX THE NUMBER OF DIRECTORS OF MULLEN GROUP TO BE ELECTED AT THE MEETING AT (6) SIX.	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	TO APPOINT PRICEWATERHOUSECOOPERS LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS MULLEN GROUP'S AUDITORS, FOR THE ENSUING YEAR AND TO AUTHORIZE THE DIRECTORS OF MULLEN GROUP TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR CHRISTINE MCGINLEY	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR STEPHEN H. LOCKWOOD	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR DAVID E. MULLEN	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR MURRAY K. MULLEN	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR PHILIP J. SCHERMAN	MANAGEMENT	FOR	FOR
MULLEN GROUP LTD.	4-May-21	MLLGF	625284104	DIRECTOR SONIA TIBBATTIS	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS AUDITORS OF THE FUND AND THE AUTHORIZATION OF THE TRUSTEES OF THE FUND TO FIX THE REMUNERATION OF THE AUDITORS.	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	THE ELECTION OF THE TRUSTEES OF THE FUND NAMED IN THE MANAGEMENT INFORMATION CIRCULAR OF THE FUND DATED MARCH 25, 2021 AS SPECIFIED BELOW: DONALD WRIGHT	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	SUSAN ALLEN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	RAMI YOUNES	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	GERRY GYNN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	THE ELECTION OF THE DIRECTORS OF RICHARDS PACKAGING HOLDINGS INC NAMED IN THE MANAGEMENT INFORMATION CIRCULAR OF THE FUND DATED MARCH 25, 2021 AS SPECIFIED BELOW: DONALD WRIGHT	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	SUSAN ALLEN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	RAMI YOUNES	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	GERRY GYNN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	THE ELECTION OF THE DIRECTORS OF RICHARDS PACKAGING HOLDINGS 2 INC. NAMED IN THE MANAGEMENT INFORMATION CIRCULAR OF THE FUND DATED MARCH 25, 2021 AS SPECIFIED BELOW: DONALD WRIGHT	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	SUSAN ALLEN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	RAMI YOUNES	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	GERRY GYNN	MANAGEMENT	FOR	FOR
RICHARDS PACKAGING INCOME FUND	4-May-21	RPKIF	763102100	THE ADOPTION, WITH OR WITHOUT VARIATION, OF AN ADVISORY RESOLUTION TO ACCEPT THE APPROACH TO BOARD COMPENSATION DISCLOSED IN THE MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	TO APPOINT KPMG LLP AS AUDITORS OF THE CORPORATION AND AUTHORIZE THE BOARD OF DIRECTORS TO FIX THEIR REMUNERATION	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR BRUCE M. CARRUTHERS	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR DONALD J. DOUGLAS	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR MATTHEW JOSS	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR LESLIE A. LUNDQUIST	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR JOHN K. READ	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR VANESSA H. RENNIE	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR C. ALAN SMITH	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR WILLIAM H. SMITH, Q.C.	MANAGEMENT	FOR	FOR
PFB CORPORATION	6-May-21	PFBOP	69331T101	DIRECTOR GORDON G. TALLMAN	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR DAVID G. SAMUEL	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR CLAUDE BIGRAS	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR SUZANNE BLANCHET	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR MICHAEL BOYCHUK	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR DAVID A. GALLOWAY	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR RICHARD G. ROY	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	DIRECTOR CARL YOUNGMAN	MANAGEMENT	FOR	FOR
GDI INTEGRATED FACILITY SERVICES INC.	7-May-21	GDIFF	361569205	APPOINTMENT OF KPMG LLP AS AUDITOR OF THE COMPANY	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	TO APPOINT KPMG LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS AUDITORS OF THE COMPANY, TO HOLD OFFICE UNTIL THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY, AT A REMUNERATION TO BE FIXED BY THE DIRECTORS.	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	TO APPROVE AN ORDINARY RESOLUTION TO INCREASE THE NUMBER OF SHARES RESERVED AND AVAILABLE FOR ISSUANCE UNDER THE COMPANY'S LONG TERM INCENTIVE PLAN, AS MORE PARTICULARLY SET FORTH IN THE INFORMATION CIRCULAR DATED MARCH 22, 2021.	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: ROBERT J. BROWN	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: PETER M. BULL	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: MICHELLE A. LEWIS	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: JIM C. MACAULAY	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: E. LAWRENCE SAUDER	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: WILLIAM SAUDER	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: ROB TAYLOR	MANAGEMENT	FOR	FOR
HARDWOODS DISTRIBUTION INC.	12-May-21	HDIUF	412422107	ELECTION OF DIRECTOR: GRAHAM M. WILSON	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR ROGER BRANDVOLD	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR KARYN BROOKS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR TONY GUGLIELMIN	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR IRAJ POURJAN	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR LAURIE POWERS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR JIM ROCHE	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR HEATHER D. ROSS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR DION E. TCHORZEWSKI	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	APPOINTMENT OF DELOITTE LLP AS AUDITOR FOR THE ENSUING YEAR AND AUTHORIZE THE DIRECTORS TO FIX THE AUDITOR'S REMUNERATION.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	APPOINTMENT OF RAYMOND CHABOT GRANT THORNTON LLP AS AUDITOR.	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR ROBERT M. BEIL	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR CHRIS R. CAWSTON	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR JANE CRAIGHEAD	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR FRANK DI TOMASO	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR ROBERT J. FOSTER	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR DAHRA GRANOVSKY	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR JAMES PANTELIDIS	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR JORGE N. QUINTAS	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR MARY PAT SALOMONE	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR GREGORY A.C. YULL	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	DIRECTOR MELBOURNE F. YULL	MANAGEMENT	FOR	FOR
INTERTAPE POLYMER GROUP INC.	12-May-21	ITPOF	460919103	A RESOLUTION IN THE FORM ANNEXED AS SCHEDULE A TO THE MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY DATED MARCH 26, 2021 (THE "CIRCULAR") ACCEPTING, IN AN ADVISORY, NON-BINDING CAPACITY, THE COMPANY'S APPROACH TO EXECUTIVE COMPENSATION DISCLOSED UNDER "COMPENSATION OF EXECUTIVE OFFICERS AND DIRECTORS - COMPENSATION DISCUSSION AND ANALYSIS" IN THE CIRCULAR.	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	THE APPOINTMENT OF KPMG LLP AS AUDITORS OF THE CORPORATION AND THE AUTHORIZATION OF THE DIRECTORS OF THE CORPORATION TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR SCOTT STEWART	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR DAVID THOM	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR MICHAEL NOBREGA	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR JOHN O. REID	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR CLAUDIA KRYWIAK	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR PAULA SINCLAIR	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	DIRECTOR SHARON RANSON	MANAGEMENT	FOR	FOR
IBI GROUP INC.	14-May-21	IBIBF	44925L103	THE ACCEPTANCE OF THE CORPORATION'S APPROACH TO EXECUTIVE COMPENSATION (SAY ON PAY), AS DESCRIBED IN THE CIRCULAR RELATED TO THE MEETING.	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR DAVE BROWN	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR GARRY LEACH	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR LEE MEAGHER	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR GORDON POLLARD	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR JOHN POLLARD	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	DIRECTOR DOUGLAS POLLARD	MANAGEMENT	FOR	FOR
POLLARD BANKNOTE LIMITED	14-May-21	PBKOF	73150R105	TO APPOINT KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	DIRECTOR DAVID BRONICHESKI	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	DIRECTOR JUDY COTTE	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	DIRECTOR ANNA EL-ERIAN	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	DIRECTOR ANDRE GAUMOND	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	DIRECTOR EARL LUDLOW	MANAGEMENT	FOR	FOR
ALTIUS RENEWABLE ROYALTIES CORP.	27-May-21	ATRFW	02156G102	APPOINTMENT OF DELOITTE LLP OF ST JOHN'S, NL AS AUDITOR OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	APPOINTMENT OF DELOITTE LLP AS THE AUDITOR OF THE CORPORATION AND AUTHORIZE THE DIRECTORS TO FIX ITS REMUNERATION.	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR GEOFF BEATTIE	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR GARY COLLINS	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR DAVID L. GIUNTA	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR DAVID R. SHAW	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR ROBERT BERTRAM	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR STEPHEN W. KING	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR SOPHIA LANGLOIS	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR JOHN (JAY) RIPLEY	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR MARY RITCHIE	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	DIRECTOR E. MITCHELL SHIER	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	TO FIX THE NUMBER OF TRUSTEES TO BE ELECTED AT THE MEETING AT SIX (6) MEMBERS.	MANAGEMENT	FOR	FOR
ALARIS EQUITY PARTNERS INCOME TRUST	1-Jun-21	AD.UN	C01097101	TO APPOINT KPMG LLP AS AUDITORS OF THE TRUST AND TO AUTHORIZE THE TRUSTEES TO FIX THEIR REMUNERATION AS SUCH.	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR WILLIAM C. WOOD	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR LESTER FERNANDES	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR CRAIG O'NEILL	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR IAN MCKINNON	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR TAYLOR GRAY	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	DIRECTOR BARRY D.A. FOSTER	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	TO SET THE NUMBER OF DIRECTORS AT SIX (6).	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	TO APPOINT KPMG LLP, CHARTERED PROFESSIONAL ACCOUNTANTS, AS AUDITORS OF SYLOGIST LTD. FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
SYLOGIST LTD.	2-Jun-21	SYZLF	87132P102	TO APPROVE, WITH OR WITHOUT MODIFICATION, THE ORDINARY RESOLUTION APPROVING THE NEW 10% ROLLING STOCK OPTION PLAN OF THE CORPORATION AND THE UNALLOCATED OPTIONS, RIGHTS OR OTHER ENTITLEMENTS UNDER THE NEW 10% ROLLING STOCK OPTION PLAN OF THE CORPORATION.	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR FENG HAN	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR BRUNO MARUZZO	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR ROBERT J. POTTER	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR MARC H. ROBINSON	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR DAVID B. SABLE	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR MEG SPENCER	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR DANIEL K. THORNE	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	DIRECTOR DAVID WOLF	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	APPOINTMENT OF MNP LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND TO AUTHORIZE THE BOARD OF DIRECTORS TO FIX THE AUDITORS' REMUNERATION.	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	TO CONSIDER, AND IF DEEMED APPROPRIATE, PASS, A SPECIAL RESOLUTION APPROVING A CONSOLIDATION OF THE CORPORATION'S ISSUED AND OUTSTANDING COMMON SHARES AT SUCH CONSOLIDATION RATIO TO BE DETERMINED BY THE DIRECTORS OF THE CORPORATION, AS FURTHER DESCRIBED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
HAMILTON THORNE LTD.	15-Jun-21	HTLZF	407891100	TO CONSIDER, AND IF DEEMED APPROPRIATE, PASS, A SPECIAL RESOLUTION APPROVING THE CONTINUANCE OF THE CORPORATION FROM ONTARIO TO BRITISH COLUMBIA, AS FURTHER DESCRIBED IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS EXTERNAL AUDITORS FOR THE ENSUING YEAR.	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	DECLARATION OF OWNERSHIP AND CONTROL (DEFINITIONS TO THE RIGHT) THE UNDERSIGNED CERTIFIES THAT IT HAS MADE REASONABLE INQUIRIES AS TO THE CANADIAN STATUS OF THE OWNER AND PERSON IN CONTROL OF THE SHARES REPRESENTED BY THIS VOTING INSTRUCTION FORM AND HAS READ THE DEFINITIONS FOUND BELOW SO AS TO MAKE AN ACCURATE DECLARATION OF OWNERSHIP AND CONTROL. DECLARATION AS TO THE NATURE OF OWNERSHIP AND CONTROL, THE UNDERSIGNED HEREBY CERTIFIES THAT THE SHARES REPRESENTED BY THIS VOTING INSTRUCTION FORM ARE OWNED AND CONTROLLED BY: NOTE: "FOR" = CANADIAN, "ABSTAIN" = NON-CANADIAN HOLDER AUTHORIZED TO PROVIDE AIR SERVICE OR BY A PERSON IN AFFILIATION WITH IT, "AGAINST" = NON-CANADIAN, WHO IS NOT A NON-CANADIAN HOLDER AUTHORIZED TO PROVIDE AIR SERVICE, OR BY A PERSON IN AFFILIATION WITH IT.	MANAGEMENT	FOR	N/A
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	DECLARATION AS TO THE LEVEL OF OWNERSHIP AND CONTROL THE UNDERSIGNED HEREBY CERTIFIES THAT THE SHARES OWNED AND CONTROLLED BY THE UNDERSIGNED, INCLUDING THE SHARES HELD BY PERSONS IN AFFILIATION WITH THE UNDERSIGNED, REPRESENT 10% OR MORE OF CHORUS' ISSUED AND OUTSTANDING CLASS A VARIABLE VOTING SHARES AND CLASS B VOTING SHARES ON A COMBINED BASIS. NOTE: "FOR" = YES, "AGAINST" = NO, AND IF NOT MARKED WILL BE TREATED AS A NO VOTE.	MANAGEMENT	AGAINST	N/A
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : KAREN CRAMM	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : RICHARD D. FALCONER	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : GAIL HAMILTON	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : R STEPHEN HANNAHS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : SYDNEY JOHN ISAACS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : AMOS KAZZAZ	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : ALAN JENKINS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : MARIE-LUCIE MORIN	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : JOSEPH D. RANDELL	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : PAUL RIVETT	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ADVISORY VOTE ON EXECUTIVE COMPENSATION. APPROVAL OF AN ADVISORY, NON-BINDING RESOLUTION IN RESPECT OF CHORUS' APPROACH TO EXECUTIVE COMPENSATION, AS FURTHER DESCRIBED IN THE MANAGEMENT PROXY CIRCULAR.	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	TO APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY AND TO AUTHORIZE THE BOARD TO FIX THEIR REMUNERATION	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	TO CONSIDER AND, IF DEEMED ADVISABLE, TO PASS AN ORDINARY RESOLUTION, TO APPROVE AND RATIFY NEW BY-LAW NO. 1	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	TO CONSIDER AND, IF DEEMED ADVISABLE, TO PASS AN ORDINARY RESOLUTION, TO APPROVE AND RATIFY THE ADOPTION OF THE 2021 EQUITY INCENTIVE PLAN OF THE COMPANY, (THE "2021 EQUITY INCENTIVE PLAN"), THE FULL TEXT OF WHICH IS SET OUT IN THE ACCOMPANYING INFORMATION CIRCULAR	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR JAMES F.C BURNS	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR DARREN KARASIUK	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR THOMAS BITOVE	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR RON HOZJAN	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR ROSS SINCLAIR	MANAGEMENT	FOR	FOR
NOVA CANNABIS INC.	22-Jun-21	YSSCF	66980W104	DIRECTOR MARVIN SINGER	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	TO APPOINT THE AUDITORS OF THE COMPANY	MANAGEMENT	FOR	FOR

PENDER SMALL/MID CAP DIVIDEND FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR ANTTI I. AARNIO-WIHURI	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR MARTTI H. AARNIO-WIHURI	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR RAKEL J. AARNIO-WIHURI	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR BRUCE J. BERRY	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR KENNETH P. KUCHMA	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR DAYNA SPIRING	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	DIRECTOR ILKKA T. SUOMINEN	MANAGEMENT	FOR	FOR
WINPAK LTD.	23-Jun-21	WIPKF	97535P104	TO CONSIDER AND TO APPROVE AN ADVISORY RESOLUTION TO ACCEPT THE COMPANY'S APPROACH TO EXECUTIVE COMPENSATION	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	DIRECTOR JAIME GULLEN	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	DIRECTOR JAMES V. LAWLESS	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	DIRECTOR MARC MURNAGHAN	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	DIRECTOR MARGOT NAUDIE	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	DIRECTOR M. PAREDES DE VÁSQUEZ	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	REAPPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITORS	MANAGEMENT	FOR	FOR
POLARIS INFRASTRUCTURE INC.	24-Jun-21	RAMPF	73106R100	RE-APPROVAL OF THE CORPORATION'S OMNIBUS LONG-TERM INCENTIVE PLAN AND APPROVAL OF THE UNALLOCATED AWARDS UNDER IT	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	28-Jun-21	BEVFF	255331100	TO APPOINT KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND TO AUTHORIZE THE DIRECTORS OF THE CORPORATION TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	28-Jun-21	BEVFF	255331100	DIRECTOR PAULA ROGERS	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	28-Jun-21	BEVFF	255331100	DIRECTOR JOHNNY CIAMPI	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	28-Jun-21	BEVFF	255331100	DIRECTOR LORRAINE MCLACHLAN	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	28-Jun-21	BEVFF	255331100	DIRECTOR GARRY HERDLER	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	TO APPOINT PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS OF THE CORPORATION AND AUTHORIZE THE BOARD OF DIRECTORS OF THE CORPORATION TO FIX THE AUDITORS' REMUNERATION.	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	DIRECTOR MATTHEW B. HILLS	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	DIRECTOR STEVEN E. MATYAS	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	DIRECTOR LINDA J. MCCURDY	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	DIRECTOR MICHAEL B. PERCY	MANAGEMENT	FOR	FOR
K-BRO LINEN INC.	29-Jun-21	KBRLF	48243M107	DIRECTOR ELISE REES	MANAGEMENT	FOR	FOR