

PENDER STRATEGIC GROWTH & INCOME FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	THE APPOINTMENT OF AN AUDITOR OF THE CORPORATION.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	THE RESOLUTION CONFIRMING THE ADOPTION OF BY-LAW NO. 4 OF THE CORPORATION.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	DIRECTOR WILLIAM A. ETHERINGTON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	DIRECTOR MITCHELL GOLDHAR	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	DIRECTOR ARIANNA HUFFINGTON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	DIRECTOR ARNI C. THORSTEINSON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	DIRECTOR BETH A. WILKINSON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	THE AUTHORIZATION OF THE DIRECTORS TO FIX THE REMUNERATION OF THE AUDITOR.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	21-Jul-20	ONEXF	68272K103	THE ADVISORY RESOLUTION ON THE CORPORATION'S APPROACH TO EXECUTIVE COMPENSATION AS SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
JUST ENERGY GROUP INC.	27-Aug-20	JEPR	48213W200	THE APPROVAL OF A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX C OF THE ACCOMPANYING MANAGEMENT PROXY CIRCULAR OF JUST ENERGY DATED JULY 17, 2020 (THE "PROXY CIRCULAR"), APPROVING A PLAN OF ARRANGEMENT UNDER SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT, AS MORE PARTICULARLY DESCRIBED IN THE PROXY CIRCULAR.	MANAGEMENT	FOR	FOR
DIVERSIFIED ROYALTY CORP.	14-Oct-20	BEVFF	255331100	TO CONSIDER AND, IF THOUGHT ADVISABLE, TO PASS A SPECIAL RESOLUTION TO APPROVE THE CONTINUANCE OF THE CORPORATION FROM THE CANADA BUSINESS CORPORATIONS ACT TO THE PROVINCE OF BRITISH COLUMBIA UNDER THE BUSINESS CORPORATIONS ACT (BRITISH COLUMBIA), THE FULL TEXT OF WHICH RESOLUTION IS INCLUDED AS SCHEDULE A TO THE ACCOMPANYING INFORMATION CIRCULAR OF DIVERSIFIED ROYALTY CORP.	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	APPOINTMENT OF ERNST & YOUNG LLP AS AUDITOR OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR MARC ANTHONY VENERE	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR CHRIS ELSHAW	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR JEFFREY BARBER	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR THOMAS ENNIS	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR JESSICA (COHEN)GILLIGAN	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	13-Nov-20	MAVBF	57767U106	DIRECTOR STEPHEN SMITH	MANAGEMENT	FOR	FOR
HUSKY ENERGY INC.	15-Dec-20	HSE	448055400	A SPECIAL RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX B TO THE JOINT MANAGEMENT INFORMATION CIRCULAR OF HUSKY AND CENOVUS ENERGY INC. ("CENOVUS") DATED NOVEMBER 9, 2020 (THE "INFORMATION CIRCULAR"), APPROVING A PLAN OF ARRANGEMENT UNDER SECTION 193 OF THE BUSINESS CORPORATIONS ACT (ALBERTA) INVOLVING HUSKY, HOLDERS OF COMMON SHARES, HOLDERS OF OPTIONS, HOLDERS OF PREFERRED SHARES AND CENOVUS, AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
BAIDU, INC.	1-Mar-21	BIDU	056752108	CHANGE OF AUTHORISED SHARE CAPITAL BY ONE-TO-EIGHTY SUBDIVISION OF SHARES: BY AN ORDINARY RESOLUTION THAT EACH SHARE CLASSIFIED AS CLASS A ORDINARY SHARES, CLASS B ORDINARY SHARES AND PREFERRED SHARES OF A PAR VALUE OF US\$0.00005 EACH IN THE SHARE CAPITAL OF THE COMPANY (INCLUDING AUTHORISED ISSUED AND UNISSUED CLASS A ORDINARY SHARES, CLASS B ORDINARY SHARES AND PREFERRED SHARES) BE SUB-DIVIDED INTO 80 SHARES OF A PAR VALUE OF US\$0.00000625 EACH (THE "SUBDIVISION"), SUCH THAT, FOLLOWING ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	MANAGEMENT	FOR	N/A
ARC RESOURCES LTD.	31-Mar-21	AETUF	00208D408	TO CONSIDER AND, IF DEEMED ADVISABLE, TO APPROVE, WITH OR WITHOUT VARIATION, AN ORDINARY RESOLUTION, THE FULL TEXT OF WHICH IS SET FORTH IN APPENDIX B TO THE JOINT MANAGEMENT INFORMATION CIRCULAR OF ARC RESOURCES LTD. ("ARC") AND SEVEN GENERATIONS ENERGY LTD. ("7G") DATED MARCH 1, 2021 (THE "INFORMATION CIRCULAR"), APPROVING THE ISSUANCE OF SUCH NUMBER OF COMMON SHARES OF ARC TO ALLOW ARC TO MEET ITS OBLIGATIONS PURSUANT TO AND IN CONNECTION WITH A PLAN OF ARRANGEMENT UNDER SECTION 192 OF THE CANADA BUSINESS CORPORATIONS ACT INVOLVING ARC, 7G AND THE HOLDERS OF CLASS A COMMON SHARES OF 7G, ALL AS MORE PARTICULARLY DESCRIBED IN THE INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR FOTIS KALANTZIS	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR RICHARD F MCHARDY	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR DONALD ARCHIBALD	MANAGEMENT	FOR	FOR

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SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR REGINALD J. GREENSLADE	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR KEVIN OVERSTROM	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR TAMARA MACDONALD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR ELLIOT S. WEISSBLUTH	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	DIRECTOR STEVE LOWDEN	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO FIX THE NUMBER OF DIRECTORS TO BE ELECTED AT THE MEETING TO EIGHT (8).	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO PASS AN ORDINARY RESOLUTION AT THE MEETING TO APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY, TO HOLD OFFICE UNTIL THE NEXT ANNUAL MEETING OF SHAREHOLDERS, AT SUCH REMUNERATION TO BE DETERMINED BY THE BOARD	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO CONSIDER, AND IF THOUGHT FIT, APPROVE, WITH OR WITHOUT MODIFICATION, THE ORDINARY RESOLUTION, AS MORE PARTICULARLY SET FORTH IN THE MANAGEMENT INFORMATION CIRCULAR PREPARED FOR THE PURPOSE OF THE MEETING, RELATING TO THE STOCK OPTION PLAN OF THE CORPORATION FOR THE ENSUING YEAR.	MANAGEMENT	FOR	FOR
SPARTAN DELTA CORP.	14-Apr-21	DALXF	84678A102	TO APPROVE THE SHARE AWARD PLAN (THE "SHARE AWARD INCENTIVE PLAN"), SUBSTANTIALLY IN THE FORM ATTACHED AS SCHEDULE "A" TO THE MANAGEMENT INFORMATION CIRCULAR OF THE COMPANY.	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS HANESBRANDS' INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR HANESBRANDS' 2021 FISCAL YEAR.	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: CHERYL K. BEEBE	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: STEPHEN B. BRATSPIES	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: GERALYN R. BREIG	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: BOBBY J. GRIFFIN	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: JAMES C. JOHNSON	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: FRANCK J. MOISON	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: ROBERT F. MORAN	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: RONALD L. NELSON	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	ELECTION OF DIRECTOR: ANN E. ZIEGLER	MANAGEMENT	FOR	FOR
HANESBRANDS INC.	27-Apr-21	HBI	410345102	TO APPROVE, ON AN ADVISORY BASIS, NAMED EXECUTIVE OFFICER COMPENSATION AS DESCRIBED IN THE PROXY STATEMENT FOR THE ANNUAL MEETING.	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR RICHARD D. BRONSON	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR JEFFREY G. DISHNER	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR CAMILLE J. DOUGLAS	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR SOLOMON J. KUMIN	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR FRED PERPALL	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR FRED S. RIDLEY	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR BARRY S. STERNLICHT	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	DIRECTOR STRAUSS ZELNICK	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	THE RATIFICATION OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2021.	MANAGEMENT	FOR	FOR
STARWOOD PROPERTY TRUST, INC.	27-Apr-21	STWD	85571B105	THE APPROVAL ON AN ADVISORY BASIS OF THE COMPANY'S EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR ROGER BRANDVOLD	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR KARYN BROOKS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR TONY GUGLIELMIN	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR IRAJ POURIAN	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR LAURIE POWERS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR JIM ROCHE	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR HEATHER D. ROSS	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	DIRECTOR DION E. TCHORZEWSKI	MANAGEMENT	FOR	FOR
INFORMATION SERVICES CORPORATION	12-May-21	IRMTF	45676A105	APPOINTMENT OF DELOITTE LLP AS AUDITOR FOR THE ENSUING YEAR AND AUTHORIZE THE DIRECTORS TO FIX THE AUDITOR'S REMUNERATION.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	THE APPOINTMENT OF AN AUDITOR OF THE CORPORATION.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	DIRECTOR MITCHELL GOLDHAR	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	DIRECTOR ARIANNA HUFFINGTON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	DIRECTOR ARNI C. THORSTEINSON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	DIRECTOR BETH A. WILKINSON	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	THE AUTHORIZATION OF THE DIRECTORS TO FIX THE REMUNERATION OF THE AUDITOR.	MANAGEMENT	FOR	FOR
ONEX CORPORATION	13-May-21	ONEXF	68272K103	THE ADVISORY RESOLUTION ON THE CORPORATION'S APPROACH TO EXECUTIVE COMPENSATION AS SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT ERNST & YOUNG LLP AS THE COMPANY'S AUDITORS.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO AUTHORIZE THE DIRECTORS TO ALLOT SHARES FOR CASH WITHOUT MAKING A PRE-EMPTIVE OFFER TO SHAREHOLDERS (SUBJECT TO THE LIMITATION SET OUT IN THE RESOLUTION).	MANAGEMENT	FOR	FOR

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BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO AUTHORIZE THE DIRECTORS TO ALLOT SHARES FOR CASH WITHOUT MAKING A PRE-EMPTIVE OFFER TO SHAREHOLDERS (SUBJECT TO THE LIMITATION SET OUT IN THE RESOLUTION) FOR AN ACQUISITION OR SPECIFIED CAPITAL INVESTMENT.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO APPROVE THE COMPANY'S 2021 NON-EMPLOYEE DIRECTORS' SHARE PLAN	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO AUTHORIZE THE DIRECTORS TO ALLOT SHARES UP TO A SPECIFIED AMOUNT.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO DECLARE A FINAL DIVIDEND OF 12.5¢ (UNITED STATES CENTS) PER ORDINARY SHARE.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO AUTHORIZE THE COMPANY TO PURCHASE ITS OWN SHARES UP TO A SPECIFIED AMOUNT.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT STEVE WILSON AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT CHARLES PARKINSON AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT ROBERT GILLESPIE AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT JOHN SIEVWRIGHT AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT CHRISTOPHER BOGART AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RE-APPOINT ANDREA MULLER AS DIRECTOR.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO AUTHORIZE THE DIRECTORS TO AGREE TO THE AUDITORS' REMUNERATION.	MANAGEMENT	FOR	FOR
BURFORD CAPITAL LIMITED	18-May-21	BUR	G17977110	TO RECEIVE THE ACCOUNTS FOR THE YEAR ENDED 31 DECEMBER 2020 AND THE DIRECTORS' AND AUDITORS' REPORTS THEREON.	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	PROPOSAL TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT AUDITOR FOR FISCAL YEAR ENDING DECEMBER 31, 2021.	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	SHAREHOLDER PROPOSAL TO MOVE TO A 10% OWNERSHIP THRESHOLD FOR SHAREHOLDERS TO REQUEST ACTION BY WRITTEN CONSENT.	SHAREHOLDER	FOR	AGAINST
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: KATHY J. WARDEN	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: DAVID P. ABNEY	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: MARIANNE C. BROWN	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: DONALD E. FELSINGER	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: ANN M. FUDGE	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: WILLIAM H. HERNANDEZ	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: MADELEINE A. KLEINER	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: KARL J. KRAPEK	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: GARY ROUGHHEAD	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: THOMAS M. SCHOEWE	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: JAMES S. TURLEY	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	ELECTION OF DIRECTOR: MARK A. WELSH III	MANAGEMENT	FOR	FOR
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	SHAREHOLDER PROPOSAL THAT THE COMPANY ASSESS AND REPORT ON POTENTIAL HUMAN RIGHTS IMPACTS THAT COULD RESULT FROM GOVERNMENTS' USE OF THE COMPANY'S PRODUCTS AND SERVICES, INCLUDING IN CONFLICT-AFFECTED AREAS.	SHAREHOLDER	FOR	AGAINST
NORTHROP GRUMMAN CORPORATION	19-May-21	NOC	666807102	PROPOSAL TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	DIRECTOR MICHAEL J. SAYLOR	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	DIRECTOR STEPHEN X. GRAHAM	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	DIRECTOR JARROD M. PATTEN	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	DIRECTOR LESLIE J. RECHAN	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	DIRECTOR CARL J. RICKERTSEN	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	RATIFY THE SELECTION OF KPMG LLP AS MICROSTRATEGY INCORPORATED'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2021.	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	APPROVE THE MICROSTRATEGY INCORPORATED 2021 EMPLOYEE STOCK PURCHASE PLAN; AND	MANAGEMENT	FOR	FOR
MICROSTRATEGY INCORPORATED	26-May-21	MSTR	594972408	APPROVE AMENDMENT NO. 5 TO THE MICROSTRATEGY INCORPORATED 2013 STOCK INCENTIVE PLAN TO INCREASE THE NUMBER OF SHARES OF CLASS A COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER SUCH PLAN FROM 2,300,000 TO 2,750,000.	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	REMUNERATION REPORT (ADVISORY VOTE)	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	ADOPTION 2020 ANNUAL ACCOUNTS	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	DIVIDEND DISTRIBUTION	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	APPOINTMENT ERNST & YOUNG ACCOUNTANTS LLP AS INDEPENDENT EXTERNAL AUDITOR CHARGED WITH THE AUDITING OF THE ANNUAL ACCOUNTS FOR THE FINANCIAL YEAR 2021	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	RELEASE FROM LIABILITY OF THE EXECUTIVE DIRECTORS	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	RELEASE FROM LIABILITY OF THE NON-EXECUTIVE DIRECTORS	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	APPOINTMENT OF MR. A. BANGA AS NON-EXECUTIVE DIRECTOR	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO REPURCHASE SHARES	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO CANCEL REPURCHASED SHARES	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO ISSUE ORDINARY SHARES	MANAGEMENT	FOR	FOR

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EXOR N.V.	27-May-21	EXO	N3140A107	THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO LIMIT OR EXCLUDE PRE-EMPTIVE RIGHTS	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	THE AUTHORIZATION OF THE BOARD OF DIRECTORS TO ISSUE SPECIAL VOTING SHARES A	MANAGEMENT	FOR	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	PLEASE NOTE THAT BENEFICIAL OWNER DETAILS IS REQUIRED FOR THIS MEETING. IF NO BENEFICIAL OWNER DETAILS IS PROVIDED, YOUR INSTRUCTION MAY BE REJECTED. THANK YOU.	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	PLEASE NOTE THAT SHAREHOLDER DETAILS ARE REQUIRED TO VOTE AT THIS MEETING. IF NO SHAREHOLDER DETAILS ARE PROVIDED, YOUR INSTRUCTION MAY CARRY A HEIGHTENED RISK OF BEING REJECTED. THANK YOU	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	21 APR 2021: INTERMEDIARY CLIENTS ONLY - PLEASE NOTE THAT IF YOU ARE CLASSIFIED AS AN INTERMEDIARY CLIENT UNDER THE SHAREHOLDER RIGHTS DIRECTIVE II, YOU SHOULD BE PROVIDING THE UNDERLYING SHAREHOLDER INFORMATION AT THE VOTE INSTRUCTION LEVEL. IF YOU ARE UNSURE ON HOW TO PROVIDE THIS LEVEL OF DATA TO BROADRIDGE OUTSIDE OF PROXYEDGE, PLEASE SPEAK TO YOUR DEDICATED CLIENT SERVICE REPRESENTATIVE FOR ASSISTANCE	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	21 APR 2021: PLEASE NOTE THAT THIS IS A REVISION DUE TO ADDITION OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	OPENING	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	2020 ANNUAL REPORT	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	EXPLANATION OF THE POLICY ON DIVIDENDS	NON-VOTING	N/A	FOR
EXOR N.V.	27-May-21	EXO	N3140A107	CLOSE OF MEETING	NON-VOTING	N/A	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	APPOINTMENT OF DELOITTE LLP AS THE AUDITOR OF THE CORPORATION AND AUTHORIZE THE DIRECTORS TO FIX ITS REMUNERATION.	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR GEOFF BEATTIE	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR GARY COLLINS	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR DAVID L. GIUNTA	MANAGEMENT	FOR	FOR
FIERA CAPITAL CORPORATION	27-May-21	FRRPF	31660A103	DIRECTOR DAVID R. SHAW	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED ACCOUNTING FIRM FOR THE 2021 FISCAL YEAR.	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	ELECTION OF DIRECTOR: RICHARD BOUCHER	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	ELECTION OF DIRECTOR: NORMAN CREIGHTON	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	ELECTION OF DIRECTOR: WILLIAM J. MCMORROW	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	ELECTION OF DIRECTOR: KENT MOUTON	MANAGEMENT	FOR	FOR
KENNEDY-WILSON HOLDINGS, INC.	10-Jun-21	KW	489398107	TO APPROVE, ON AN ADVISORY NONBINDING BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR M. ELYSE ALLAN	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR ANGELA F. BRALY	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR JANICE FUKAKUSA	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR MAUREEN KEMPSTON DARKES	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR FRANK J. MCKENNA	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR HUTHAM S. OLYAN	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR SEEK NGE E HUAT	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	DIRECTOR DIANA L. TAYLOR	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	THE APPOINTMENT OF DELOITTE LLP AS THE EXTERNAL AUDITOR AND AUTHORIZING THE DIRECTORS TO SET ITS REMUNERATION.	MANAGEMENT	FOR	FOR
BROOKFIELD ASSET MANAGEMENT INC.	11-Jun-21	BAM	112585104	THE SAY ON PAY RESOLUTION SET OUT IN THE CORPORATION'S MANAGEMENT INFORMATION CIRCULAR DATED APRIL 30, 2021 (THE "CIRCULAR").	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS EXTERNAL AUDITORS FOR THE ENSUING YEAR.	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	DECLARATION OF OWNERSHIP AND CONTROL (DEFINITIONS TO THE RIGHT) THE UNDERSIGNED CERTIFIES THAT IT HAS MADE REASONABLE INQUIRIES AS TO THE CANADIAN STATUS OF THE OWNER AND PERSON IN CONTROL OF THE SHARES REPRESENTED BY THIS VOTING INSTRUCTION FORM AND HAS READ THE DEFINITIONS FOUND BELOW SO AS TO MAKE AN ACCURATE DECLARATION OF OWNERSHIP AND CONTROL. DECLARATION AS TO THE NATURE OF OWNERSHIP AND CONTROL THE UNDERSIGNED HEREBY CERTIFIES THAT THE SHARES REPRESENTED BY THIS VOTING INSTRUCTION FORM ARE OWNED AND CONTROLLED BY: NOTE: "FOR" = CANADIAN, "ABSTAIN" = NON-CANADIAN HOLDER AUTHORIZED TO PROVIDE AIR SERVICE OR BY A PERSON IN AFFILIATION WITH IT, "AGAINST" = NON-CANADIAN, WHO IS NOT A NON-CANADIAN HOLDER AUTHORIZED TO PROVIDE AIR SERVICE, OR BY A PERSON IN AFFILIATION WITH IT.	MANAGEMENT	FOR	N/A

PENDER STRATEGIC GROWTH & INCOME FUND  
 PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	DECLARATION AS TO THE LEVEL OF OWNERSHIP AND CONTROL THE UNDERSIGNED HEREBY CERTIFIES THAT THE SHARES OWNED AND CONTROLLED BY THE UNDERSIGNED, INCLUDING THE SHARES HELD BY PERSONS IN AFFILIATION WITH THE UNDERSIGNED, REPRESENT 10% OR MORE OF CHORUS' ISSUED AND OUTSTANDING CLASS A VARIABLE VOTING SHARES AND CLASS B VOTING SHARES ON A COMBINED BASIS. NOTE: "FOR" = YES, "AGAINST" = NO, AND IF NOT MARKED WILL BE TREATED AS A NO VOTE.	MANAGEMENT	AGAINST	N/A
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : KAREN CRAMM	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : RICHARD D. FALCONER	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : GAIL HAMILTON	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : R STEPHEN HANNAHS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : SYDNEY JOHN ISAACS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : AMOS KAZZAZ	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : ALAN JENKINS	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : MARIE-LUCIE MORIN	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : JOSEPH D. RANDELL	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ELECTION OF DIRECTOR : PAUL RIVETT	MANAGEMENT	FOR	FOR
CHORUS AVIATION INC.	21-Jun-21	CHRRF	17040T300	ADVISORY VOTE ON EXECUTIVE COMPENSATION. APPROVAL OF AN ADVISORY, NON-BINDING RESOLUTION IN RESPECT OF CHORUS' APPROACH TO EXECUTIVE COMPENSATION, AS FURTHER DESCRIBED IN THE MANAGEMENT PROXY CIRCULAR.	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	TO APPOINT PRICEWATERHOUSECOOPERS LLP (PWC), CHARTERED ACCOUNTANTS, AS AUDITORS TO HOLD OFFICE UNTIL THE CLOSE OF THE NEXT ANNUAL MEETING OF THE CORPORATION, AT SUCH REMUNERATION AS MAY BE DETERMINED BY THE BOARD OF DIRECTORS OF THE CORPORATION.	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR FARHAD AHRABI	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR DAVID R. COLLYER	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR SUSAN C. JONES	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR HAROLD N. KVISLE	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR WILLIAM J. MCADAM	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR MICHAEL G. MCALLISTER	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR KATHLEEN M. O'NEILL	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR MARTY L. PROCTOR	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR M. JACQUELINE SHEPPARD	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR L. VAN LEEUWEN-ATKINS	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	DIRECTOR TERRY M. ANDERSON	MANAGEMENT	FOR	FOR
ARC RESOURCES LTD.	22-Jun-21	AETUF	00208D408	A RESOLUTION TO APPROVE THE CORPORATION'S ADVISORY VOTE ON EXECUTIVE COMPENSATION.	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	APPOINTMENT OF ERNST & YOUNG LLP AS AUDITOR OF THE COMPANY FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	TO APPROVE AN ORDINARY RESOLUTION, A COPY OF WHICH IS SET OUT IN THE MANAGEMENT INFORMATION CIRCULAR, TO RENEW THE COMPANY'S LONG-TERM INCENTIVE PLAN.	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR MARC ANTHONY VENERE	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR CHRIS ELSHAW	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR JEFFREY BARBER	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR THOMAS ENNIS	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR JESSICA GILLIGAN	MANAGEMENT	FOR	FOR
MAV BEAUTY BRANDS INC.	23-Jun-21	MAVBF	57767U106	DIRECTOR STEPHEN SMITH	MANAGEMENT	FOR	FOR