

PENDER BOND UNIVERSE FUND  
PROXY VOTING RECORD - JULY 1, 2020 TO JUNE 30, 2021



| NAME OF ISSUER | MEETING DATE | PRIMARY TICKER SYMBOL | CUSIP | LIST OF MATTERS VOTED ON AT MEETING | MATTERS PROPOSED BY MANAGEMENT / OTHER | HOW WE VOTED ON MATTERS | VOTE FOR / AGAINST MANAGEMENT |
|----------------|--------------|-----------------------|-------|-------------------------------------|--|-------------------------|-------------------------------|
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*No information to report.*